	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page1 of 157
1	Robert B. Carey (Pro Hac Vice)
2	Leonard W. Aragon (<i>Pro Hac Vice</i>) HAGENS BERMAN SOBOL SHAPIRO LLP
3	11 West Jefferson Street, Suite 1000 Phoenix, Arizona 85003
4	Telephone: (602) 840-5900
5	Facsimile: (602) 840-3012 Email: rcarey@hbsslaw.com
6	leonard@hbsslaw.com
7	Michael P. Lehmann (Cal. Bar No. 77152) Jon T. King (Cal. Bar No. 205073)
8	Christopher L. Lebsock (Cal. Bar No.
9	184546) Arthur N. Bailey, Jr. (Cal. Bar No. 248460)
10	HAUSFELD LLP 44 Montgomery Street
11	Suite 3400 San Francisco, CA 94104
12	Tel: (415) 633-1908
13	Fax: (415) 358-4980 Email: mlehmann@hausfeldllp.com
14	jking@hausfeldllp.com abailey@hausfeldllp.com
15	Plaintiffs' Interim Co-Lead Class Counsel
16	(Additional Counsel Listed on Signature Page)
17	
18	
19	UNITED STATES DISTRICT COURT
20	
21	NORTHERN DISTRICT OF CALIFORNIA
22	OAKLAND DIVISION
23	IN RE NCAA STUDENT-ATHLETE) Case No. C 09-01967 CW NAME & LIKENESS LICENSING)
24	LITIGATION) CONSOLIDATED AMENDED CLASS
25) ACTION COMPLAINT
26	ý
27	
28	
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page2 of 157

Pursuant to the Court's: (1) "Order Granting Plaintiffs Samuel Michael Keller's and
 Edward C. O'Bannon, Jr.'s Joint Motion to Consolidate Actions" dated January 15, 2010 (*Keller* Dkt. No. 145); (2) "Order on Defendants' Motions to Dismiss and Electronic Arts' Anti-SLAPP
 Motion to Strike" dated February 8, 2010 (*Keller* Dkt. No. 150); and (3) "Order on NCAA's and
 CLC's Motions to Dismiss" dated February 8, 2010 (*O'Bannon* Dkt. No. 142), Plaintiffs hereby
 filed this Consolidated Amended Class Action Complaint.

8 2. At the hearing on various motions to dismiss held on December 17, 2009, the Court
 9 indicated its preference that the Consolidated Amended Class Action Complaint contain discrete
 10 sections for the *Keller* right of publicity-based claims and the *O'Bannon* antitrust-based claims
 11 (*see* Tr. of December 17, 2009 Hearing, at 67:3 – 67:19). Plaintiffs have therefore proceeded
 12 accordingly herein.

3. With respect to the right of publicity and related claims pertaining to video games, as
brought in the *Keller* complaint, Plaintiffs Samuel Keller, Bryan Cummings, Lamarr Watkins,
and Bryon Bishop (collectively "Right of Publicity Plaintiffs") bring this action individually and
as putative class representatives as further described herein. The terms "Right of Publicity", as
used herein, refers to the various claims described in the Right of Publicity Causes of Action set
forth below.

With respect to the antitrust and related claims pertaining to multiple products, as 4. 21 brought in the O'Bannon complaint, Plaintiffs Edward C. O'Bannon, Jr. ("Ed O'Bannon"), Harry 22 Flournoy, Alex Gilbert, Sam Jacobson, Thad Jaracz, David Lattin, Patrick Maynor, Tyrone 23 24 Prothro, Damien Rhodes, Eric Riley, Bob Tallent, and Danny Wimprine (collectively "Antitrust 25 Plaintiffs" or "Antitrust Class Representatives") bring this action individually and as putative 26 class representative as further described herein. The terms "Antitrust Claims" and "Antitrust", as 27 used herein, refer to the claims described in the Antitrust Causes of Action set forth below. 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 2 -Case No. C 09-01967 CW

5. Plaintiffs, by and through their attorneys, based on their individual experiences, the investigation of counsel, and upon information and belief allege as follows.

INTRODUCTION TO RIGHT OF PUBLICITY AND RELATED CLAIMS

5 6. This suit arises out of the blatant and unlawful use of National Collegiate Athletic 6 Association ("NCAA") student-athlete likenesses in videogames produced by Electronic Arts Inc. 7 ("EA"). Despite clear prohibitions on the use of student names and likenesses in NCAA bylaws, 8 contracts and licensing agreements, EA utilizes the likenesses of individual student-athletes in its 9 NCAA basketball and football videogames to increase sales and profits. EA also intentionally 10 circumvents the prohibitions on utilizing student-athletes' names in commercial ventures by 11 allowing gamers to upload entire rosters, which include players' names and other information, 12 13 directly into the game in a matter of seconds. Rather than enforcing its own rules, the NCAA and 14 its licensing arm, the Collegiate Licensing Company ("CLC"), have sanctioned EA's violations. 15 In fact, the NCAA and the CLC have expressly investigated and approved EA's use of player 16 names and likenesses. They have done so because EA's use of player names and likenesses 17 benefits the NCAA and CLC by increasing the popularity of the relevant games and thus the 18 royalties that the NCAA and CLC can collect. 19 20 This is a proposed class action on behalf of NCAA student-athletes whose likenesses 7.

and distinctive appearances have been used without their permission or consent, to increase
 revenues and profits for Defendants, and in violation of state law.

23 24

1

2

3

4

INTRODUCTION TO ANTITRUST AND RELATED CLAIMS

8. Antitrust Plaintiffs and putative Class Representatives Ed O'Bannon, Harry Flournoy,
Alex Gilbert, Sam Jacobson, Thad Jaracz, David Lattin, Patrick Maynor, Tyrone Prothro, Damien
Rhodes, Eric Riley, Bob Tallent, and Danny Wimprine bring this action both individually and on
CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW - 3 -

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page4 of 157

1 behalf of antitrust damages and injunctive relief classes (collectively, the "Antitrust Classes" or 2 "Antitrust Class")) consisting of former student-athletes who competed for NCAA member 3 colleges or universities on those schools': (1) "Division I" men's basketball athletic teams; and 4 (2) "Football Bowl Subdivision" (formerly known until 2006 as "Division I-A") men's football 5 athletic teams whose images have been licensed or sold by Defendants, their co-conspirators, or 6 their licensees from July 21, 2005 and continuing until a final judgment in this matter (the 7 8 "Antitrust Class Period"), or may be in the future. For purposes of the injunctive relief class only, 9 the Antitrust Plaintiffs also bring this action on behalf of current student-athletes competing on 10 the teams described above, as well as former student-athletes, as both groups' future 11 compensation rights are impacted by the anticompetitive practices described herein. 12 Defendants NCAA, EA, the CLC (the NCAA's licensing arm), and their co-9. 13 conspirators have committed violations of the federal antitrust laws by engaging in a price-fixing 14 conspiracy and a group boycott / refusal to deal that has unlawfully foreclosed class members 15 16 from receiving compensation in connection with the commercial exploitation of their images, 17 likenesses and/or names following their cessation of intercollegiate athletic competition. The 18 Antitrust Plaintiffs also set forth a claim for unjust enrichment and request that the Court require 19 Defendants to provide an accounting of ill-gotten gains and the monies unlawfully withheld from 20 Antitrust Class members. The Antitrust Plaintiffs further request that the Court establish a 21 constructive trust for the benefit of class members and for the purpose of holding in trust the 22 licensing revenues that Defendants and their co-conspirators have unlawfully diverted from 23 24 Antitrust Class members. 25 10. Defendants NCAA, CLC, and EA have additionally conspired to deprive Antitrust 26 Class members from receiving compensation in connection with the use of their names, images, 27 and likenesses in EA's various NCAA video game products. 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 4 -Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page5 of 157

1 11. One of the NCAA's business partners, Thought Equity Motion ("TEM"), has 2 described the NCAA's video content archive as "one of the most unique and valuable content 3 collections in the world." Collegiate Images, LLC ("CI"), a licensing entity that represents more 4 than 200 of the NCAA's members, repeatedly stresses that in creating a digital library that 5 contains thousands of collegiate games, coaches shows and highlights dating back to the early 6 1900s, it is helping its members "maximize new revenue streams" and "monetize priceless 7 8 collegiate video and images." 9 12. The Antitrust Class Representatives' collective collegiate experiences include: (1) 10 eight appearances in NCAA national championship games; (2) competing for teams that won two 11 NCAA championship titles, the 1994-95 UCLA men's basketball team, and the 1965-66 Texas 12 Western men's basketball team; (3) competing on opposing teams in a game still considered the 13 most socially significant game in college basketball history, the 1966 Texas Western vs. 14

15 University of Kentucky men's basketball championship game; (4) competing in the 1979 Indiana

16 State University vs. Michigan State University men's basketball game, the intense popularity of

¹⁷ which is credited for revolutionizing the commercialization of not only the NCAA but the

National Basketball Association ("NBA") as well,; (5) competing on the famous "Fab 5"-era

University of Michigan basketball teams; (6) competing on teams in the Southeastern Conference

(the "SEC"), the Big 10, and the Pac 10, Conference USA, and on teams from the schools of

22 Alabama, Kentucky, UCLA, Syracuse, Stanford, and Memphis. The Antitrust Class

23 representatives further include multiple All-American players, team captains, a former NCAA

24 Division I basketball coach, and a career military officer who served as a Lt. Colonel in the

25

United States Army.

26

27 28 13. These players competed in several eras (some as recently as a few years ago), and are representative of the thousands of other unnamed players and teammates from those eras whose
 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 5 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page6 of 157

collective efforts conveyed enormous financial benefits and glory upon their schools,

1

5

7

8

27

28

2 conferences, the NCAA, and their many for-profit business partners. While all of those entities 3 continue to enjoy commercial benefits from the sale and use of the players' images following the 4 conclusion of their collegiate athletic careers and to this day, the players have been foreclosed from participating or sharing in those benefits pursuant to the anticompetitive conduct described 6 herein. The Antitrust Class Representatives are united in their efforts to achieve fairness for both past and future generations of collegiate athletes.

9 14. As utilized herein, the term "former student athletes" includes those individuals that 10 have permanently ceased competing on teams because of, for example, graduation; exhaustion of 11 eligibility; injury; voluntary decisions to cease competition; and involuntary separations from 12 teams due to decisions by coaches, schools, conferences, and/or the NCAA, and also includes 13 those individuals that subsequently became professional athletes, whether prior to or after the 14 exhaustion of their intercollegiate eligibility, and further includes current students that have 15 16 remained in school but ceased competing on a collegiate athletic team.

17 15. The term "Antitrust Damages Class" refers to former student-athletes as described 18 herein. The term "Antitrust Declaratory and Injunctive Relief Class" includes both former and 19 current student-athletes as described herein. As noted above, the terms "Antitrust Class" or 20 "Antitrust Classes" include both Antitrust Damages and Declaratory and Antitrust Injunctive 21 Relief class members, unless otherwise specified. 22

16. Defendant NCAA describes itself as "the organization through which the colleges 23 24 and universities of the nation speak and act on athletics matters at the national level" and states 25 that it is a "voluntary association of more than 1,000 institutions, conferences and organizations." 26 The NCAA's official "licensing representative" is CLC, a for-profit entity that is a defendant

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

- 6 -

herein, which is a division of IMG Worldwide, Inc. ("IMG"). CLC states on its website that there
is a "\$4.0 billion annual market for collegiate licensed merchandise."

17. As described below, the NCAA has unreasonably and illegally restrained trade in
order to commercially exploit former student-athletes previously subject to its control, with such
exploitation affecting those individuals well into their post-collegiate competition lives. The
NCAA's conduct is blatantly anticompetitive and exclusionary, as it wipes out in total the future
ownership interests of former student-athletes in their own images -- rights that all other members
of society enjoy -- even long after student-athletes have ceased attending a university.

10 18. The NCAA, acting through its members, and in conjunction with its for-profit 11 business partners, has eliminated the rights of former student-athletes to receive even a single 12 dollar from the substantial revenue streams described herein. Former-student athletes do not 13 share in these revenues even though they have never given informed consent to the widespread 14 and continued commercial exploitation of their images. While the NCAA, its members, and its 15 16 for-profit business partners reap millions of dollars from revenue streams including television 17 contracts, rebroadcasts of "classic" games, DVD game and highlight film sales and rentals, on-18 demand streaming and sales of games and clips, "stock footage" sales to corporate advertisers and 19 others, photograph sales, video game sales, and jersey and other apparel sales, former student-20 athletes whose likenesses are utilized to generate those profit-centers receive no compensation 21 whatsoever. 22

19. Only within recent years has the NCAA entered into some of the licensing
partnerships detailed herein that unlawfully utilize the images of Antitrust Class members. The
related available content featuring images, likenesses, and/or names of former student-athletes,
such as DVDs, photos, and video games, continues to grow in both availability and popularity,
and growth will continue to explode as merchandise continues to be made available in new
CONSOLIDATED AMENDED CLASS
ACTION COMPLAINT
Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page8 of 157

1	delivery formats as developing technology and ingenuity permits, as exemplified by the
2	substantial library of "on demand" internet content now available for sale for NCAA games going
3	back several decades.
4	20. Article 2.9 of the NCAA's Constitution ("The Principle of Amateurism") states in
5 6	part that "[s]tudent participation in intercollegiate athletics is an avocation, and student athletes
7	should be protected from exploitation by professional and commercial enterprises." (emphasis
8	added). Article 2.15 of the NCAA's Constitution ("The Principle Governing Postseason
9	Competition and Contests Sponsored by Noncollegiate Organizations") states the following:
10	The conditions under which postseason competition occurs shall be controlled to assure that the benefits inherent in such competition
11	flow fairly to all participants, to prevent unjustified intrusion on the time student-athletes devote to their academic programs, and to
12 13	protect student-athletes from exploitation by professional and commercial enterprises. (emphases added).
13 14	Additionally, the NCAA describes its "NCAA Brand" as follows: "Learning. Balance. Spirit.
15	Community. Fair play. Character. These are the attributes that the NCAA promotes through its
16	branding initiative. An important part of the NCAA brand is a consistent image that supports
17	these attributes." (emphasis in original).
18	21. The NCAA accomplishes its unreasonable restraint of trade in part by requiring all
19 20	student-athletes to sign a form each year – such as 2008's "Form 08-3a" – that purports to require
20 21	each of them to relinquish all rights in perpetuity to the commercial use of their images, including
22	after they graduate and are no longer subject to NCAA regulations. (See Exhibit A). Form 08-3a
23	is purposefully misleading, incomplete and ambiguous on its face, and student-athletes, including
24	minors, must sign it under duress and without informed consent.
25	22. The NCAA further requires student-athletes to sign at least one other similarly illegal
26	consent form pursuant to Article 12.5.1.1 of its Bylaws (the "Institutional, Charitable,
27 28	Educational, or Nonprofit Promotions Release Statement"), that allows commercial exploitation
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 8 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page9 of 157

1

3

5

of former student-athletes by effecting another purported perpetual release of rights. The 2 NCAA's Bylaws contain further provisions allowing for-profit third parties to benefit financially from the commercial exploitation of former student-athletes. The penalty for a student-athlete 4 who refuses to sign the forms described herein is that the student-athlete is declared permanently ineligible for participation on his or her respective team, unless he or she later signs the forms. 6

23. More specifically, Form 08-3a purports to cause student-athletes to release in 7 perpetuity their rights to obtain compensation in connection with use by the NCAA, or the 8 9 NCAA's designated "third parties," of a student-athlete's "name or picture to generally promote 10 NCAA championships or other NCAA events, activities or programs." Similar language is 11 contained in the "Institutional, Charitable, Educational, or Nonprofit Promotions Release 12 Statement." The NCAA, without advising its student-athletes, has taken that purposefully 13 ambiguous language as a license to develop an array of multi-media revenue streams for itself 14 without providing any compensation whatsoever to the former athletes whose images are sold 15 16 over and over again via NCAA-owned, controlled, and licensed entities. Form 08-3a and the 17 "Institutional, Charitable, Educational, or Nonprofit Promotions Release Statement" are contracts 18 of adhesion, imposed via anticompetitive conduct and agreement, and are plainly unenforceable. 19 24. The NCAA's forms in fact do not in any way grant licenses in perpetuity, or even 20 ones extending beyond the conclusion of any student-athlete's collegiate athletics career. 21 Student-athletes have not transferred or conveyed their rights in the licensing or use of their 22 image following the cessation of their participation on NCAA teams. The NCAA and its 23 24 members, under its control and direction, have no right to license or use players' images, 25

likenesses and/or names upon the conclusion of their participation in intercollegiate athletics. 26 The NCAA and others, however, have agreed to act as if their forms do grant perpetual licenses 27 with no limits, and further agreed to license and use the wrongfully obtained rights.

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

28

- 9 -

1 25. In addition to agreeing to wrongfully interpret the release forms as perpetual licenses, 2 the NCAA has operated as an illegal horizontal cartel, additionally facilitated by Defendants CLC 3 and EA. That cartel has collectively and illegally conspired to limit and depress the 4 compensation of former student-athletes for continued use of their images to zero. Defendants' 5 and their co-conspirators' actions further constitute a group boycott / refusal to deal. Their 6 concerted action requires all student-athletes to sign each year the forms described herein that 7 8 purport to require each of them to relinquish all rights in perpetuity for use of their images. This 9 concerted action is in effect a refusal to deal with Antitrust Class members on future post-10 competition rights issues. 11 26. The NCAA's abridgement of former student-athletes' economic rights in perpetuity 12 is unconnected to any continuing pro-educational benefits for former student-athletes, who by 13 definition are no longer student-athletes. Defendants' patently anticompetitive and illegal scheme 14 has unreasonably restrained trade, and is a violation of the Section 1 of the Sherman Act. 15 16 27. In additional to violating the federal antitrust laws, Defendants have unjustly enriched 17 the NCAA, its members, and its for-profit business partners. Defendants' actions have deprived 18 Antitrust Class members of their ability to exploit their right of publicity, which protects the 19 misappropriation of a person's identity for commercial use by another, and such use can consist 20 of the person's name, visual likeness, or other "indicia of identity" such as voice, photograph, 21 signature, or physical mannerisms. 22 28. In September of 2008, the NCAA's then-President, Myles Brand, acknowledged 23 24 that student-athletes possess a right of publicity. In connection with an explanation as to why the 25 NCAA would not sue its business partner, the CBS television network, over its use of college 26 player information in its "fantasy sports" statistical game, President Brand wrote the following: 27 Some have urged the NCAA to seek legal remedy to this poke in 28 the eye of intercollegiate athletics. They want us to sue the CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 10 -Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page11 of 157
1	producers on the grounds that the use of names of student-athletes
2	violates the principle of amateurism.
3	Well, it does.
4	But that likely isn't good enough to bring suit. The stake in the
5	ground is the right to control publicity by athletes of their names, likenesses and identification. Indeed, courts might very well find
6	that student-athletes should be held apart from professional athletes in this application. The benefit that naturally comes with the
7	publicity of names and statistics for professionals is critical enough that those athletes assign their rights to organizations to manage.
8	
9	But in the case of intercollegiate athletics, the right of publicity is held by the student-athletes, not the NCAA. We would find it
10	difficult to bring suit over the abuse of a right we don't own.
11	The NCAA's express acknowledgement of current student-athletes' rights of publicity is equally
12	applicable to former student-athletes.
13	29. In the NCAA's 2009 "State of the Association" speech, Wallace I. Renfro, the
14	NCAA's vice president and senior advisor to President Myles Brand, stated the following:
15	There are commercial activities in which universities should not
16	engage even if it generates substantial revenues for athletics. A
17	crystal clear example is that student-athletes should not be commercially exploited. They are students, not professionals.
18	Exploiting student-athletes for commercial purposes is as contrary to the collegiate model as paying them.
19	There are several orthogonal parameters that must be understood in
20	order to find the balance point for commercial activity. These
21	parameters include the locus of responsibility for controlling commercial activity, the underlying types of activity relevant to
22	college sports, and the potential for diminishing or eliminating cases of run-away commercialism.
23	Whatever "orthogonal parameters" are being weighed by the NCAA and its business partners,
24	
25	they do not include any form of compensation to former student-athletes.
26	30. Reasonable and less restrictive alternatives are available than the NCAA's "zero
27	compensation" policy for former student-athletes' licensing rights. For example, all of the major
28	professional sports, including basketball and football, have identified and utilized group-licensing CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 11 - Case No. C 09-01967 CW

1

2

3

methods to share revenues among teams and players. Additionally, other reasonable and less restrictive alternatives could include the establishment of funds for health insurance, additional educational or vocational training, and/or pension plans to benefit former student athletes.

4 31. On behalf of the Antitrust Damages Class described herein, the Antitrust Plaintiffs 5 seek relief herein including monetary damages, to be automatically trebled under the federal 6 antitrust laws; disgorgement and restitution of all monies by which the Defendants have been 7 8 unjustly enriched; and declaratory relief that Form 08-3a, the "Institutional, Charitable, 9 Educational, or Nonprofit Promotions Release Statement," and any similar forms regarding future 10 compensation rights are void and unenforceable. The Antitrust Plaintiffs further seek an 11 accounting of the monies received by Defendants, their co-conspirators, and their licensees in 12 connection with the exploitation of Antitrust Damages Class members' images, likenesses, and/or 13 names and the establishment of a constructive trust to benefit Antitrust Damages Class members. 14 32. The Antitrust Plaintiffs, on behalf of both former and current student-athletes, 15 16 additionally request injunctive relief permanently enjoining the NCAA and its members from 17 utilizing the provisions Form 08-3a, the "Institutional, Charitable, Educational, or Nonprofit 18 Promotions Release Statement," and any similar forms that purport to deprive former student-19 athletes of licensing and/or compensation rights, and further enjoining Defendants from selling, 20 licensing, or using former student-athletes' rights. 21 JURISDICTION AND VENUE WITH RESPECT TO RIGHT OF PUBLICITY CLAIMS 22 33. This Court has diversity jurisdiction over this action pursuant to 28 U.S.C. § 1332(a) 23 24 and (d) because the amount in controversy for the Class exceeds \$5,000,000, and the Right of 25 Publicity Plaintiffs and other putative Class members are citizens of different states than 26 Defendants. 27 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 12 -Case No. C 09-01967 CW

34. This Court has personal jurisdiction over the Right of Publicity Plaintiffs because
Plaintiffs Samuel Keller, Bryan Cummings, Bryon Bishop and Lamarr Watkins submit to the
Court's jurisdiction. This Court has personal jurisdiction over Defendants because Defendant
Electronic Arts is headquartered in the District and Defendants CLC and NCAA conduct
substantial business in the District. Furthermore, many of the actions giving rise to the complaint
took place in the District, including the creation of the software that is the subject of the
complaint.

9 35. Venue is proper in this District under 28 U.S.C. § 1391 because Defendants, as
10 corporations, are "deemed to reside in any judicial district in which they are subject to personal
11 jurisdiction," and because many of the decisions behind the scheme to use student-athletes'
12 names and likenesses were made in this District. Because Electronic Arts resides in the District,
13 Defendants all transact business within the District, and a substantial part of the events giving rise
15 to the claims arose in this District, venue is proper.

36. Assignment to the Oakland division of this Court is appropriate because Defendant
 EA's headquarters and principal place of business is in Redwood City, California. Because this
 action arises in the county of San Mateo, pursuant to Northern District of California, Local Rule
 3-2(d), assignment to the Oakland Division is proper.

21

20

JURISDICTION AND VENUE WITH RESPECT TO ANTITRUST CLAIMS

37. The Court has subject matter jurisdiction under 28 U.S.C. § 1331 (federal question) 22 and 28 U.S.C. § 1337 (commerce and antitrust regulation), as this action arises under Section 1 of 23 24 the Sherman Act, 15 U.S.C. § 1, and Sections 4 and 16 of the Clayton Act, 15 U.S.C. §§ 15(a) 25 and 26. The Court has supplemental subject matter jurisdiction over the pendent state law claims 26 under 28 U.S.C. § 1367. The Court also has jurisdiction over this matter pursuant to 28 U.S.C. 27 § 1332(d), in that this is a class action in which the matter or controversy exceeds the sum of 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 13 -Case No. C 09-01967 CW

\$5,000,000, exclusive of interest and costs, and in which some members of the proposed class are
citizens of a state different from the Defendants.

38. Venue is proper because Defendants reside, are found, have agents, and transact
business in this District as provided in 28 U.S.C. § 1391(b) and (c) and in Sections 4 and 12 of
the Clayton Act, 15 U.S.C. §§ 15 and 22.

39. This Court has personal jurisdiction over Defendants because, *inter alia*, they: 7 8 (a) transacted business throughout the United States, including in this District; (b) participated in 9 organizing intercollegiate athletic contests, and/or licensing or selling merchandise throughout the 10 United States, including in this District; (c) had substantial contacts with the United States, 11 including in this District; and (d) were engaged in an illegal anticompetitive scheme that was 12 directed at and had the intended effect of causing injury to persons residing in, located in, or 13 doing business throughout the United States, including in this District. Additionally, Defendant 14 EA maintains its headquarters in this District. Numerous NCAA Division I universities or 15 16 colleges also are found within this District, *i.e.*, the University of California's Berkeley campus 17 ("Cal"), Stanford University, Santa Clara University, the University of San Francisco ("USF"), 18 and St. Mary's College. 19

20

27

28

RIGHT OF PUBLICITY PLAINTIFFS

40. Plaintiff Samuel Keller, an individual, is an Arizona resident and the former starting
 quarterback for the Arizona State University and University of Nebraska football teams.

- 41. Plaintiff Bryan Cummings, an individual, is a New York resident and a former
 linebacker for the University of Buffalo football team.
- 42. Plaintiff Lamarr Watkins, an individual, is a New Jersey resident and a former
 linebacker for the University of Wisconsin football team.

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW 43. Plaintiff Byron Bishop, an individual, is a South Carolina resident and a former left
 guard for the University of North Carolina football team.

ANTITRUST PLAINTIFFS

44. The Plaintiffs described below are set forth as Class Representatives for the Antitrust Claims as separately defined and detailed herein.

Ed O'Bannon

Case No. C 09-01967 CW

45. Antitrust Plaintiff Ed O'Bannon filed the first antitrust action in these consolidated 8 9 matters, and is a resident of Henderson, Nevada. Mr. O'Bannon competed on the University of 10 California, Los Angeles ("UCLA") men's basketball team in the 1991-92, 1992-93, 1993-94, and 11 1994-95 seasons. UCLA was and is a member of the Pac-10 Conference. In the 1994-95 season, 12 Mr. O'Bannon led his team to a national championship, and scored 30 points and had 17 13 rebounds in the championship game. Mr. O'Bannon received the John R. Wooden award as the 14 nation's most outstanding men's basketball player for the 1994-95 season, and also was selected 15 by the Associated Press as the 1994-95 NCAA postseason tournament's Most Outstanding Player 16 17 ("MOP"). Mr. O'Bannon competed pursuant to the NCAA's rules and regulations, and has been 18 deprived of compensation by Defendants and their co-conspirators for the continued use of his 19 image following the end of his intercollegiate athletic career. Mr. O'Bannon signed one or more 20 of the release forms discussed herein (or the precursors to them, including scholarship and 21 eligibility papers that the NCAA has interpreted as a release of the student-athlete's rights with 22 respect to his image, likeness and/or name in connection with merchandise sold by the NCAA, its 23 24 members, and/or its licensees).

46. Mr. O'Bannon's image, likeness and/or name along with those of other Antitrust
Damages Class members, is being offered for sale and/or used during the Antitrust Class Period
in at least the ways described below, without informed consent from him and without
CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 15 -

3

4

5

6

7

1 compensation paid to him. For example, on the NCAA's On Demand on-line store, operated in 2 connection with its for-profit business partner Thought Equity Motion ("TEM"), a two DVD 3 "1995 Men's Basketball National Championship Box Set" is offered for \$39.99, and described as 4 follows: "Ed O'Bannon, earning MOP honors, lead UCLA back to prominence by defeating 5 Arkansas 89-78 for their 11th title in school history. The box set also includes the 1995 Final 6 Four Highlights Video featuring UCLA, Arkansas, North Carolina, Oklahoma St." The Final 7 8 Four Highlights Video is separately offered for sale for \$24.99. UCLA's NCAA tournament first 9 round game against Florida International from 1994-95 is offered for sale at \$24.99, and its 10 description includes the following: "UCLA was led by All-Tournament Team selections Toby 11 Bailey and Ed O'Bannon, the tournament's Most Outstanding Player." UCLA's regional semi-12 final game against Missouri is offered for \$24.99, and its description includes the following: 13 "UCLA was led by All-Tournament Team selections Toby Bailey and Ed O'Bannon, the 14 tournament's Most Outstanding Player." UCLA's national semi-final game against Oklahoma 15 16 State is offered for sale at \$24.99, and its description includes the following: "UCLA was led by 17 All-Tournament Team selections Toby Bailey and Ed O'Bannon, the tournament's Most 18 Outstanding Player." 19 47. As additional examples, other DVDs offered for sale utilizing the image of Mr. 20 O'Bannon and other Antitrust Damages Class members from the 1994-95 season include DVDs 21 of UCLA's regional final game versus the University of Connecticut, available for \$24.99, and 22 the championship game versus Arkansas offered for sale at \$24.99. An NCAA tournament first-23 24 round game against Tulsa from the 1994-95 season is available for "pre-order," and a description 25 notes that "production of this game has been delayed." For the 1992-93 season, a "Michigan 26 Men's Basketball Fab Five 1993" three DVD set is offered for \$59.99, and one of the games

- 27 28
- CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

- 16 -

included is a regional game versus UCLA. That game is also separately offered for sale at

1 \$24.99. Also available for "pre-order" is UCLA's first round game versus Iowa State. For the 2 1991-92 season, four UCLA NCAA tournaments games (against Robert Morris, Louisville, 3 Indiana, and New Mexico State) are available for pre-order. 4 48. The DVDs described above are available through numerous other outlets, including 5 UCLA's Official On-Line DVD store, where the 1995 championship game is currently listed as 6 the number three top-selling basketball DVD, amazon.com, CBS Sports' "Online DVD Store," 7 8 and wal-mart.com, on which the description of the 1995 championship game includes the 9 following: "The following content was provided by the publisher... The Bruins held off the 10 Razorbacks for a convincing 89-78 victory as Ed O'Bannon, the tournament's Most Outstanding 11 Player, led the Bruins back to the top of the college basketball mountain as the 1995 National 12 Champions." 13 49. As an additional example, a DVD of the 1995 Championship game, featuring Mr. 14 O'Bannon and other Antitrust Damages Class members, is available for rental from Blockbuster 15 16 Video and Netflix. 17 50. As another example of formats in which Antitrust Damages Class members' images, 18 likenesses and/or names are being utilized subject to the anticompetitive restraints detailed herein, 19 on one of the NCAA's on-line photo stores, at least three images of Mr. O'Bannon are offered for 20 sale. Interested purchasers must call the website's operator to discuss pricing options. The 21 photos are described as follows: "UCLA center George Zidek (25) and Oklahoma State center 22 Bryant Reeves (50) and UCLA forward Ed O'Bannon (31) during the NCAA Final Four 23 24 basketball championship semifinal game held in Seattle, WA at the Kingdome."; "UCLA forward

²⁵ Ed O'Bannon (31) and Arkansas center Dwight Stewart (15) during the NCAA Men's National

26 Basketball Final Four championship game held in Seattle, WA at the Kingdome. UCLA defeated

Arkansas 89-78 for the title. O'Bannon was named MVP for the tournament."; "UCLA's Ed

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW 1

2

3

O'Bannon turns cameraman as he cuts the net following UCLA's 89-78 victory over Arkansas in the Division I Men's Basketball Championship April 3, 1995 in Seattle, Washington. O'Bannon was named MVP of the tournament."

- 4 51. As another example of formats in which Antitrust Damages Class members' images, 5 likenesses and/or names are being utilized subject to the anticompetitive restraints detailed herein, 6 the NCAA and its partner TEM also offer for sale to corporate advertisers and others a "stock 7 8 footage" clip running 1 minute and 7 seconds that features Mr. O'Bannon's performance in the 9 1994-95 NCAA championship game, as well as interview footage with Mr. O'Bannon and others. 10 The clip is described as follows: "Ed O'Bannon helps carry UCLA in the 1995 Men's NCAA 11 Division I Basketball Championship against Arkansas." It appears that at least one additional 12 clip featuring Mr. O'Bannon (titled "1995 UCLA vs. Missouri Ed O'Bannon (#31)") is available. 13 Interested parties must contact Thought Equity for pricing, which appears to vary depending on 14 intended usage. 15
- 16 52. As another example of formats in which Antitrust Damages Class members' images
 17 are being utilized subject to the anticompetitive restraints detailed herein, Mr. O'Bannon's
 18 likeness is utilized by the NCAA's business partner and Defendant Electronic Arts, Inc. as a part
 19 of its NCAA Basketball 09 video game's "Classic Teams" feature (as described more herein)
 20 where game players can select Mr. O'Bannon's 1995 UCLA team.
- 53. As another example of formats in which Antitrust Damages Class members' images,
 likenesses and/or names are being utilized subject to the anticompetitive restraints detailed herein,
 UCLA games featuring Mr. O'Bannon also are periodically rebroadcast on ESPN Classic.
- 54. On information and belief, Mr. O'Bannon's image, likeness and/or name has been
 used and sold in additional ways for additional uses via the licensing entities such as Defendant
 CLC and TEM described herein.

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

- 18 -

55. As a result of the federal antitrust violations described herein, Antitrust Plaintiff O'Bannon was injured in his business or property, and was unfairly deprived of compensation in connection with the use and sale of his image, likeness and/or name.

Antitrust Plaintiffs from the Historic 1966 Texas Western vs. Kentucky NCAA Championship Basketball Game

Harry Flournoy

1

2

3

4

5

6

7

8

9

10 56. Antitrust Plaintiff Harry Flournoy is a resident of McDonough, Georgia. Mr. 11 Flournoy was the captain of the 1966 NCAA men's Division I basketball champion Texas 12 Western (now University of Texas-El Paso a/k/a "UTEP") team, and competed on the team 13 during the 1963-64, 1964-65, and 1965-66 seasons. In the 1965-66 season, Mr. Flournoy lead the 14 team in rebounding and shooting percentage, and was one of the top rebounders in the nation. 15 16 57. In the championship game, Texas Western defeated the University of Kentucky in a 17 game that to this day is frequently termed as the most socially significant college basketball game 18 ever played. Texas Western's legendary coach Don Haskins utilized for the first time in NCAA 19 championship history an all-black starting lineup. The team's experience was documented in the 20 popular 2006 movie "Glory Road," created by Walt Disney Pictures and produced by Jerry 21 Bruckheimer. The game is credited with forever changing college basketball, particularly in the 22 South, and the team's dignity throughout the season is credited as a source of inspiration for 23 24 generations of players. Legendary NBA coach Pat Riley (who played on the Kentucky team) has 25 called the game the "Emancipation Proclamation of 1966 . . . at least in sports." As the El Paso 26 *Times* noted in 2010, "Today, the game remains one of the most-discussed sporting events in 27 history." 28 CONSOLIDATED AMENDED CLASS

ACTION COMPLAINT Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page20 of 157
1	58. In 2003, ESPN reported:
2	In the years immediately after Texas Western's title, the integration
3	of college sports took a great leap forward. Between 1966 and 1985, the average number of blacks on college teams jumped from
4	2.9 to 5.7.
5 6	At Northern colleges, where the unwritten rule for coaches had been, "Two blacks at home. Three on the road. And four when behind", things changed quickly.
7	Blacks now were recruited as reserves as well as starters. Athletes
8	who had been directed to small black schools now were being lured to major state universities.
9	The bigger change, of course, came in the South. In the 1966-67
10	season, every Southern conference, even the SEC, had integrated basketball teams. "It was quite clear after March 1966 that
11	Southern basketball teams would have to change or become
12	increasingly noncompetitive nationally," wrote historian Charles Martin.
13	59. In 2007, Mr. Flournoy's 1966 Texas Western Team was inducted into the James
14 15	Naismith Basketball Hall of Fame, along with other luminaries such as L.A. Lakers coach Phil
16	Jackson, and Mr. Flournoy delivered the acceptance speech on behalf of his teammates and
17	coaches. In 2007, Mr. Flournoy also addressed the United States troops with his teammates on
18	teamwork and diversity issues, focusing on the strength of a unit based on collective individual
19	talents and not outward appearances throughout Germany, England, and the Netherlands while
20	touring with Armed Forces Entertainment. Additionally in 2007, Mr. Flournoy was inducted into
21 22	the Texas Black Sports Hall of Fame. In 2008, Mr. Flournoy was named a "Texas Hero" by the
22	NAACP. In 2002, Mr. Flournoy also was inducted in the University of Texas, El Paso Sports
24	Hall of Fame.
25	60. The NCAA has featured the Texas Western team in its NCAA Hall of Champions in
26	Indianapolis. Additionally, during broadcasts of the yearly NCAA tournament, the NCAA has
27	run commercials for its NCAA on-demand and DVD website store featuring the Texas Western
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 20 - Case No. C 09-01967 CW

team. The NCAA also prominently features the 1966 Texas Western team on the first page of its
DVD / on-demand website in connection with products for sale utilizing the names, images,
and/or likenesses of the members of the Texas Western and Kentucky teams, including Mr.
Flournoy. The site elsewhere states: "On March 19, 1966, Texas Western College, now known
as the University of Texas at El Paso (UTEP), put an all-black starting five on the floor for the
first time in an NCAA basketball championship. That night the Texas Western Miners . . .
defeated coach Adolph Rupp's #1 ranked all-white Kentucky Wildcats, 72-65."

61. Mr. Flournoy competed pursuant to the NCAA's rules and regulations, and has been
deprived of compensation by Defendants and their co-conspirators for the continued use of his
image following the end of his intercollegiate athletic career. Mr. Flournoy signed one or more of
the release forms discussed herein (or the precursors to them, including scholarship and eligibility
papers that the NCAA has interpreted as a release of the student-athlete's rights with respect to
his image, likeness and/or name in connection with merchandise sold by the NCAA, its members,
and/or its licensees).

17 62. Mr. Flournoy's image, likeness and/or name, along with those of other Antitrust 18 Damages Class members, is being offered for sale and/or used during the Antitrust Class Period 19 in at least the ways described below, without informed consent from him and without 20 compensation paid to him. For example, on the NCAA's On Demand on-line store, operated in 21 connection with its for-profit business partner Thought Equity Motion, a two-DVD pack is 22 offered for sale featuring a DVD of the 1966 championship game and the Glory Road movie for 23 24 \$44.99. The individual game DVD is one of the NCAA's "Featured Games" offered for sale at 25 \$24.99. A 1966 NCAA regional final game featuring Texas Western vs. Kansas University is 26 offered for sale at \$150 per DVD, or via bulk orders for 25 or more DVDs at pricing available on 27 request. A 1966 NCAA national semi-final game featuring Texas Western vs. the University of 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 21 -Case No. C 09-01967 CW

Utah is offered for sale at \$150 per DVD, or via bulk orders for 25 or more DVDs at pricing 2 available on request.

63. The NCAA also offers one-time viewings of the 1966 championship game via its "NCAA On Demand Theatre" and "Watch Now" on-demand streaming video features, with pricing for such offerings listed at "starting at \$3.99." The game is the first game listed in the list of "our top 50 NCAA games."

8 64. The championship game is also offered for sale via myriad other outlets. For 9 example, via Amazon.com (for \$24.99), Walmart.com (\$38.21 for the game plus the Glory Road 10 movie; \$13.86 for the game); CBS Sports on-line DVD store (\$25.00 for the game plus the Glory 11 Road move, and \$14.95 for the game, noting that "Other key players for the Miners included 12 Harry Flournoy . . . "). The game also is available for rental via Netflix. 13

65. As another example of formats in which Antitrust Damages Class members' images, 14 likenesses and/or names are being utilized subject to the anticompetitive restraints detailed herein, 15 16 on one of the NCAA's on-line photo stores, at least three images of Mr. Flournoy are offered for 17 sale. On NCAAPhotos.com, an image with the caption "Bobby Joe Hill (14) and Harry Flournoy 18 (44) are surrounded . . ." is offered for sale at price points ranging from \$15 to \$200. A team 19 photo featuring Mr. Flournoy and his teammates with the national championship trophy is 20 available at the same price points. An image featuring Mr. Flournoy and certain teammates 21 leaving the floor with the trophy is offered at the same price points. An image of Mr. Flournoy 22 and his team in a huddle at a time out is offered for sale at the same price points. At least two 23 24 additional images of Mr. Flournoy playing in the championship game are for sale via Getty 25 Images' website, and upon information and belief, Getty Images has had a contractual 26 relationship with the NCAA relating to photo sales.

27 28

1

3

4

5

6

7

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

- 22 -

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page23 of 157

1	66. Mr. Flournoy's likeness additionally has been used in Defendant EA's video games,
2	such as NCAA 09 in its Classic Teams feature, as well as in one or more additional video games
3	authorized by the NCAA.
4 5	67. Additionally, Mr. Flournoy's image, likeness and/or name has been used in replays of
5 6	the championship game and clips from the game including on the ESPN Classic network.
7	68. Upon information and belief, Mr. Flournoy's image, likeness and/or name has been
8	used and sold in additional ways for additional uses via the licensing entities such as Defendant
9	CLC and TEM described herein.
10	69. As a result of the federal antitrust violations described herein, Antitrust Plaintiff
11	Flournoy was injured in his business or property, and was unfairly deprived of compensation in
12	connection with the use and sale of his image, likeness and/or name.
13	Thad Jaracz
14 15	70. Antitrust Plaintiff Thad Jaracz is a resident of Crestwood, Kentucky. Mr. Jaracz was
15 16	a member of, and a three year starter for, the University of Kentucky basketball team in the 1965-
17	66, 1966-67, and 1967-68 seasons under legendary coach Adolph Rupp, and competed for
18	Kentucky in the Southeastern Conference ("SEC"). Mr. Jaracz competed in the 1966
19	championship game as described above with respect to Mr. Flournoy, and was Kentucky's
20	starting center. Mr. Jaracz was an All-American and All-SEC player in the 1965-66 season. Mr.
21	
22	Jaracz was appointed as Team Captain for the 1968 season. During Mr. Jaracz's career, his
23	Kentucky teams won two SEC championships, enjoyed a number one national ranking, and
24 25	finished as the NCAA national champion runners-up in 1966.
23 26	71. Mr. Jaracz was drafted by the Boston Celtics in 1968, and drafted by the United
27	States Army in 1969. He served 21 years as an Army Officer and retired as a Lieutenant Colonel
28	in 1990.
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW - 23 -

1	72. Mr. Jaracz competed pursuant to the NCAA's rules and regulations, and has been
2	deprived of compensation by Defendants and their co-conspirators for the continued use of his
3	image following the end of his intercollegiate athletic career. Mr. Jaracz signed one or more of
4	the release forms discussed herein (or the precursors to them, including scholarship and eligibility
5 6	papers that the NCAA has interpreted as a release of the student-athlete's rights with respect to
7	his image, likeness and/or name in connection with merchandise sold by the NCAA, its members,
8	and/or its licensees).
9	73. Mr. Jaracz's image, likeness and/or name, along with those of other Antitrust
10	Damages Class members, is being offered for sale and/or used during the Antitrust Class Period
11	in at least the ways described below, without informed consent from him and without
12	compensation paid to him.
13 14	74. Mr. Jaracz's image, likeness and/or name is being offered for sale and use in
15	connection with the Texas Western vs. Kentucky game as described above with respect to Mr.
16	Flournoy and the description of game films and clips and myriad distribution channels.
17	75. Additionally, Mr. Jaracz's image, likeness and/or name is offered for sale in
18	connection with additional games on the NCAA's website, <i>i.e.</i> , the 1966 Kentucky vs. Duke
19 20	national semi-final game (offered for \$150 or orders of 25 or more with pricing available on
20 21	request); the 1966 Kentucky vs. Michigan NCAA tournament regional game (same pricing); and
22	the 1968 Kentucky vs. Ohio State NCAA regional tournament game (same pricing).
23	76. Mr. Jaracz's image, likeness and/or name is also offered for sale and use on one of
24	the NCAA's photo store websites, NCAAPhotos.com, i.e., one that is captioned "Texas Western
25	""UTEP"" Bobby Joe Hill (14) and Harry Flournoy (44) are surrounded by Kentucky's Larry
26	Conley (40), Tommy Kron (30) and Thad Jaracz (55) during the NCAA Men's National
27	Basketball Final Four championship
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 24 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page25 of 157

1	game" and offered at price points ranging from \$15 to \$200, and another captioned "Kentucky
2	forward/center Thad Jaracz (55) during the NCAA Men's National Basketball Final Four
3	championship game against Texas Western ""UTEP"" held in College Park, MD, at the Cole
4	Fieldhouse." (same price points).
5	77. Mr. Jaracz's image, likeness, and/or name also is utilized in connection with one or
6 7	more video games licensed by the NCAA.
8	78. Upon information and belief, Mr. Jaracz's image, likeness and/or name has been used
9	and sold in additional ways for additional uses via the licensing entities such as Defendant CLC
10	
11	and TEM described herein.
12	79. As a result of the federal antitrust violations described herein, Antitrust Plaintiff
12	Jaracz was injured in his business or property, and was unfairly deprived of compensation in
14	connection with the use and sale of his image, likeness and/or name.
15	David Lattin
16	80. Antitrust Plaintiff David Lattin is a resident of Houston, Texas. Mr. Lattin (known as
17	"Big Daddy D") was one of the stars of the 1966 NCAA men's Division I basketball champion
18	Texas Western (now University of Texas-El Paso a/k/a "UTEP") team described above in the
19	section regarding Antitrust Plaintiff Flournoy, and competed on the team during the 1965-66 and
20	1966-67 seasons. In the championship season, Mr. Lattin was the second-leading scorer on the
21 22	team, and was the team's leading rebounder in 4 out of 5 of the NCAA tournament games,
22	including the championship game. In the championship game, Mr. Lattin scored 16 points and
24	had 9 rebounds, and had a pivotal, game-changing slam dunk whose importance was highlighted
25	in the Glory Road movie, and continues to resonate today as one of the most significant plays in
26	NCAA tournament history.
27	
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 25 - Case No. C 09-01967 CW

1	81. Mr. Lattin was named an All-American during both his 1965-66 and 1966-67
2	seasons. He established and still holds a number of school NCAA tournament records. Over the
3	eight NCAA tournament games in which he participated in during two seasons, Mr. Lattin
4 5	averaged 19.5 points per game and 11 rebounds per game.
5 6	82. In 1967, Mr. Lattin was a first round draft pick of the San Francisco (now Golden
7	State) Warriors, and played professionally for eight seasons including with the world-famous
8	Harlem Globetrotters.
9	83. In 2007, Mr. Lattin along with teammates including Antitrust Plaintiff Flournoy
10	addressed the United States troops on teamwork and diversity issues, as discussed above,
11	throughout Germany, England, and the Netherlands while touring with Armed Forces
12	Entertainment.
13 14	84. Mr. Lattin competed pursuant to the NCAA's rules and regulations, and has been
14	deprived of compensation by Defendants and their co-conspirators for the continued use of his
16	image following the end of his intercollegiate athletic career. Mr. Lattin signed one or more of
17	the release forms discussed herein (or the precursors to them, including scholarship and eligibility
18	papers that the NCAA has interpreted as a release of the student-athlete's rights with respect to
19	his image, likeness and/or name in connection with merchandise sold by the NCAA, its members,
20	and/or its licensees).
21 22	85. Mr. Lattin's image, likeness and/or name, along with those of other Antitrust
22	Damages Class members, is being offered for sale and/or used during the Antitrust Class Period
24	in at least the ways described below, without informed consent from him and without
25	compensation paid to him.
26	
27	
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 26 - Case No. C 09-01967 CW

1	86. Mr. Lattin's image, likeness and/or name has been used in all of the DVD, on-
2	demand, video game and classic game broadcast products as discussed above in the section
3	regarding Antitrust Plaintiff Flournoy, and distributed through the same channels.
4 5	87. Additionally, Mr. Lattin's image, likeness and/or name has been used in several
5 6	images offered for sale by the NCAA on one of its photo stores located at NCAAPhotos.com.
7	For example, a photo captioned "David Lattin (42) and a Texas Western teammate compete for
8	control of a rebound" is offered for sale at various price points ranging from \$15 to \$200.
9	Additionally, Mr. Lattin's image, likeness and/or name is used in the team photo described above,
10	as well as the huddle photo described above.
11	88. Upon information and belief, Mr. Lattin's image, likeness and/or name has been used
12	and sold in additional ways for additional uses via the licensing entities such as Defendant CLC
13	and TEM described herein.
14 15	89. As a result of the federal antitrust violations described herein, Antitrust Plaintiff
16	Lattin was injured in his business or property, and was unfairly deprived of compensation in
17	connection with the use and sale of his image, likeness and/or name.
18	Bob Tallent
19	90. Antitrust Plaintiff Bob Tallent is a resident of Arlington, Virginia. Mr. Tallent played
20	basketball for the University of Kentucky, and competed in the SEC under the legendary coach
21	Adolf Rupp through his junior year of college. He was a member of the UK team known as
22	"Rupp's Runts" because the two tallest members of the team were "only" 6'5". He was a
23 24	
2 4 25	sophomore when they played in the finals of the 1966 NCAA Championship against Texas
26	Western, as described above.
27	91. Mr. Tallent played one phenomenal season at the NCAA Division I George
28	Washington University after transferring from Kentucky, set several single-season school records
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 27 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page28 of 157

1	including for scoring (28.9 points per game), and was the fifth leading scorer in the country.
2	After his MVP season and first team All-Southern Conference selection, he was drafted by teams
3	in the NBA and ABA before an injury cut his playing career short.
4 5	92. Mr. Tallent later served as head coach for the George Washington University team
5 6	for seven seasons (1974 – 1981), including a 20-win season in 1976. He also served for several
0 7	prior seasons as the school's freshman team coach, and assistant coach. He was elected to the
8	George Washington Athletic Hall of Fame team in 1990. In 2001, Mr. Tallent was elected to the
9	George Washington All-Century basketball team.
10	93. Mr. Tallent competed pursuant to the NCAA's rules and regulations, and has been
11	deprived of compensation by Defendants and their co-conspirators for the continued use of his
12	image, likeness and/or name following the end of his intercollegiate athletic career. Mr. Tallent
13	signed one or more of the release forms discussed herein (or the precursors to them, including
14	scholarship and eligibility papers that the NCAA has interpreted as a release of the student-
15 16	
16 17	athlete's rights with respect to his image, likeness and/or name in connection with merchandise
18	sold by the NCAA, its members, and/or its licensees).
19	94. Mr. Tallent's image, likeness and/or name, along with those of other Antitrust
20	Damages Class members, is being offered for sale and/or used during the Antitrust Class Period
21	in at least the ways described below, without informed consent from him and without
22	compensation paid to him.
23	95. Mr. Tallent's image, likeness and/or name has been used in all of the DVD, on-
24	demand, video game and classic game broadcast products as discussed above in the section
25	regarding Plaintiff Flournoy with respect to the Texas Western vs. Kentucky game, and
26 27	distributed through the same channels. Mr. Tallent's image has further been used in the
27 28	
20	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 28 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page29 of 157

connection with sales regarding the other Kentucky games identified in the section above regarding Antitrust Plaintiff Jaracz.

96. Upon information and belief, Mr. Tallent's image, likeness and/or name has been used and sold in additional ways for additional uses via the licensing entities such as Defendant CLC and TEM described herein.

97. As a result of the federal antitrust violations described herein, Antitrust Plaintiff
Tallent was injured in his business or property, and was unfairly deprived of compensation in
connection with the use and sale of his image, likeness and/or name.

Antitrust Plaintiff from the Historic 1979 Michigan State vs. Indiana State NCAA Championship Game

12

1

2

3

4

5

6

13 14

28

<u>Alex Gilbert</u>

98. Antitrust Plaintiff Alex Gilbert is a resident of St. Louis, Missouri. Mr. Gilbert 15 16 competed for the Indiana State University men's NCAA Division I basketball team in the 1978-17 79 and 1979-80 seasons. Mr. Gilbert was a starting forward for the team described below that 18 competed in the landmark 1979-80 NCAA championship game, along with his teammate, 19 legendary college and NBA Hall of Fame player Larry Bird. In that game, the Indiana State team 20 competed against the Michigan State University team, led by the charismatic and transcendent 21 superstar Earvin "Magic Johnson," who would go on to fame along with Mr. Bird as one of the 22 very greatest collegiate and professional players of all time. 23 24 99. In the 1979-80 tournament, Mr. Gilbert, playing as a starter alongside Mr. Bird, 25 contributed in numerous ways including in the championship game. For example, in the national 26 semifinal game against DePaul prior to the Michigan State game, Mr. Gilbert had 12 points and 5 27 rebounds, second only to Mr. Bird in both categories. In the regional final game against

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page30 of 157

I

1	Arkansas, Mr. Gilbert also had 12 points, and in the regional semi-final against Oklahoma, Mr.
2	Gilbert again had 12 points as well as 9 rebounds. In the opening round game against Virginia
3	Tech, Mr. Gilbert had 12 points to go with 7 rebounds (second only to Mr. Bird).
4	100. In 1999, the 1978-79 team including Mr. Gilbert was inducted into the Indiana State
5 6	University Athletics Hall of Fame. Mr. Gilbert was chosen by the Milwaukee Bucks in the 1980
7	NBA Draft.
8	101. It is impossible to overstate the importance of the 1979 championship game to the
9	business of college sports. In 2010, Seth Davis, the CBS Sports television studio analyst and
10	writer for Sports Illustrated published the book "When March Went Mad: The Game That
11	Transformed Basketball." The book's liner notes state:
12	On March 26, 1979, basketball as we know it was born. The NCAA
13 14	championship game played that day not only launched the epic rivalry between Earvin "Magic" Johnson and Larry Bird, it also transformed
15	the NCAA tournament into a multibillion-dollar enterprise and laid the foundation for the resurgence of the NBA. To this day, it remains
16	the highest-rated basketball game, college or pro, in this history of television.
17	The book further states the following:
18	By one measure, the impact of the 1979 NCAA championship game
19	would be apparent a few days later. Nielsen Media Research reported that the contest had generated a 24.1 rating, which meant that nearly a
20 21	quarter of all television sets in America were tuned in that night. Thirty years later, that remains the highest Nielsen rating for any
21 22	basketball game, college or pro, in the history of the sport. Thanks to the proliferation of channels that has taken place since then, it's
23	unlikely the number will ever be surpassed by another basketball game.
24	The book further states the following:
25	The game of basketball was about to change forever. The 1979 championship game helped to catapult college basketball, and
26	especially the NCAA tournament, into the national consciousness.
27	
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 30 - Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page31 of 157
1 2	The television rights fees have undergone a similar explosion. The 1979 NCAA tournament gross \$5.2 million in TV revenue. That
2	figure doubled when NBC renewed its contract for two years in 1980. When CBS wrested the rights from NBC prior to the 1982
4	tournament, it paid \$48 million for three years. CBS's price doubled again when it forked over \$96 million for another three years in 1985.
5	The fees grew so fast that in 1999 CBS and the NCAA agreed to an eleven-year, \$6 billion deal that commenced with the 2003
6	tournament.
7	102. In Mr. Davis' book, he quotes Larry Bird as follows:
8	"We didn't have a lot of NBA talent on our team, but we were a team," Bird said. "When you have a team of guys who know their
9 10	roles and stick to their roles, you can't get any better than that. Yeah, I was the focal point, and I was the one scoring the points and getting
10	the rebounds, but if it wasn't for these other four guys with me, it would have never worked."
12	103. Mr. Gilbert competed pursuant to the NCAA's rules and regulations, and has been
13	deprived of compensation by Defendants and their co-conspirators for the continued use of his
14	image, likeness and/or name following the end of his intercollegiate athletic career. Mr. Gilbert
15	signed one or more of the release forms discussed herein (or the precursors to them, including
16	scholarship and eligibility papers that the NCAA has interpreted as a release of the student-
17 18	athlete's rights with respect to his image, likeness and/or name in connection with merchandise
19	sold by the NCAA, its members, and/or its licensees).
20	104. Mr. Gilbert's image, likeness and/or name, along with those of other Antitrust
21	Damages Class members, is being offered for sale and/or used during the Antitrust Class Period
22	in at least the ways described below, without informed consent from him and without
23	compensation paid to him.
24 25	105. The NCAA through its DVD website offers the 1979 championship game for \$24.99.
23 26	It additionally offers for sale a pack of all of the Final Four games from that year. Additional
20 27	tournament games such as the ones described above against Oklahoma and Virginia Tech also are
28	available for purchase. CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 31 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page32 of 157

1	106. Games utilizing the image, likeness and/or name of Mr. Gilbert are made available
2	through numerous other distribution channels. For example, the 1979 championship game is
3	available via amazon.com for \$24.99, and a Final Four highlight DVD also is available for
4	\$24.99. The game also is available via CBS Sports' DVD site individually, as for \$39.90 as a
5 6	part of a "Michigan State NCAA DVD Bundle set" including another game from the 2009
7	tournament and a highlight DVD. The championship game also is available for sale for \$24.95
8	via Michigan State's on-line DVD store, as are various Final Four highlight and bundle DVDs
9	featuring the game, and also available for sale via the Big 10 Network's on-line DVD store.
10	107. The championship game is a mainstay of "classic sports" and other networks to this
11	day. As just a few examples, in 2009, ESPN Classic and ESPN2 replayed the game on March
12	26th, April 3rd, and April 5th. The Big 10 Network replayed the game on March 24, 2009 and
13 14	June 1, 2009.
14 15	108. Mr. Gilbert's name, image, and/or likeness also has been used in connection with
16	video games authorized by the NCAA.
17	109. Upon information and belief, Mr. Gilbert's image, likeness and/or name has been
18	used and sold in additional ways for additional uses via the licensing entities such as Defendant
19	CLC and TEM described herein.
20	110. As a result of the federal antitrust violations described herein, Antitrust Plaintiff
21	Gilbert was injured in his business or property, and was unfairly deprived of compensation in
22	
23	connection with the use and sale of his image, likeness and/or name.
24 25	
25 26	
20 27	
28	
~	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW - 32 -

Antitrust Plaintiff from the Famous "Fab 5"-era University of Michigan Basketball Teams

Eric Riley

1

2

3

	Effc Kiley		
4	111. Antitrust Plaintiff Eric Riley is a resident of Cleveland, Ohio. Mr. Riley competed		
5			
6	on the University of Michigan men's Division I basketball team in the Big 10 Conference. Mr.		
7	Riley was a redshirt on Michigan's 1988-89 national championship team, and additionally		
8	competed for the team in the 1989-90, 1990-91, 1991-92, and 1992-93 seasons. Mr. Riley's		
9	teams in 1991-92 and 1992-93 are famous teams known as the "Fab Five" teams, and reached the		
10	NCAA championship game in both seasons. In 1991-92, Mr. Riley led the team in rebounding		
11	and blocked shots, and was second in the Big-10 Conference in rebounding. Mr. Riley had		
12	exceptional performances in various games including in the NCAA tournament, such as a 15		
13	point and 10 rebound performance in the 1991-92 tournament against Oklahoma State in the		
14			
15	regional finals, one of the games described below that is offered for sale.		
16	112. The "Fab Five" was the nickname given to the Michigan 1991 recruiting class that		
17	joined Mr. Riley and his teammates already at Michigan. In their freshman season, these five		
18	players were starters, and along with Mr. Riley and his other teammates, the team reached the		
19 20	national championship game and caused a nationwide sensation due to their collective youth,		
20 21	energetic style of play, and fashion style. USA Today stated the following in 2002 with respect to		
21 22	the team:		
22	Their talent was breathtaking; their trash-talking, baggy-shorts		
23 24	style endearing; their influence profound, even to this day.		
24 25	They drew record television audiences, set fashion trends and		
23 26	touched off a licensing and merchandising boom that perhaps nudged all of college athletics along its current marketing-crazed		
20 27	course.		
27			
20	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 33 - Case No. C 09-01967 CW		

The [1992 championship game against Duke] remains the mostwatched game in college basketball history, with nearly 21 million homes tuned to the telecast. The [1993 championship game against the University of North Carolina] the following year remains the second-most-watched game, viewed in almost 20.7 million homes.

The Fab Five made the Michigan brand red-hot, and the school cashed in. Annual athletic royalties more than tripled, from \$2 million in the pre-Fab year of 1990-91 to a peak of \$6.2 million in '93-94.

"Kids could relate to the Fab Five and wanted to emulate them. Wearing Michigan merchandise became a way that you could transform yourself into being as 'cool' as the Fab Five," says Derek Eiler of the Atlanta-based Collegiate Licensing Co.

"The increase in sales of Michigan merchandise started first in Ann Arbor and then (spread) in the state, and then in the Midwest, and pretty soon there was Michigan merchandise in almost every retail channel in the U.S. The trend has continued today. Michigan is still one of only a handful of universities that are successful selling their products at the national level."

16

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

17

18

19

20

21

22

23

24

25

26

. . .

. . .

Even admissions soared

With the Fab Five came another kind of bump in sales. Applications for admission went from 17,744 in 1991, the year before the Five arrived, to 19,687 in 1996, a year after the last had left.

From '91 to last year, when more than 24,000 applications poured in, the climb was 36%.

"We've done a lot of things to make that happen. I'm reluctant to say it was strictly athletics," says Ted Spencer, Michigan's director of admissions. "But ... many, many would come to our table and our sessions (at college fairs) and say, 'Boy, I want to go to Michigan because of the Fab Five.' Not all of them were the kind of kids we were looking for. But a number of them were the kind of kids we were."

- 113. Mr. Riley was drafted by the Dallas Mavericks in the 1993 NBA draft, and competed
- in the NBA for five seasons for teams including the Boston Celtics and Houston Rockets.
- 28

27

CONSOLIDATED AMENDED C	LASS
ACTION COMPLAINT	
Case No. C 09-01967 CW	

1 114. Mr. Riley competed pursuant to the NCAA's rules and regulations, and has been 2 deprived of compensation by Defendants and their co-conspirators for the continued use of his 3 image, likeness and/or name following the end of his intercollegiate athletic career. Mr. Riley 4 signed one or more of the release forms discussed herein (or the precursors to them, including 5 scholarship and eligibility papers that the NCAA has interpreted as a release of the student-6 athlete's rights with respect to his image, likeness and/or name in connection with merchandise 7 8 sold by the NCAA, its members, and/or its licensees). 9 115. Mr. Riley's image, likeness and/or name, along with those of other Antitrust 10 Damages Class members, is being offered for sale and/or used during the Antitrust Class Period 11 in at least the ways described below, without informed consent from him and without 12 compensation paid to him. 13 116. For example, on the NCAA's On Demand on-line store, operated in connection with 14 its for-profit business partner Thought Equity Motion, the following NCAA tournament games 15 16 and highlight DVDs are each available for sale prices ranging from \$24.99 to \$150, sometimes 17 including in multi-DVD sets: 1990 Michigan vs. Illinois; 1990 Michigan vs. Loyola; 1992 18 "Michigan Men's Basketball Fab Five 1992" DVD; 1992 Duke Championship collection 19 (featuring various games including vs. Michigan); 1992 Final Four Highlights DVD; 1992 20 Michigan vs. Cincinnatti; 1992 Michigan vs. Duke; 1992 Michigan vs. East Tennessee State; 21 1992 Michigan vs. Ohio State; 1992 Michigan vs. Oklahoma State; 1992 Michigan vs. Temple; 22 1992 National Championship Box Set; 1993 Final Four Highlights DVD; 1993 Michigan vs. 23 24 Coastal Carolina; 1993 Michigan vs. George Washington; 1993 Michigan vs. Temple; 1993 25 Michigan vs. UCLA; 1993 Michigan vs. North Carolina; 1993 Michigan vs. Kentucky; 1993 26 National Championship Box Set; "Michigan Men's Basketball Fab Five 1993" DVD; "North 27 Carolina Basketball National Championship Collection." 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 35 -Case No. C 09-01967 CW

1 117. Additionally, the NCAA offers the 1992 and 1993 national championship games 2 featuring the Michigan teaming for one-viewing streaming video purchase at price points of 3 "\$3.99 and up." 4 118. Many of the game and highlights DVDs are available through myriad other 5 distribution outlets such as Amazon.com; walmart.com; and CBS Sports' DVD store. 6 119. Numerous of Mr. Riley's games have been replayed on various "classic" game 7 broadcasts, such as on the Big 10 Network this year and on ESPN Classic. 8 9 120. Through one of its on-line photo stores, the NCAA currently sells as least four 10 pictures of Mr. Riley, *i.e.*, ones captioned "University of North Carolina center Eric Montross 11 (00) guards against University of Michigan center Eric Riley (42) during the NCAA National 12 Basketball Championship game at the Superdome in New Orleans" (offered at various pricing 13 points between \$15 and \$200); "University of Michigan center Eric Riley (42) muscles his way 14 into North Carolina center Eric Montross (00) during the NCAA National Basketball 15 16 Championship game at the Superdome in New Orleans, LA" (same pricing points); "University 17 of Michigan center Eric Riley (42) puts the ball up on the glass while North Carolina center Eric 18 Montross (00) among others waits for the rebound during the NCAA National Basketball 19 Championship game at the Superdome in New Orleans, LA" (same pricing points); and 20 "University of North Carolina center Eric Montross (00), University of Michigan center Eric 21 Riley (42) and Michigan forward Ray Jackson (21) wait for the ball to drop in the hoop during the 22 NCAA National Basketball Championship game at the Superdome in New Orleans, LA" (same 23 24 pricing points). 25 121. Upon information and belief, Mr. Riley's image, likeness and/or name has been used 26 and sold in additional ways for additional uses via the licensing entities such as Defendant CLC 27 and TEM described herein. 28

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

- 36 -

1 122. As a result of the federal antitrust violations described herein, Antitrust Plaintiff Riley
 2 was injured in his business or property, and was unfairly deprived of compensation in connection
 3 with the use and sale of his image, likeness and/or name.

Antitrust Plaintiffs from Powerhouse Athletic Conferences the Big 10, the SEC, the Pac-10, the Big East, and Conference USA

6 7

28

5

8 Patrick Maynor

9 123. Antitrust Plaintiff Patrick Maynor, an individual, is a resident of Palm Beach
10 Gardens, Florida. Mr. Maynor competed on the Stanford University football team from 2004-08
11 as a linebacker, and was a three year starter. He was a Butkus Award candidate, the annual award
12 given to the top college linebacker in the nation, and was recognized as a 2008 All-Pacific-10
13 Conference Honorable Mention linebacker.

15 124. In 2007, Mr. Maynor led his team with a career-high 16.5 tackles for loss and a 1.50
tackles for loss per game average that ranked second in the Pac-10 Conference and tied for 12th
in the entire NCAA. In 2007, he also had five double-digit tackle games in 2007, including a
career-high-tying 13 versus Washington and Oregon, and 10 against UCLA as well as at Oregon
State and at Washington State. In 2009, Mr. Maynor spent time with the NFL's Chicago Bears in
training camp.

125. Mr. Maynor competed pursuant to the NCAA's rules and regulations, and has been
 deprived of compensation by Defendants and their co-conspirators for the continued use of his
 image following the end of his intercollegiate athletic career. Mr. Maynor signed one or more of
 the release forms discussed herein (or the precursors to them, including scholarship and eligibility
 papers that the NCAA has interpreted as a release of the student-athlete's rights with respect to

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW 1

2

3

4

5

6

7

28

his image, likeness and/or name in connection with merchandise sold by the NCAA, its members, and/or its licensees).

126. Mr. Maynor's image, likeness and/or name, along with those of other Antitrust Damages Class members, is being offered for sale and/or used during the Antitrust Class Period in at least the ways described below, without informed consent from him and without compensation paid to him.

127. As an example of formats in which Antirust Damages Class members' images, 8 9 likenesses and/or names are being used subject to the anticompetitive restraints detailed herein, 10 Mr. Maynor's likeness was used by the NCAA's business partner and co-conspirator Electronic 11 Arts, Inc. as a part of, for example, its NCAA Football 07 game, in addition to other games. 12 As another example of formats in which Antitrust Damages Class members' images, likenesses 13 and/or names are being used subject to the anticompetitive restraints detailed herein, several 14 photos of Mr. Maynor are being sold in Reply Photos, *i.e.*, one captioned "Chike Amajoyi, Sione 15 16 Fua, Tom Keiser and Pat Maynor of the Stanford Cardinal during Stanford's 23-10 win over the 17 San Jose State Spartans on September 20, 2008 at Stanford Stadium in Stanford, California" 18 (offered at various price points between \$29.95 and \$425.95); one captioned "16 September 2006: 19 Walt Harris, Jon Cochran, Chris Marinelli, Alex Fletcher, Jeff Edwards, Josiah Vinson, Tavita 20 Pritchard, Nate Wilcox-Fogel, Chris Horn, David Lofton, Patrick Danahy, Derek Belch, Jay 21 Ottovegio, Jim Dray, Andrew Phillips, Aaron Zagory, Leon Peralto, Marcus Rance, Will Powers, 22 Austin Yancy, Josh Catron, Pat Maynor, Matt Kopa, Brian Bulcke, Trevor Hooper, David 23 24 Jackson, Jason Evans and the team run out on the field after the anthem for the first time 25 during Stanford's 37-9 loss to Navy during the grand opening of the new Stanford Stadium in 26 Stanford, CA." (same price points); and one captioned "6 October 2007: Pat Maynor, Erik Lorig, 27

Clinton Snyder, and Bo McNally during Stanford's 24-23 win over the #1 ranked USC Trojans in
 the Los Angeles Coliseum in Los Angeles, CA" (same price points).

3	128. As another example of formats in which Antitrust Damages Class members' images,
4	likenesses and/or names are being used subject to the anticompetitive restraints detailed herein,
5 6	another photo of Mr. Maynor is offered for sale via Getty Images website captioned
7	"STANFORD, CA - SEPTEMBER 1: Linebacker Pat Maynor #44 of the Stanford Cardinal is
8	congratulated by teammate Tim Sims #14 after Maynor made a big play during the UCLA Bruins
9	45-17 defeat of Stanford at Stanford Stadium September 1, 2007 in Stanford, California." Upon
10	information and belief, the NCAA and/or its members have had a contractual relationship with
11	Getty Images allow for the sale of photographs containing the images of current and former
12	NCAA student-athletes.
13 14	129. On information and belief, Mr. Maynor's image has been used and sold in additional
15	ways for additional uses via the licensing entities such as Defendant CLC and TEM described
16	herein.
17	130. As a result of the federal antitrust violations described herein, Antitrust Plaintiff
18	Maynor was injured in his business or property, and was unfairly deprived of compensation in
19	connection with the use and sale of his image, likeness and/or name.
20	
21 22	Tyrone Prothro
22 23	131. Terrence "Tyrone" Prothro, an individual, is a resident of Tuscaloosa, Alabama and a
24	former football player for the University of Alabama, a Division I member school of the NCAA.
25	Mr. Prothro competed from 2003-2005 as a wide receiver and kick returner, was a three year
26	starter, and wore the number 4 jersey.
27	surter, and wore the humber + jerbey.
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 39 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page40 of 157

1 132. During his time at Alabama, he was named Second-Team All SEC both as a return 2 specialist and a wide receiver in 2004 and 2005. In 2004, he led the SEC in kick returns. Mr. 3 Prothro achieved notoriety for an outstanding catch during a game against Southern Mississippi in 4 2005 which has become known as "The Catch." He won the "Best Play" award at the 2006 5 ESPYS, and "The Catch" won the Pontiac "Game Changing Award of the Year", which resulted 6 in a \$100,000 donation to the general scholarship fund for the University of Alabama. Fox 7 8 Sports' "The Best Damn Sports Show" ranked his catch as the eighth greatest catch of all time. 9 133. In high school, Mr. Prothro played cornerback and running back for Cleburne 10 County. He amassed 92 touchdowns and 8,099 career all-purpose yards, third best in Alabama 11 high school history. 12 134. In 2005, during a game against the Florida Gators, Mr. Prothro suffered an open 13 compound fracture of both major bones (tibula and fibula) of his lower left leg, ending his junior 14 season. Despite extensive rehabilitation and numerous surgeries, Mr. Prothro was unable to 15 16 resume his football career. He continues to suffer the debilitating effects of his injury, and will 17 require additional future surgeries. 18 135. Mr. Prothro competed pursuant to the NCAA's rules and regulations, and has been 19 deprived of compensation by Defendants and their co-conspirators for the continued use of his 20 image following the end of his intercollegiate athletic career. Mr. Prothro signed one or more of 21 the release forms discussed herein (or the precursors to them, including scholarship and eligibility 22 papers that the NCAA has interpreted as a release of the student-athlete's rights with respect to 23 24 his image, likeness and/or name in connection with merchandise sold by the NCAA, its members, 25 and/or its licensees). 26 27 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 40 -

Case No. C 09-01967 CW

1 136. Mr. Prothros's image, along with those of other Antitrust Damages Class members, is 2 being offered for sale and/or used during the Antitrust Class Period in at least the ways described 3 below, without informed consent from him and without compensation paid to him. 4 137. Mr. Prothro's image, along with those of other Antitrust Damages Class members, is 5 being offered for sale and/or used during the Class Period in at least the ways described below, 6 without informed consent from him and without compensation paid to him. 7 8 138. As an example of formats in which Antitrust Damages Class members' images are 9 being used subject to the anticompetitive restraints detailed herein, Mr. Prothro's likeness was 10 used by the NCAA's business partner and co-conspirator Electronic Arts, Inc. EA's NCAA 11 College Football 04, 05 and 06 editions for the Playstation 2 game system contain teams that 12 include the Alabama Crimson Tide. In 2006, the player wearing jersey number 4 and playing 13 wide receiver was 5'8" 176 lb, with dark skin and close cropped dark hair. Mr. Prothro is a 5'8" 14 African American who played wide receiver and wore jersey number 4. In the 2005 version, 15 16 EA's game includes distinctive black ankle braces worn by Prothro. 17 139. Photographs of "The Catch" are also available for purchase from a website, 18 www.alabamacrimsontideprints.com, where prices range from \$17.99 to \$34.99, and from 19 www.gettyimages.com, which has numerous photographs of Mr. Prothro accepting his ESPY 20 award and playing in the September 17, 2005 game against University of South Carolina. Upon 21 information and belief, the NCAA and/or its members have had a contractual relationship with 22 Getty Images that allows for the sale of photographs containing the images of current and former 23 24 NCAA student athletes. 25 26 27 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 41 -Case No. C 09-01967 CW

1 Sam Jacobson

2	140. Antitrust Plaintiff Samuel Jacobson ("Sam Jacobson") is a resident of Apple Valley,
3	Minnesota. Mr. Jacobson played high school basketball at Park of Cottage Grove High School,
4	where in 1994 he was named "Mr. Basketball" for the state of Minnesota.
5 6	141. Mr. Jacobson competed on the University of Minnesota ("Minnesota") men's
0 7	basketball team from 1994-95, 1995-96, 1996-97 and 1997-98 seasons in the Big 10 Conference.
8	Mr. Jacobson was named to the All Big Ten Second Team in 1997 and 1998, to the NABC All
9	District Team in 1997 and 1998, and was the MVP of the 1998 Minnesota Gophers. Mr.
10	Jacobson was named Honorable Mention All-America by the AP in 1998, and was a nominee for
11	the 1998 Naismith Player of the Year award. Mr. Jacobson was also named to the Under 22
12	National USA Men's basketball team.
13	142. Mr. Jacobson finished as the eighth leading scorer in the history of the University of
14 15	Minnesota. He led University of Minnesota to the Final Four of the 1997 NCAA Men's
16	Basketball national tournament, where Mr. Jacobson was named to the Midwest Regional Team.
17	In his final season with the University of Minnesota, Mr. Jacobson led the Minnesota's basketball
18	team to the National Invitational. After his collegiate career ended, Mr. Jacobson was selected
19	by the Los Angeles Lakers with their first pick in the 1998 NBA draft (26 th overall). Mr.
20	Jacobson played in the NBA for four seasons, and then played a few more seasons in overseas
21	leagues.
22	143. Mr. Jacobson competed pursuant to the NCAA's rules and regulations, and has been
23 24	
24 25	deprived of compensation by Defendants and their co-conspirators for the continued use of his
25 26	image, likeness and/or name following the end of his intercollegiate athletic career. Mr. Jacobson
27	signed one or more of the release forms discussed herein (or the precursors to them, including
28	scholarship and eligibility papers that the NCAA has interpreted as a release of the student-
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 42 - Case No. C 09-01967 CW

1 athlete's rights with respect to his image, likeness and/or name in connection with merchandise 2 sold by the NCAA, its members, and/or its licensees).

3 144. Mr. Jacobson's image, likeness and/or name, along with those of other Antitrust 4 Damages Class members, is being offered for sale and/or used during the Antitrust Class Period 5 in at least the ways described below, without informed consent from him and without 6 compensation paid to him. For example, on the NCAA's On Demand on-line store, operated in 7 8 connection with its for-profit business partner Thought Equity Motion, the following DVD's are 9 offered at a cost of \$24.99 each: "1997 NCAA Division I Men's Basketball Regional Semi Finals 10 - Clemson vs. Minnesota" and "1997 NCAA Division I Men's Basketball Regional Finals -11 UCLA vs. Minnesota." This site sells copies of other games utilizing the image of Mr. Jacobson 12 and other Antitrust Damages Class members from the 1995 and 1997 seasons, including the 13 following: "1995 NCAA Division I Men's Basketball 1st Round - Minnesota vs. St. Louis;" 14 "1997 NCAA Division I Men's Basketball 1st Round – Southwest Texas State vs. Minnesota;" 15 "1997 NCAA Division I Men's Basketball 1st Round - Temple vs. Minnesota;" "1997 NCAA 16 17 Division I Men's Basketball ^{2ndt} Round - Minnesota vs. Temple" and "1997 NCAA Division I 18 Men's Basketball National Semi Final - Kentucky vs. Minnesota." While not currently in 19 production, these games are available for purchase via custom order at a cost of \$150 each. 20 145. As additional examples, DVDs available for purchase from Amazon.com which 21 feature Mr. Jacobson while he was playing basketball for the University of Minnesota include the 22 following: "1997 NCAA Division 1 Men's basketball final four highlight video;" "1997 NCAA 23 24 Division 1 Men's basketball regional final - UCLA v. MN" and "1997 NCAA Division 1 Men's 25 basketball regional semi-final - Clemson v. MN." These DVD's are available from Amazon.com 26 for \$24.99 each. 27 28

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

1 146. Similarly, photos of Mr. Jacobson are offered by Getty Images, including games from 2 the 1997 NCAA tournament: Minnesota v. Kentucky (photos Editorial #298338, #298165, 3 #297832, #297776, #254791, #254715, and #254589); and Minnesota v. Clemson (photos: 4 Editorial #294053, #291649 and #1396224). The website also offers photos of Mr. Jacobson 5 playing against other Big 10 teams: Minnesota v. Purdue (photos Editorial #352735, #352273, 6 #349385, #346565, #346543, and #296263); Minnesota v. Iowa (photos: Editorial #347377); and 7 8 Minnesota v. Northwestern (photo: Editorial #298159). On information and belief, Getty Images 9 has had a contractual relationship with the NCAA relating to photo sales. 10 147. As another example of formats in which Antitrust Damages Class members' images, 11 likenesses and/or names are being utilized subject to the anticompetitive restraints detailed herein, 12 numerous images of Mr. Jacobson are for sale on several on-line photo stores. For example, 13 Photoshelter.com has available for download, a photo from the March 29, 1997 semi-final game 14 between Minnesota and Kentucky. The photo is described as follows: "29 MAR 1997: University 15 16 of Kentucky guard Wayne Turner (5) scores against University of Minnesota center Trevor 17 Winter (50), guard Sam Jacobson (5) and forward Courtney James (4) during the Final Four 18 semifinal game. Kentucky defeated Minnesota 78-69 in the semifinal game held at the RCA 19 Dome in Indianapolis, IN. Rich Clarkson/NCAA Photos." No pricing is available, however, 20 according to Photoshelter.com, NCAA photos is the owner of the copyright on this photo. 21 148. As another example of formats in which Antitrust Damages Class members' images, 22 likenesses and/or names are being utilized subject to the anticompetitive restraints detailed herein, 23 24 Minnesota games featuring Mr. Jacobson also are periodically rebroadcast on ESPN Classic 25 and/or one or more other networks. 26 149. As another example of formats in which Antitrust Damages Class members' images, 27 likenesses and/or names are being utilized subject to the anticompetitive restraints detailed herein, 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 44 -Case No. C 09-01967 CW

the video game College Hoops 2K6 licensed by the NCAA has a "Legacy Mode" in which the
 1997 Minnesota Gophers' team can be "unlocked."

150. On information and belief, Mr. Jacobson's image, likeness and/or name has been used and sold in additional ways for additional uses via the licensing entities such as Defendant CLC and TEM described herein.

7 151. As a result of the federal antitrust violations described herein, Antitrust Plaintiff
8 Jacobson was injured in his business or property, and was unfairly deprived of compensation in
9 connection with the use and sale of his image, likeness and/or name.

10

3

4

5

6

11 Damien Rhodes

12 152. Antitrust Plaintiff Damien Rhodes is a resident of Manlius, New York. Mr. Rhodes
 13 was a member of the Syracuse University football team in the Big East Conference from the 2002
 14 - 2005 seasons, and a highly-accomplished running back. He was honored as a member of the
 15 Big East Conference All-Freshman Team, and as a 2nd Team All-Big East Running Back during
 16 his career. He finished first in Syracuse University history for total yards gained by a player.
 152 Mr. Phodes competed pursuant to the NCAA's rules and regulations, and has been

18 153. Mr. Rhodes competed pursuant to the NCAA's rules and regulations, and has been 19 deprived of compensation by Defendants and their co-conspirators for the continued use of his 20 image, likeness and/or name following the end of his intercollegiate athletic career. Mr. Rhodes 21 signed one or more of the release forms discussed herein (or the precursors to them, including 22 scholarship and eligibility papers that the NCAA has interpreted as a release of the student-23 athlete's rights with respect to his image, likeness and/or name in connection with merchandise 24 sold by the NCAA, its members, and/or its licensees).

154. Mr. Rhodes' image, likeness and/or name, along with those of other Antitrust
Damages Class members, is being offered for sale and/or used during the Antitrust Class Period
in at least the ways described below, without informed consent from him and without
CONSOLIDATED AMENDED CLASS
ACTION COMPLAINT
- 45 -

compensation paid to him. For example, in 2002, Syracuse played (and Mr. Rhodes played in) a game against Virginia Tech that went into three overtimes has been featured as "Classic" game and replayed or one or more networks, and will continue to be replayed.

155. From 2003 to 2006, Rhodes was the featured back for the Syracuse football team in
the NCAA College Football videogames created by Defendant EA Sports. The featured running
back was African-American (as is Mr. Rhodes) and had the same height, weight and jersey
number (1) as Mr. Rhodes. The internet application for the game (such as Xbox Live for Xbox)
allowed a user to download names of players, and his name would appear on the running back
with Number 1 on the jersey.

11 156. Mr. Rhodes' images, likenesses and/or name also has been utilized in various Getty
12 Images' photographs. Upon information and belief, the NCAA and/or its members have had a
13 contractual relationship with Getty Images allow for the sale of photographs containing the
15 images of current and former NCAA student-athletes.

16 157. On information and belief, Mr. Rhodes' image, likeness and/or name has been used
17 and sold in additional ways for additional uses via the licensing entities such as Defendant CLC
18 and TEM described herein.

19 158. As a result of the federal antitrust violations described herein, Antitrust Plaintiff
20 21 Rhodes was injured in his business or property, and was unfairly deprived of compensation in
22 connection with the use and sale of his image, likeness and/or name.

23 Danny Wimprine

1

2

3

4

159. Antitrust Plaintiff Danny Wimprine is a resident of River Ridge, Louisiana. Mr.
Wimprine was the starting quarterback on the University of Memphis ("Memphis") Tigers men's
football team during the 2001 through 2004 seasons and competed for Memphis in Conference
USA. He holds numerous Memphis football records, including passing yards (10,215),
CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page47 of 157

1 completions (808), and touchdown passes (81). Mr. Wimprine was the first player in school 2 history to throw for more than 7,000 yards in a career. He was named Conference USA Player of 3 the Week many times during his college career. Mr. Wimprine also holds the Conference USA 4 record for second most touchdown passes in one game (5). He was named the 2003 New Orleans 5 Bowl MVP. In 2004, Mr. Wimprine was a candidate for the Davey O'Brien National Quarterback 6 Award, an award given annually to the nation's top quarterback. During his senior year, he was 7 8 named to the All-Conference USA second team. In 2009, Mr. Wimprine was listed as one of the 9 top five Memphis Athletes of the Decade in the Memphis Flyer.

10 160. Following his career at the University of Memphis, Mr. Wimprine earned a position 11 on the Canadian Football League's Edmonton Oilers (2005) and Calgary Stampeders (2006). 12 From there, he returned home to New Orleans and joined the Arena Football League's New 13 Orleans VooDoo. Wimprine learned the system in 2007 and, in 2008, became the starter, tying 14 the league record with five wins in his first five starts and earning Player of the Week Honors. In 15 16 2008, Mr. Wimprine earned a quarterback rating of 113.45, completing 60.6% of his passes while 17 throwing 85 touchdowns and only 11 interceptions. During the 2008 season, Mr. Wimprine tied 18 an AFL record for the most consecutive games won in a row (5) by a rookie, and was also voted 19 mid-season AFL 1st team quarterback and was on the "Watch List" for player of the year. 20

161. Mr. Wimprine competed pursuant to the NCAA's rules and regulations, and has been
deprived of compensation by Defendants and their co-conspirators for the continued use of his
image, likeness and/or name following the end of his intercollegiate athletic career. Mr.
Wimprine signed one or more of the release forms discussed herein (or the precursors to them,
including scholarship and eligibility papers that the NCAA has interpreted as a release of the

student-athlete's rights with respect to his image, likeness and/or name in connection with

merchandise sold by the NCAA, its members, and/or its licensees).

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

- 47 -

1	
1	162. Mr. Wimprine's image, likeness and/or name, along with those of other Antitrust
2	Damages Class members, is being offered for sale and/or used during the Antitrust Class Period
3	in at least the ways described below, without informed consent from him and without
4 5	compensation paid to him. For example, Mr. Wimprine's likeness has been used in the video
6	game "NCAA Football", published by Defendant Electronic Arts, Inc.
7	163. DVDs of games in which Danny Wimprine played were also sold. These games
8	include: the September 6, 2003 game between the Mississippi Rebels and the Memphis Tigers;
9	the 2003 New Orleans Bowl; and the 2004 GMAC Bowl.
10	164. Mr. Wimprine has been featured in numerous broadcasts of "classic" games,
11	including games against Louisville from his senior year, Mississippi, and others.
12 13	165. Mr. Wimprine wore number 18 while a quarterback at Memphis and at least
14	thousands of replica Memphis football jerseys bearing his number were sold.
15	166. On information and belief, Mr. Wimprine's image, likeness and/or name has been
16	used and sold in additional ways for additional uses via the licensing entities such as Defendant
17	CLC and TEM described herein.
18	167. As a result of the federal antitrust violations described herein, Antitrust Plaintiff
19 20	Wimprine was injured in his business or property, and was unfairly deprived of compensation in
20 21	connection with the use and sale of his image, likeness and/or name.
21	RIGHT OF PUBLICITY DEFENDANTS
23	168. Defendant EA, a Delaware corporation, is a multi-billion dollar interactive
24	entertainment software company that produces the NCAA Football, NCAA Basketball and
25	NCAA March Madness videogame franchises. It describes itself as the "world's leading
26	interactive entertainment software company." Its revenues support this claim. In just one fiscal
27	year (2008), Electronic Arts posted net revenues, calculated under GAAP, of \$3.67 billion.
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW - 48 -

1

2

3

4

Electronic Arts' principal place of business is Redwood City, California, but Electronic Arts sells its games directly to consumers throughout the country through its website www.ea.com and indirectly through major retailers in all fifty states.

169. Defendant NCAA is an unincorporated association that acts as the governing body of 5 college sports. Although it describes itself as "committed to the best interests . . . of student 6 athletes," the NCAA's true interest is in maximizing revenue for itself and its members, often at 7 8 the expense of its student-athletes. While extolling the virtues of "amateurism" for student-9 athletes, the NCAA itself runs a highly professionalized and commercialized licensing operation 10 that generates hundreds of millions in royalties, broadcast rights and other licensing fees each 11 year. The annual revenues for the NCAA in fiscal year 2007-08 were \$614 million. Almost 90% 12 of the NCAA's annual budget revenues stem from marketing and television rights, with only 9-13 10% coming from championship game revenues. The NCAA's operations are also highly 14 profitable. The direct expenses for operating the actual games that generated the \$614 million in 15 16 revenues was only \$59 million 17 170. Defendant CLC, a Georgia corporation headquartered in Atlanta, Georgia, is the 18 nation's leading collegiate trademark, licensing, and marketing company. CLC represents nearly 19 200 colleges, universities, bowl games, and athletic conferences, including the NCAA. Its 20 primary service is to market and sell its clients. 21 **ANTITRUST DEFENDANTS** 22 171. Defendant NCAA is an unincorporated association with its principal place of 23 24 business located in Indianapolis, Indiana. 25 172. Defendant CLC is a for-profit corporation incorporated under the laws of Georgia 26 with its principal place of business located at 290 Interstate N Circle SE, Suite 200, Atlanta, 27 Georgia 30339. IMG College, a division of IMG, identifies CLC as its "licensing team," and 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 49 -Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page50 of 157

1

2

3

4

5

22

28

states that CLC is "the unrivaled leader in collegiate brand licensing, managing the licensing rights for nearly 200 leading institutions that represent more than \$3 billion in retail sales and more than 75% share of the college licensing market." IMG identifies itself as "a leading collegiate marketing, licensing and media company."

173. Defendant EA is a for-profit corporation incorporated under the laws of Delaware 6 with its principal place of business located in this District at 209 Redwood Shores Parkway, 7 8 Redwood City, California 94065. EA is publicly traded on the NASDAQ stock exchange (ticker 9 symbol: ERTS) and identifies itself as "the world's leading interactive entertainment software 10 company" and states that it "develops, publishes, and distributes interactive software worldwide 11 for video game systems, personal computers, cellular handsets and the Internet." In its 2008 12 fiscal year, EA had revenues of \$3.67 billion and 27 of its titles sold more than one million 13 copies. As described herein, the NCAA has entered into license agreements with EA relating to 14 the use of the likenesses of members of the Antitrust Classes in video games available via various 15 16 platforms.

17 174. Whenever in this Complaint reference is made to any act, deed, or transaction of the
18 Defendants, the allegation means that the Defendants engaged in the act, deed, or transaction by
19 or through their officers, directors, agents, employees, or representatives while they were actively
20 engaged in the management, direction, control or transaction of Defendants' business or affairs.

ANTITRUST CO-CONSPIRATORS

175. Various other persons, firms, corporations, and entities (including, but not limited to,
 TEM, CI, Getty Images, and Learfield Sports) have participated as unnamed co-conspirators with
 Defendants in the violations and conspiracy alleged herein, including the NCAA's members. In
 order to engage in the offenses charged and violations alleged herein, these co-conspirators have

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

- 50 -

1 performed acts and made statements in furtherance of the antitrust violations and other violations 2 alleged herein. 3 176. At all relevant times, each co-conspirator was an agent of Defendants and each of the 4 remaining co-conspirators, and in doing the acts alleged herein, was acting within the course and 5 scope of such agency. Defendants and each co-conspirator ratified and/or authorized the 6 wrongful acts of Defendants and each of the other co-conspirators. Defendants and the co-7 8 conspirators, and each of them, are participants as aiders and abettors in the improper acts and 9 transactions that are the subject of this action. 10 INTERSTATE TRADE AND COMMERCE WITH RESPECT TO ANTITRUST CLAIMS 11 177. The business activities of Defendants that are the subject of this action were within 12 the flow of, and substantially affected, interstate trade and commerce. 13 178. During the Antitrust Class Period, Defendants transacted business in multiple states 14 in a continuous and uninterrupted flow of interstate commerce throughout the United States. 15 **RIGHT OF PUBLICITY ALLEGATIONS** 16 179. EA produces the NCAA Football, NCAA Basketball and NCAA March Madness 17 videogame franchises. Videogame titles within these franchises simulate basketball and football 18 matches between NCAA member schools. Consumers demand that these matches simulate actual 19 20 college matches in the most realistic manner possible. In the words of CLC President Pat Battle: 21 "A failure to keep up with technology and take full advantage from a consumer standpoint may 22 make the NCAA [video game] titles less valuable." As a result, each year EA spends millions of 23 dollars to ensure the realism of the games, and advertises this realism in the promotion of its 24 products. Specifically, pursuant to a license with CLC, the NCAA's licensing company, EA 25

replicates team logos, uniforms, mascots and member school stadiums with almost photographic

27 realism. In addition to computer generated images, Electronic Arts includes actual photographs

- 51 -

28 of uniformed student-athletes in the games. CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page52 of 157

1	180. As discussed in more detail below, EA is not permitted to utilize player names and
2	likenesses. In reality, however, EA with the knowledge, participation and approval of the NCAA
3	and CLC extensively utilizes actual player names and likenesses allowing a player of an EA game
4	to identify the college athlete playing the game. The motivation of Defendants is simple: more
5 6	money. As the NCAA, CLC and EA know, heightened realism in NCAA videogames translates
7	directly into increased sales, and therefore, increased revenues for Electronic Arts and increased
8	royalties for CLC and the NCAA.
9 10	A. Prohibitions on Use of Names or Likenesses
11	181. The NCAA does not officially permit the licensing of NCAA athlete likenesses or the
12	use of their names. In fact, NCAA Bylaw 12.5 specifically prohibits the commercial licensing of
13	an NCAA athlete's "name, picture or likeness."
14 15	182. To help enforce this rule, all incoming freshman and transfer students, including
15 16	Right of Publicity Plaintiffs and class members, are required to enter into a contract with the
17	NCAA that prohibits the student-athlete from using his name, picture or likeness for commercial
18	purposes.
19	183. Likewise, the contract prohibits the NCAA from using Right of Publicity Plaintiffs'
20	and class members' names, pictures and likeness for commercial purposes.
21	184. The NCAA, however, sanctions, facilitates and profits from EA's use of student-
22 23	athletes' names, pictures and likenesses despite contractual obligations prohibiting such conduct.
23 24	B. The Contract Between the NCAA and the Student-Athletes
25	D. The contract between the incara and the Student-Athletes
26	185. The contract each incoming freshman and transfer student-athlete signs is titled Form
27	08-3a Student-Athlete Statement – Division I. See Exhibit A.
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 52 - Case No. C 09-01967 CW

186. The contracts used during the class period are, upon information and belief, substantively analogous to Exhibit A, if not identical, and are also titled Form 08-3a.

187. All Plaintiffs and putative class members entered into such contracts and signed Form 08-3a in exchange for certification from the NCAA that allows them to participate in sanctioned NCAA Division I sporting events. The contract has seven parts, all of which must be executed by the student to receive certification that he is eligible to participate in NCAA Division I sporting events.

9 188. Part I requires the student-athlete to affirm his eligibility to participate in NCAA
10 events. Among other things, the student-athlete affirms that he has received a copy of the NCAA
11 rules and that he had an opportunity to ask questions about them. He also affirms that he "meet[s]
12 the NCAA regulations for student-athletes regarding eligibility recruitment, financial aid, amateur
13 status and involvement in gambling activity."

15 189. Part II requires the student-athlete to waive certain privacy rights under the Family
 Educational Rights and Privacy Act of 1974. Among other things, the student-athlete agrees to
 permit the disclosure of education records, drug test results, social security numbers, race and
 gender identification, diagnosis of certain education related disabilities, financial aid records, and
 "any other papers or information pertaining to your NCAA eligibility."

21
 22
 NCAA amateurism rules.

191. Part IV requires the student to authorize and grant a limited license to the "NCAA [or
 a third party acting on behalf of the NCAA (*e.g.*, host institution, conference, local organizing
 committee)] to use the student-athlete's "name or picture to generally promote NCAA
 championships or other NCAA events, activities or programs."

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

1

2

3

4

5

6

7

8

27

28

- 53 -

1 192. Part V requires the student-athlete to disclose whether he has ever tested positive for 2 a banned substance by the NCAA and/or by a non-NCAA national or international athletics 3 organization. 4 193. Part VI is for transfer students only. The contractual provision requires the student-5 athlete to identify himself, if appropriate, as a transfer student and to describe, if applicable, any 6 previous involvement in NCAA rules violation(s). 7 194. Part VII is for incoming freshmen only. The contractual provision requires the 8 9 student-athlete to confirm that he has a validated ACT and/or SAT score. 10 195. The contract is valid from the date the document is signed and remains in effect until 11 a subsequent Division I Student-Athlete Statement/Drug Testing Consent form is executed. 12 196. The contract is required by the NCAA Constitution and Bylaws, and student-athletes 13 are ineligible to participate in any intercollegiate competition unless they execute the contract. In 14 return and in consideration for the above disclosures, waivers, affirmations and limited license, 15 16 the NCAA agrees to grant players eligibility to participate in Division I athletics. The contract is 17 an adhesion contract due to the unequal bargaining power of the parties and the take it or leave it 18 nature of the contract. 19 197. EA is not a third party acting on behalf of the NCAA, as contemplated by section IV 20 of the contract. Nor is Electronic Arts using Plaintiffs' or class members' names, pictures, or 21 likenesses to generally promote an NCAA championship or other NCAA event, activity or 22 program as contemplated by section IV of the contract. Instead, EA is using Right of Publicity 23 24 Plaintiffs' and class members' names, pictures, and likenesses for commercial purpose and 25 without consent. 26 198. The NCAA has a duty to NCAA athletes to honor its own rules prohibiting and 27 contractual obligations relating to the use of student likenesses and pictures. CLC is likewise 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 54 -Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page55 of 157

1	contractually obligated to honor NCAA prohibitions on the use of student likenesses.
2	Specifically, the licensing agreements between the NCAA and CLE and between EAand CLC
3	explicitly prohibit the use of NCAA athlete names and/or likenesses in NCAA branded
4 5	videogames. Under the NCAA's licensing program, the NCAA and its member institutions,
5	through CLC, are required to approve every EA videogame produced pursuant to the license
7	before its release. Ostensibly NCAA athletes are the intended beneficiaries of the NCAA likeness
8	prohibitions and the contractual provisions that incorporate them in contracts between and among
9	CLC, NCAA and EA.
10	C. EA's Blatant Use of Player Names and Likenesses
11	C. EA S Diatant Ose of I layer Maines and Likenesses
12	199. Ea purports to honor the NCAA's rule nominally prohibiting the use of player
13	likenesses. In fact, it does not. As an EA spokesperson candidly acknowledged in a 2006
14 15	interview with The Indianapolis Star, its real mindset with regard to the use of player names and
15	likenesses can be summed up in one sentence: "Ok, how far can we go?"
17	200. The answer can be found in the games themselves. EA seeks to precisely replicate
18	each school's entire team. With rare exception, virtually every real-life Division I football or
19	basketball player in the NCAA has a corresponding player in EA's games with the same jersey
20	number, and virtually identical height, weight, build, and home state. In addition, EA matches the
21	player's skin tone, hair color, and often even a player's hair style, although this last characteristic
22	can be highly variable over even a single season.
23 24	201. EA's misappropriation of player likenesses is not limited to superstars at large
25	schools or top programs. Kent State Golden Flashes running back Eugene Jarvis, for example,
26	stands a mere 5'5" and weighs only 170 pounds. He is also an African-American red-shirt junior
27	from Pennsylvania who wears number 6 for the Golden Flashes. And although he is extremely
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 55 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page56 of 157

talented, Mr. Jarvis is unusually small for a college football player. For these reasons, one would expect a randomly generated virtual running back for the Golden Flashes to be somewhat dissimilar to Mr. Jarvis. But here are the first two profile pages for Golden Flashes player number 6 from the NCAA 2009 Football game:

EDIT PLAYER	4)	
First Name Last Name Position Jersey Number Handedness Home State Home State	HB #6 HB 6 Right Pennsylvania Hampton	E
Year	Junior (RS)	672
EDIT PLAYER	NCE (2 OF 4)	and the second se
Height Weight Skin Tone Face Arm Build	 ★ 5' 5" 170 lbs Dark Dark 4 40 	
Chest Build Belly Build Rear Build Thigh Build		E
Calf Build Feet Build	°	
202. Number 6 for the	Golden Flashes is clearly I	Mr. Jarvis. Both players are
pound African-American play	vers. Both are also red-shir	t juniors from Pennsylvania

23 203. EA's blatant misappropriation of player likenesses is highlighted by a comparison of
 24 EA's NCAA titles to its titles based on professional leagues for which EA has the legal right to
 25 player likenesses through license agreements with the relevant players' unions. If EA were not
 26 utilizing actual player likenesses, one would expect significant changes to the virtual player once

the starting running back for the Golden Flashes. This is not a mere coincidence.

5'5", 170

and both are

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page57 of 157

the corresponding real player entered a professional league. In fact, the likeness of NCAA players who later enter a professional league remains virtually identical across titles.

204. For example, the profile below on the left, taken from the 2008 NCAA football game, shows number 77, an offensive lineman for the Michigan Wolverines. During that period of time, Jake Long wore number 77 for the Wolverines. On the right is a screenshot of Jake Long from the Madden NFL 2009 football game. The two pictures are virtually identical.





205. The similarities in the two images are not mere coincidence. Indeed, it would be
 nearly statistically impossible for randomly generated players to match so closely their real-world
 counterparts. Mr. Long and Mr. Jarvis are not unique examples. They were randomly chosen to
 show how similar almost all players are to their virtual counterparts.

206. Misappropriation of basketball players is equally egregious. For example, 21 Georgetown All-American center Roy Hibbert is 7'2" – unusually tall even for a college 22 basketball player – and weighs 275 pounds. In the 2007 season, Mr. Hibbert was also an African-23 24 American senior from Maryland who often played with an arm or elbow sleeve. He also wore 25 jersey number 55 for the Georgetown Hoyas. One would expect a randomly generated "No. 55" 26 for Georgetown to have, at most, a couple of these characteristics. But here is the profile for 27 Georgetown number 55. 28 CONSOLIDATED AMENDED CLASS

1

2

3

4

5

6

7

8

9

10

11

12

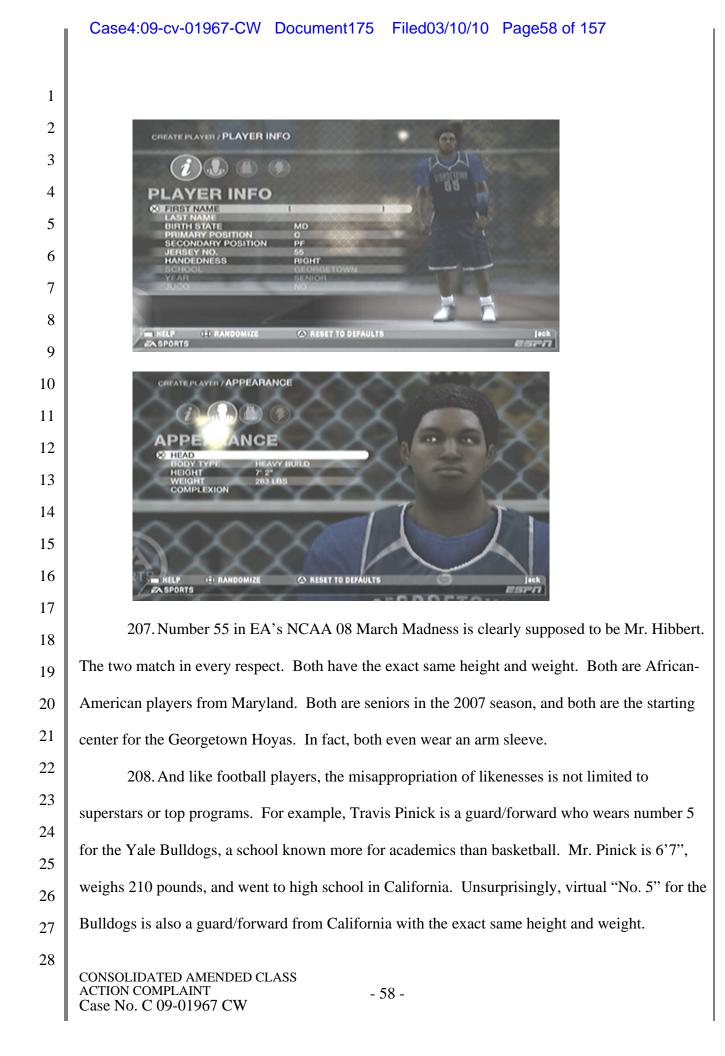
13

14

15

20

ACTION COMPLAINT Case No. C 09-01967 CW



1 209. In addition to the physical features, EA even matches players' idiosyncratic 2 equipment preferences such as wristbands, headbands, facemasks and visors. 3 210. For example, in the 2009 NCAA Football game, Texas Tech wide receiver "No. 5" 4 plays with a back plate under his uniform just like the real number 5, Texas Tech All-American 5 wide receiver Michael Crabtree. Additionally, both are 6'3" red-shirt sophomore wide receivers 6 from Texas. 7 211. In the same game, Kansas State quarterback "No. 1" plays with an arm sleeve just 8 9 like the real number 1, Kansas State All-American quarterback Josh Freeman. Mr. Freeman is 10 also a 6'6", 250 pound, junior quarterback from Missouri, just like his virtual twin. 11 212. Likewise, Ohio State linebacker "No. 33" plays with thin arm-bands on his upper 12 arm, just below his bicep, wrist wraps and gloves. Interestingly, so does the real number 33, Ohio 13 State All-American, Nagurski Trophy¹ winner, and Butkus Award² winner, linebacker James 14 Laurinaitis. Both are also 6'3", 244 pound seniors from Minnesota. 15 16 213. Once again, these are not unique examples. Defendants deliberately and 17 systematically misappropriate players' likenesses to increase revenues and royalties at the 18 expense of student-athletes. 19 214. In fact, to ensure it matches these unique player equipment preferences as accurately 20 as possible, Electronic Arts sends detailed questionnaires to NCAA team equipment managers to 21 glean precisely the idiosyncratic individual player details. 22 215. When players have unique highly identifiable playing behaviors, 'EA's attempts to 23 24 match those as well. 25 216. EA also matches the virtual player's home state to the player's actual home state, and 26 often lists a city close to the player's real hometown as the virtual player's home town. 27 ¹ The award given to the top defensive player in the country. 28 2 The award given to the top college linebacker. CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 59 -Case No. C 09-01967 CW

217. The only detail that EA omits is the real-life player's name on the jersey of his electronic equivalent. As one commentator observed, "the omission of players' names seems little more than a formality, done with a wink and a nudge."

218. Despite the lack of players' names on jerseys, gamers rarely if ever distinguish between the "real" player and the player in EA's videogames. For example, through its website www.easportsworld.com, EA allows gamers to post short video clips from the videogame. Clips that feature unique plays are often labeled with actual player names even though they feature only EA's computer generated simulations.

10 219. The omission of players' names has little consequence because EA has intentionally 11 designed its game so that players of the game can easily upload entire rosters of actual player 12 names. Companies such as Gamerosters.com LLC each year release data files that contain the 13 complete rosters for each NCAA Division I school. These rosters can be placed on a flash drive 14 or memory card, and then easily uploaded to the game. Once uploaded, the default jerseys in the 15 16 game that contain only players' numbers are replaced with jerseys that contain both players' 17 actual names and actual numbers and in-game announcers then refer to players by their real 18 names. These third parties often correct minor and insignificant mistakes in height or weight thus 19 making EA's representations all the more accurate. 20

21 220. In the most recent versions of its games for the Sony Play Station 3, EA intentionally
made the process of obtaining actual player names even easier by allowing players to share
rosters online using its "EA Locker" feature. The EA Locker feature allows gamers to upload
rosters from other gamers while in the game itself. Prior to the EA Locker, gamers had to
download rosters from a computer, upload the files to the gaming console and then transfer the
rosters to the game. Now the gamer can obtain full NCAA rosters in a matter of seconds without

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

1

2

3

4

5

6

7

8

9

28

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page61 of 157

using a computer. Furthermore, numerous websites, such as www.freencaa09rosters.com, keep a list of players who offer free NCAA rosters utilizing the EA Locker feature.

221. EA could easily block users from uploading actual player names and in fact, does block users from uploading certain names, for example, names that contain profanities.

222. EA additionally encourages and facilitates the use of players' names and likenesses by allowing gamers to post screen shots – electronic pictures taken from their game – containing players' real names on its website. For example, the following is a screenshot taken directly from www.easportsworld.com that clearly shows the names of three players from the UCLA Bruins. In addition to the names, the virtual players match their real life counterparts in all other material



24
23. The 2010 version of NCAA basketball, which came out after this lawsuit was filed,
25
26
26
27 proudly points out the realism in the game and the likeness is startling. What you see in the game
27 aims to replicate what you see on a broadcast. Here are a few examples of overlays you will see
28 at different points in the game.
20 CONSOLIDATED AMENDED CLASS

ACTION COMPLAINT Case No. C 09-01967 CW

1

2

3

4

5

6

7

8

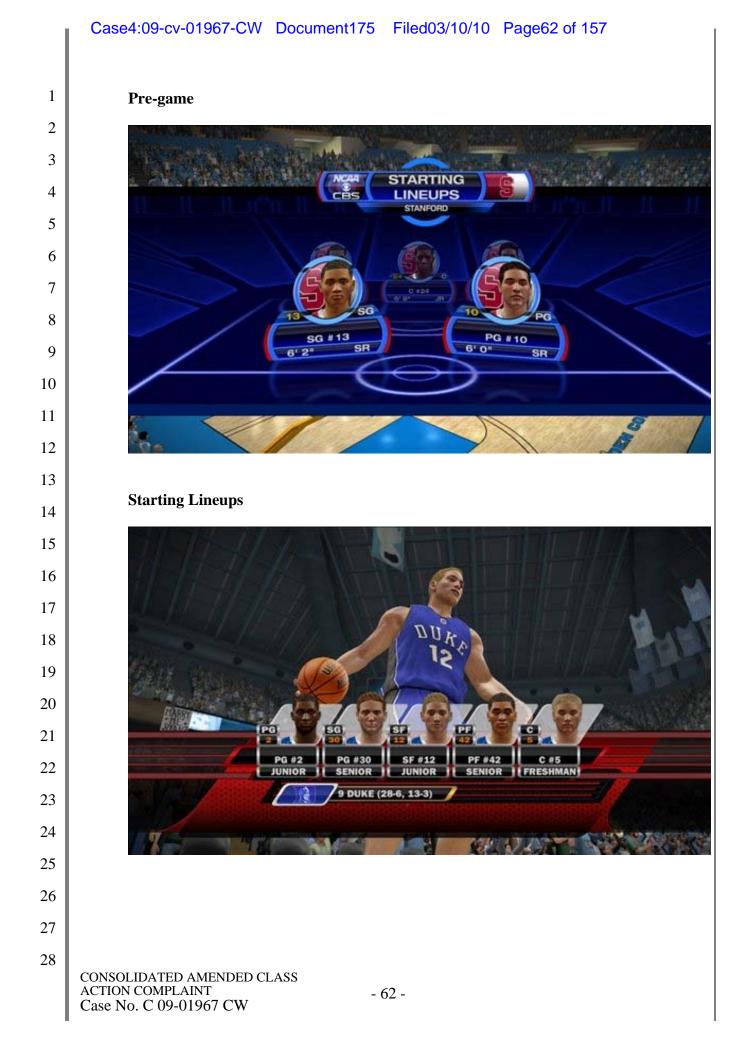
9

10

11

12

respects:



1	224. No. 13 of Stanford is recognizable as Stanford Guard Emmanual Igbinosa and No. 10
2	is Guard Drew Shiller.
3	225. No. 12 in the game, identified as a Junior forward, is recognizable as Duke's Kyle
4	Singler, and the remaining starting lineup directly corresponds to actual Duke players with the
5 6	same jersey numbers.
0 7	226. These are not isolated examples. These examples do, however, illustrate the blatant
8	and continued use of student-athlete likenesses in NCAA-related games, especially when one
9	considers that these images are taken from a game that was released after the filing of this
10	lawsuit.
11	
12	V. INJURY TO RIGHT OF PUBLICITY CLASS MEMBERS AND PLAINTIFFS
13	227. Player names and likenesses and publicity rights are extremely valuable, intangible
14	property. For example, it has been publicly reported that EA paid the NFL Players Union,
15	through their licensing arm, nearly thirty-five million dollars each year for the use of players'
16 17	names and likenesses.
17	228. Despite contractual provisions prohibiting the use of player names and likenesses and
19	in clear violation of the NCAA's own rules, the NCAA, CLC and EA have agreed between and
20	
21	among each other, and conspired to permit the use of player names and likenesses in EA's
22	videogames for their own monetary gain and without any compensation to the individual athletes.
23	In furtherance of the conspiracy, EA produced these games improperly using player likenesses
24	with the knowledge and consent of the CLC and the NCAA. Specifically, despite their
25	affirmative duties to prevent the utilization of player names and likenesses and in furtherance of
26	the conspiracy, the CLC and the NCAA have intentionally ignored EA's blatant use of NCAA
27	
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 63 - Case No. C 09-01967 CW

athlete names and likenesses and in fact have explicitly approved the utilization of NCAA athlete
 names and likenesses.
 229. Like virtually every other player, Right of Publicity Plaintiffs had their names and

likenesses replicated in several games.

4

5

6

Samuel Michael Keller

230. Right of Publicity Plaintiff Sam Keller enrolled at Arizona State on a scholarship
offer in 2003, as the ninth-ranked quarterback in his class. He played in six games as a true
freshman, passing for 247 yards and a touchdown.

10 231. In 2004, as a sophomore, Keller played back-up to senior Andrew Walter. He played
 11 in only six games, but threw for 606 yards and five touchdowns with only one interception.
 12 Keller earned his first career start in the Sun Bowl against Purdue, leading a fourth-quarter
 13 comeback victory with 370 yards and three touchdowns. He earned the Sun Bowl Most Valuable
 14 Player Award.

232. As a junior in 2005, Keller played well in his first four games of the season. He had 16 17 461 yards against LSU, followed up by 409 yards against Northwestern. He continued with 300-18 yard performances against USC and Oregon State. In just four games, he passed for 1,582 yards. 19 Unfortunately, he suffered an injury that limited him to only three more starts. Nonetheless, he 20 finished the season with 2,165 yards and 20 touchdowns in just over six full games. To put this 21 into perspective, over his six and one-half games, he averaged over three touchdowns per game. 22 This average would be higher than the averages of all quarterbacks playing a full season that 23 year-this includes Matt Leinart, Michael Vick, Brady Quinn, Vince Young, Jay Cutler and Colt 24 25 Brennan. If he wasn't injured and his performance stayed at this level, he would have likely 26 entered the NFL draft as a highly touted quarterback with almost 40 touchdowns and close to 27 4000 yards.

28

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

- 64 -

1

2

3

4

233. In 2006, Keller transferred from Arizona State to the University of Nebraska. Due to NCAA transfer rules, he was forced to sit out his senior season, but red-shirted to save his final year of eligibility.

234. In 2007, as a red-shirt senior, Keller finished the season with 2,422 yards and 14
touchdowns in nine games. Keller also set a Nebraska career and single-season record by
completing 63.1 percent of his passes, as well as a record for passing yards per game in a single
season and career.

9 235. Keller wore number 9 on his jersey at Arizona State. The virtual player who wears
10 number 9 for Arizona State in NCAA Football 2005 has the same height, weight, skin tone, hair
11 color, hair style, handedness, home state, play style (pocket passer), visor preference, and facial
12 features as Sam Keller. Player number 9 is also the starting quarterback for Arizona State and his
13 school year corresponds with Keller's school year.

236. Upon his arrival at Nebraska, Keller wore number 5, which he kept throughout 2006.
He continued to use number 5 during the spring game in 2007, but later, shortly before playing in
his first game at Nebraska in fall 2007, Keller switched to number 9.

18 237. The 2008 game, however, was researched before Keller made his switch, perhaps as 19 early as 2007 when Keller was a red-shirt senior (not playing for a year), and was too late to catch 20 Keller's abrupt switch to number 9. Although named by year, the game incorporates the team 21 that began playing the preceding year—e.g., the 2007-2008 team would appear in the 2008 game. 22 238. Virtual player number 5 has the same height, weight, skin tone, home state, 23 24 handedness, and facial features as Sam Keller. Virtual player number 5 is also the starting 25 quarterback for the University of Nebraska. Remarkably, the virtual player also wears a dark 26 visor, which Keller wore for the first time at Nebraska. But Keller only wore it prior to his first 27

28

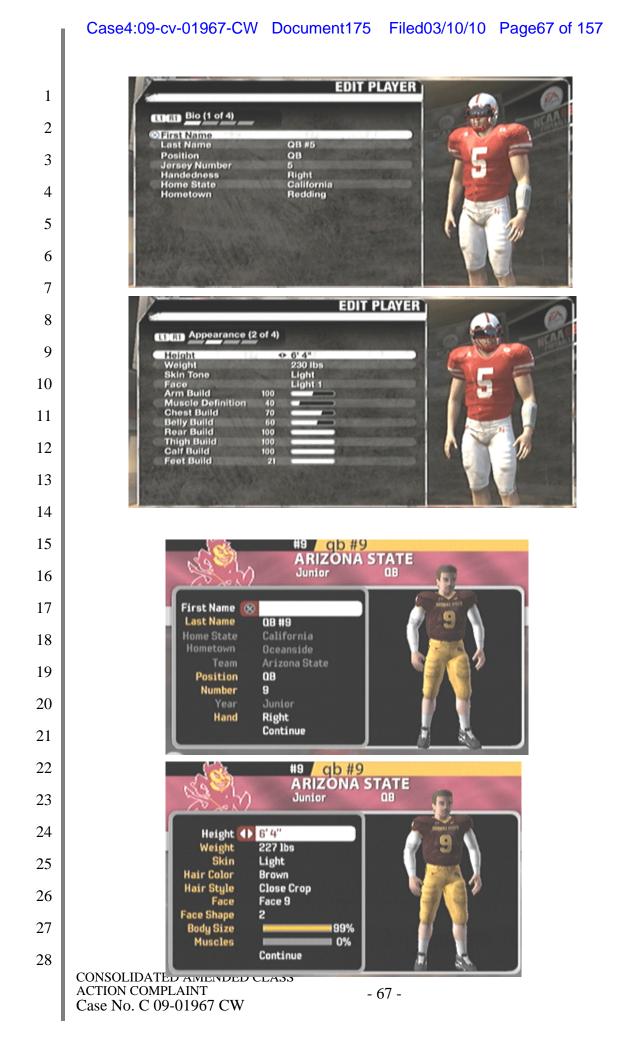
Case4:09-cv-01967-CW Document175 Filed03/10/10 Page66 of 157

1	real game, switching at that time to a clear visor. That is, he only wore a dark visor when he was
2	wearing the number 5 jersey.
3	239. The virtual Nebraska player wearing number 5 a year before Keller played at
4	
5	Nebraska was a senior wide receiver from North Carolina who was African American, 6'1," and
6	195 pounds. This virtual player's description perfectly describes the actual player, Shamus
7	McKoy.
8	240. It is not coincidental that the virtual number 5 is virtually identical in all material

⁹ respects to the former Arizona State quarterback who just transferred to Nebraska. Compare the

10 two images and it is obvious they are not randomly generated:

27	
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW



1	Keller, a graduate of the University of Nebraska with a degree in Political Science, never	
2	consented to the use of his name or likeness in any Electronic Arts product.	
3	Derror Chaister han Commission	
4	Bryan Christopher Cummings 241. Right of Publicity Plaintiff Bryan Cummings enrolled at the The State University of	
5	New York at Buffalo on a scholarship offer in 2002. He started eight games as a true freshman at	
6	middle linebacker.	
7	242. In 2003, as a sophomore, Cummings started all 11 games at middle linebacker, and	
8 9	was fifth on the team in tackles.	
10	243. As a junior in 2004, Cummings was voted captain (the only junior captain), started	
11	every game and was second on the team in tackles.	
12	244. In 2005, as a senior, Cummings was unanimously chosen as team captain, started	
13 14	every game and was second on the team in tackles.	
14	245. Cummings wore number 46 throughout his career at the University at Buffalo.	
16	According to the official school roster, Mr. Cummings was 6'3 and weighed 223 pounds his	
17	junior year and 226 pounds his senior year. Cummings finished his career with top ten school	
18	records in career tackles, career solo tackles, and forced fumbles.	
19	246. The virtual player who wears number 46 for the University of Buffalo in NCAA	
20	Football 2005 has the same height, weight, skin tone, hair color, hair style, handedness, home	
21	state (Ohio), and facial features as Bryan Cummings. Player number 46 is also the starting	
22 23	middle linebacker for the University at Buffalo.	
24	247. In addition to having a virtual player in NCAA Football 2005 that matches	
25	Cummings in almost all aspects, there is an actual picture of Cummings in NCAA Football 2010	
26	that is shown when a player selects the University of Buffalo as his or her team. Cummings never	
27	consented to any use of his likeness, image, or picture by EA Sports.	
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 68 - Case No. C 09-01967 CW	

1	248. Right of Publicity Plaintiff Bryon Bishop is a South Carolina resident and the former
2	starting left guard for the University of North Carolina football team.
3	249. Bishop enrolled at the University of North Carolina in 2004. He did not play in his
4	freshman season. Instead, he took a "red-shirt" year that preserved four years of NCAA
5	eligibility. He did not play in 2005 due to a back injury, but saw action in five football games as
6 7	a sophomore in 2006.
8	250. In 2007, Bishop's junior year, he played in two football games at left guard. And in
9	2008, as a red-shirt senior, Bishop started in four games at left guard and played in nine games.
10	251. Bishop wore North Carolina jersey number 76. In 2007, Bishop was officially listed
11	at 6'3" and weighed 300 pounds. In 2008, Bishop was officially listed at 6'4" and weighed 310
12	
13	pounds. The player who wears number 76 for North Carolina in NCAA Football 2008 and 2009
14	has the same height, weight, skin tone, hair color, hair style and home state as Bryon Bishop.
15	252. North Carolina player number 76 is also the starting left guard for the University of
16	North Carolina in NCAA Football 2009, and his school year corresponds with Bishop's school
17	year. Bishop never consented to any use of his likeness or image by EA Sports.
18	
19	<u>Lamarr Watkins</u> 253. Right of Publicity Plaintiff Lamarr Watkins enrolled at the University of Wisconsin –
20	Madison in 2002. As a true freshman, Watkins played in all 14 games, including starts at outside
21	linebacker in each of the final six games.
22	medacker m each of the final six games.
23	254. As a sophomore, Watkins played in 12 of 13 games, including two starts at
24	linebacker, and was a standout on special teams. As a junior, Watkins played in five games. In
25	2005, Watkins started all 13 games, including a bowl game against Auburn University.
26	
27	
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 69 - Case No. C 09-01967 CW

1	255. Watkins wore number 24 on his jersey at Wisconsin. The virtual player who wears
2	number 24 for Wisconsin in NCAA Football 2004 and 2005 has the same height, weight, skin
3	tone, hair color, hair style, handedness, home state (2005 only), and facial features as Watkins.
4 5	256. Player number 24 is also a sophomore right outside linebacker for Wisconsin, just
5 6	like Watkins. Watkins never consented to any use of his image or likeness by EA Sports.
7	VI. COMMON COURSE OF CONDUCT EMANATING FROM
8	CALIFORNIA AND INDIANA
9	257. EA is headquartered in Redwood City, California and is therefore a California
10	resident and citizen. As a California resident and citizen, Electronic Arts is subject to California
11	laws. Moreover, the primary executives responsible for negotiating the licensing agreements for
12	NCAA games reside and work in California. Upon information and belief, the administration of
13	licenses and negotiation of contracts with the NCAA and CLC have required frequent contact in
14	Indiana by EA, including but not limited to meeting at the NCAA's headquarters in Indiana.
15	Further, EA has used and continues to use Right of Publicity Plaintiffs' and class members'
16 17	likenesses in Indiana by selling – as well as promoting and advertising – its games in Indiana,
18	causing its games to be sold in Indiana, transporting its games into Indiana, causing its games to
19	be transported into Indiana, and by, upon information and belief, sending personnel to NCAA
20	members schools located in Indiana to use student-athletes likenesses to help them form its game
21	images. EA's personnel obtain information regarding player equipment preferences, playing
22	style and appearances for use in its games to increase realism by creating virtual payers that
23	
24	approximate their real-life counterparts as realistically as possible. In addition, through its
25	website www.easportsworld.com and other similar and successor websites, EA has knowingly
26	and intentionally published, disseminated, distributed and exhibited player likenesses in Indiana.
27	
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 70 - Case No. C 09-01967 CW

1 258. The NCAA has its principal place of business in Indiana and is therefore an Indiana 2 resident and citizen. As an Indiana resident and citizen, the NCAA is subject to Indiana laws. 3 The primary executives responsible for negotiating the licensing agreements for the NCAA 4 games produced by EA reside and work in Indiana. Approval to unlawfully utilize player 5 likenesses was granted by NCAA executives located in Indiana. Upon information and belief, the 6 administration of licenses and negotiation of contracts with the NCAA and CLC has required 7 8 frequent contact with California, including but not limited to meetings at Electronic Arts' 9 headquarters in California regarding player likenesses and frequent reaching out to individuals in 10 the state via interstate wires and the internet. Further, the NCAA has approved and facilitated EA 11 personnel visiting member schools for the purpose of using player likenesses to develop the 12 virtual players in its games. They have done so because EA's use of player names and likenesses 13 benefits the NCAA by increasing the popularity of the relevant games and thus the royalties that 14 the NCAA and CLC can collect. 15 16 259. The CLC has its principal headquarters in Atlanta, Georgia. Its contracts with the 17 NCAA were negotiated in Indiana and are governed by Indiana law. The administration of the 18 contracts, including the provisions regarding player likenesses, requires frequent contact and 19 travel to Indiana. Its contracts with EA were negotiated, in whole or in part, with executives 20 located in California and are subject to California law. The administration of the contracts, 21 including the provisions regarding player likenesses, requires frequent contact with California. In 22 negotiating and executing the player likeness provisions of the license with Electronic Arts, CLC 23 24 was directed by the NCAA and executives of the NCAA in Indiana. 25 260. Defendants' unlawful conspiracy took place in California and Indiana. Specifically, 26 the unlawful course of conduct was directed and ratified by Defendants in both California and 27 Indiana. 28

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page72 of 157
1	VII. RIGHT OF PUBLICITY CLASS ACTION ALLEGATIONS
2	261. Right of Publicity Plaintiffs sue on their own and on behalf of a class of persons
3	pursuant to Federal Rule of Civil Procedure 23. The putative Right of Publicity Class ³ is defined
4	as:
5	Virtual Player Class:
6	All NCAA football and basketball players listed on the official
7	opening-day roster of a school whose team was included in any interactive software produced by Electronic Arts, and whose assigned
8	jersey number appears on a virtual player in the software.
9	Photograph Class:
10	All persons whose photographed image was included in any NCAA-
11	related interactive software produced by Electronic Arts.
12	262. Excluded from both classes are Defendants, their employees, co-conspirators,
13	officers, directors, legal representatives, heirs, successors and wholly or partly owned subsidiaries
14 15	or affiliated companies, class counsel and their employees, and the judicial officers, and
15 16	associated court staff assigned to this case. Also excluded from the Virtual Player Class are the
10	limited number of players whose assigned jersey number appears in the game, but the virtual
18	players' height is not within one inch of the player's roster height and the virtual player's weight
19	is not within 10% of the player's roster weight. Also excluded from the Photograph Class are
20	those people who gave written consent to be included in the NCAA-related interactive software
21	produced by Electronic Arts. For purposes of the Civil Conspiracy and Breach of Contract claims
22	only, excluded from the Photograph Class are persons who did not sign form 08-3a.
23	263. The persons in the Right of Publicity Class are so numerous that individual joinder of
24 25	all members is impracticable under the circumstances of this case. Although the precise number
23 26	of such persons is unknown, the exact size of the Right of Publicity Class is easily ascertainable,
27	³ Though it consists of two components, the Right of Publicity class is, for convenience, referred
28	to throughout in the singular.
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 72 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page73 of 157

I

1	as each class member	r can by identified by using Defendants' records. Plaintiffs are informed and
2	believe that there are	many thousands of Right of Publicity Class members.
3	264. There ar	re common questions of law and fact specific to the Right of Publicity Class
4	that predominate ove	er any questions affecting individual members, including:
5 6	(a)	Whether Electronic Arts utilizes NCAA player likenesses in its
7	(1)	videogames;
8	(b)	Whether such use is unlawful;
9	(c)	Whether NCAA's duty of good faith and fair dealing requires them to protect players' likeness rights when dealing with Electronic Arts,
10	(d)	Whether NCAA and CLC have conspired with Electronic Arts to illegally use players' likenesses,
11		
12	(e)	Whether Defendants have authorized, approved, or permitted Electronic Arts' use of NCAA player likenesses in its videogames;
13	(f)	Whether Electronic Arts' conduct violates Indiana Code § 32-36-1-1;
14	(g)	Whether Electronic Arts' conduct violates California Civil Code § 3344;
15 16	(h)	Whether Electronic Arts' conduct constitutes an unfair trade practice;
17	(i)	Whether class members have been damaged by Defendants' conduct and the amount of such damages;
18	(j)	Whether treble damages are appropriate and the amount of such damages;
19 20	(k)	Whether punitive damages are appropriate and the amount of such damages;
21	(1)	Whether statutory damages are appropriate and the amount of such damages; and
22 23	(m)	Whether Defendants should disgorge their unlawful profits and the amount of such profits.
24	265. Plaintiffs	s' claims are typical of the Right of Publicity Class' claims, as they arise out
25	of the same course of	f conduct and the same legal theories as the rest of the Right of Publicity
26	Class, and Right of P	Publicity Plaintiffs challenge the practices and course of conduct engaged in
27 20	by Defendants with respect to the Class as a whole.	
28	CONSOLIDATED AME ACTION COMPLAINT Case No. C 09-01967	ENDED CLASS

2

3

4

5

22

23

24

25

266. Plaintiffs will fairly and adequately protect the interests of the class. They will vigorously pursue the claims and have no antagonistic conflicts. Right of Publicity Plaintiffs have retained counsel who are able and experienced class action litigators and are familiar with the videogame industry.

267. Defendants have acted or refused to act on grounds that apply generally to the Right 6 of Publicity Class, and final injunctive relief or corresponding declaratory relief is appropriate 7 8 respecting the class as a whole. A class action is also appropriate because Defendants have acted 9 and refuse to take steps that are, upon information and belief, generally applicable to thousands of 10 individuals, thereby making injunctive relief appropriate with respect to the Class as a whole. 11 Questions of law or fact common to class members predominate over any questions affecting 12 only individual members. Resolution of this action on a class-wide basis is superior to other 13 available methods and is a fair and efficient adjudication of the controversy because in the context 14 of this litigation no individual class member can justify the commitment of the large financial 15 16 resources to vigorously prosecute a lawsuit against Defendants. Separate actions by individual 17 class members would also create a risk of inconsistent or varying judgments, which could 18 establish incompatible standards of conduct for Defendant and substantially impede or impair the 19 ability of class members to pursue their claims. It is not anticipated that there would be 20 difficulties in managing this case as a class action. 21

ANTITRUST ALLEGATIONS

CLASS ACTION ALLEGATIONS WITH RESPECT TO ANTITRUST CLAIMS

26 268. Antitrust Plaintiffs bring this action under Federal Rule of Civil Procedure 23(b)(2)
27 and (b)(3) on their own behalf and on behalf of the following Antitrust Classes:
28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page75 of 157
1	The "Antitrust Declaratory and Injunctive Relief Class":
2	All current and former student-athletes residing in the United States
3	who compete on, or competed on, an NCAA Division I college or university men's basketball team or on an NCAA Football Bowl
4	Subdivision (formerly known as Division I-A until 2006) men's football team and whose images, likenesses and/or names may be, or have been, licensed or sold by Defendants, their co-conspirators,
5 6	or their licensees after the conclusion of the athlete's participation in intercollegiate athletics.
7	The Class also excludes the officers, directors, and employees of Defendants, the officers, directors and employees of any NCAA Division I college or university, and the officers, directors, or
8	employees of any NCAA Division I athletic conference.
9	The "Antitrust Damages Class":
10	All former student-athletes residing in the United States who competed on an NCAA Division I college or university men's
11	basketball team or on an NCAA Football Bowl Subdivision (formerly known as Division I-A until 2006) men's football team
12 13	whose images, likenesses and/or names have been licensed or sold by Defendants, their co-conspirators, or their licensees from July
13	21, 2005 and continuing until a final judgment in this matter. The class does not include current student-athletes.
15	The Class also excludes the officers, directors, and employees of
16	Defendants, the officers, directors, and employees of any NCAA Division I college or university, and the officers, directors, or employees of any NCAA Division I athletic conference.
17	
18	Members of the Antitrust Damages and Declaratory and Antitrust Injunctive Relief Classes are
19	collectively referred to herein as the "Antitrust Class" or the "Antitrust Classes" unless otherwise
20	individually specified.
21	269. In addition to seeking certification of nationwide classes for the antitrust claims,
22	Plaintiffs also seek certification of a nationwide class for purposes of their unjust enrichment /
23 24	constructive trust and accounting claims.
24	270. Antitrust Plaintiffs do not know the exact number of Antitrust Class members,
26	because that information is in the exclusive control of Defendants and third parties, including the
27	NCAA's members. However, due to the nature of the trade and commerce involved, Plaintiffs
28	believe that the Antitrust Class members number in the thousands and are geographically diverse CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 75 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page76 of 157

1	so that joinder of all Antitrust Class members is impracticable. Given that the NCAA is selling	
2	and licensing the images, likenesses and/or names of players from many decades, as described	
3	herein, it stands to reason that there are more former student athletes than current ones affected by	
4	the NCAA's anticompetitive practices described herein.	
5 6	271. There are questions of law and fact common to members of both the Antitrust	
0 7	Damages Class and the Antitrust Declaratory and Injunctive Relief Class, including but not	
8	limited to the following:	
9	a. whether Defendants and their co-conspirators engaged in or	
10	entered into a contract, combination, or conspiracy among themselves to fix, depress, maintain, and/or stabilize prices	
11	paid to Antitrust Class members for use of their images, likenesses and/or names after the conclusion of their	
12	participation in intercollegiate athletics;	
13	b. whether Defendants' unlawful conduct has enabled them to	
14	decrease, maintain, or stabilize below competitive levels the output, and compensation / royalties that Antitrust Class	
15	members would receive for use, of their images, likenesses and/or names in a market free of anticompetitive	
16	constraints;	
17	c. the duration of the contract, combination, or conspiracy alleged herein;	
18 10	d. whether Defendants violated Section 1 of the Sherman Act;	
19 20	e. whether Defendant NCAA's Form 08-03a, and any similar	
20 21	forms, are void and unenforceable;	
21	f. whether Defendant NCAA's "Institutional, Charitable, Educational, or Nonprofit Promotions Release Statement,"	
23	and any similar forms, are void and unenforceable; and	
24	g. whether the conduct of Defendants and their co- conspirators caused injury to the business or property of	
25	Plaintiffs and Antitrust Class members.	
26	272. Additional common questions of law of fact specific to the Antitrust Damages Class	
27	include the following:	
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 76 - Case No. C 09-01967 CW	

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page77 of 157
1 2	a. the appropriate measure of damages sustained by Plaintiffs and class members; and
3	b. whether Defendants have been unjustly enriched.
4	273. The common questions with respect to the Antitrust Damages Class predominate over
5	questions, if any, that affect only individual Antitrust Damages Class members.
6	274. With respect to the Antitrust Declaratory Relief and Injunctive Relief Classes,
7	common questions of law or fact include the following:
8	a. whether injunctive relief is appropriate;
9 10	b. if injunctive relief is appropriate, what types of such relief are suitable in this matter;
11	c. whether declaratory relief is appropriate;
12 13	d. whether a constructive trust for the benefit of class members should be established; and
13	e. whether an accounting is appropriate.
15	275. With respect to members of the Antitrust Declaratory and Injunctive Relief Class,
16	Defendants have acted or refused to act on grounds generally applicable to the Antitrust Class,
17	thereby making appropriate final injunctive relief or corresponding declaratory relief with respect
18	to the Antitrust Declaratory and Injunctive Relief Class as a whole.
19	276. Antitrust Plaintiffs' claims are typical of, and not antagonistic to, the claims of the
20 21	other Antitrust Class members. By advancing their claims, Antitrust Plaintiffs will also advance
21 22	the claims of all Antitrust Class members, because Defendants participated in activity that caused
23	all Antitrust Class members to suffer similar injuries.
24	277. Antitrust Plaintiffs and their counsel will fairly and adequately protect the interests of
25	absent Antitrust Class members. There are no material conflicts between Antitrust Plaintiffs'
26	claims and those of absent Antitrust Class members that would make class certification
27	
28	inappropriate. Counsel for Antitrust Plaintiffs are highly experienced in complex class action CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 77 - Case No. C 09-01967 CW

2

litigation, including antitrust litigation, and will vigorously assert Plaintiffs' claims and those of absent Antitrust Class members.

- 3 278. A class action is superior to other methods for the fair and efficient resolution of this 4 controversy. The class action device presents fewer management difficulties, and provides the 5 benefit of a single adjudication, economy of scale, and comprehensive supervision by a single 6 court. The damages suffered by Antitrust Plaintiffs and each Antitrust Damages Class member 7 8 are relatively small as compared to the expense and burden of individual prosecution of the 9 claims asserted in this litigation. Thus, absent class certification, it would not be feasible for 10 Plaintiffs and Antitrust Class members to redress the wrongs done to them. It also would be 11 grossly inefficient for the judicial system to preside over large numbers of individual cases. 12 Further, individual litigation presents the potential for inconsistent or contradictory judgments 13 and would greatly magnify the delay and expense to all parties and to the judicial system. 14 Therefore, the class action device presents far fewer case management difficulties and will 15 16 provide the benefits of unitary adjudication, economy of scale, and comprehensive supervision by 17 a single court. 18 THE NCAA AND ITS CONTROL OF THE COLLEGIATE LICENSING MARKET 19 279. Each year, the colleges and universities who are members of the NCAA award more 20 21 than 11,500 athletic scholarships to men's football and basketball players.
- 22 A. The NCAA and its Structure and Governance. 23 280. In its Consolidated Statement of Financial Position, dated August 31, 2008, the 24 NCAA stated the following: 25 The National Collegiate Athletic Association (the NCAA or the 26 Association) is an unincorporated not-for-profit educational organization founded in 1906. The NCAA is the organization 27 through which the colleges and universities of the nation speak and act on athletics matters at the national level. It is a voluntary 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 78 -Case No. C 09-01967 CW

1	according of more than 1,000 institutions, conformance and
2	association of more than 1,000 institutions, conferences and organizations devoted to the sound administration of
	intercollegiate athletics in all its phases. Through the NCAA, its members consider any athletics issue that has crossed regional or
3	conference lines and is national in character. The NCAA strives
4	for integrity in intercollegiate athletics and serves as the colleges' national athletics accrediting agency. A basic purpose of the
5	NCAA is to maintain intercollegiate athletics as an integral part of
6	the educational program and the athlete as an integral part of the student body.
7	The NCAA operates through a governance structure which
8	empowers each division to guide and enhance their ongoing
9	division-specific activities. In Division I, the legislative system is based on conference representation and an eighteen member Board
10	of Directors that approves legislation. The Division II and III presidential boards are known as the Presidents Council; however,
11	legislation in Division II and III is considered through a one- school, one-vote process at the NCAA Annual Convention. The
12	governance structure also includes an Executive Committee
13	composed of sixteen chief executive officer (member institution chief executive officers) that oversee association-wide issues
14	which is charged with ensuring that each division operates
15	consistently with the basic purposes, fundamental policies and general principles of the NCAA. The Executive Committee has
16	representation from all three divisions and oversees the Association's finances and legal affairs.
17	
18	281. On its website, the NCAA further describes itself as being "comprised of institutions,
19	conferences, organizations and individuals committed to the best interests, education and athletics
20	participation of student-athletes." The NCAA further states that its members are the "colleges,
21	universities and conferences that make up the NCAA," and that "[t]he members appoint volunteer
22	representatives that serve on committees which introduce and vote on rules called bylaws. The
23	members also establish programs to govern, promote and further the purposes and goals of
24	internal legiste ethletice." The NCAA additionally states "[m]env helieve the Association mles
25	intercollegiate athletics." The NCAA additionally states "[m]any believe the Association rules
26	college athletics; however, it is actually a bottom-up organization in which the members rule the
27	Association."
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 79 - Case No. C 09-01967 CW

1	282. The 2008-09 NCAA "Division I Manual" is comprised of the NCAA's Constitution,	
2	its Operating Bylaws, and its Administrative Bylaws, which together span more than 400 pages.	
3	These rules regulate all aspects of collegiate athletic competition, and demonstrate the NCAA's	
4 5	control of the collegiate licensing market and the horizontal agreements by which the NCAA's	
5 6	members agree to abide by, implement, and enforce the rules.	
7	B. The NCAA's Anticompetitive Form 08-3a.	
8	283. Bylaw 12.5.1.1.1 ("Promotions Involving NCAA Championships, Events, Activities	
9	or Programs") states the following:	
10		
11	The NCAA [or a third party acting on behalf of the NCAA (<i>e.g.</i> , host institution, conference, local organizing committee)] may use	
12	the name or picture of an enrolled student-athlete to generally promote NCAA championships or other NCAA events, activities or	
13	programs.	
14	284. Before a student-athlete commences athletic participation each year, the NCAA	
15	requires that he or she sign its "Form 08-3a." titled "Student-Athlete Statement." The form is of	
16	particular importance due to its provision regarding student-athletes' release of rights in	
17	connection with use of their images, likenesses and/or names. It appears that the title of this form	
18 19	changes each year in connection with the applicable year.	
19 20	285. The mandatory nature of the form on which student-athletes must agree to the terms	
21	of Bylaw 12.5.1.1.1 is detailed in the Constitution and Bylaws. Specifically, Article 3.2.4.6 of the	
22	Constitution ("Student-Athlete Statement") states the following:	
23	An active member shall administer annually, on a form prescribed	
24	by the Legislative Council, a signed statement for each student- athlete that provides information prescribed in Bylaws 14.1.3 and	
25	30.12.	
26	286. Bylaw 14.1.3.1 ("Content and Purpose"), referred to in Article 3.2.4.6 of the	
27	Constitution, details the contents of the required form and states the following:	
28	Prior to participation in intercollegiate competition each academic CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 80 - Case No. C 09-01967 CW	

I	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page81 of 157
1	year, a student-athlete shall sign a statement in a form prescribed by
2	the Legislative Council in which the student athlete submits
3	information related to eligibility, recruitment, financial aid, amateur status, previous positive drug tests administered by any other
	athletics organization and involvement in organized gambling
4	activities related to intercollegiate or professional athletics competition under the Association's governing legislation. Failure
5	to complete and sign the statement shall result in the student-
6	athlete's ineligibility for participation in all intercollegiate competition. Violations of this bylaw do not affect a student-
7	athlete's eligibility if the violation occurred due to an institutional administrative error or oversight, and the student-athlete
8	subsequently signs the form; however, the violation shall be
9	considered an institutional violation per Constitution 2.8.1.
10	287. Bylaw 14.1.3.2 ("Administration") continues that "[t]he institution shall administer
11	this form individually to each student-athlete prior to the individual's participation in
12	intercollegiate competition each year. Details about the content, administration, and disposition of
13	the statement are set forth in Bylaw 30.12."
14	288. Bylaw 30.12 ("Student-Athlete Statement"), referred to in Article 3.2.4.6 of the
15 16	Constitution and in Bylaw 14.1.3.2, states the following:
17	The following procedures shall be used in administering the student-athlete statement required in Bylaw 14.1.3:
18	(a) The statement shall be administered in dividually to each
19	 (a) The statement shall be administered individually to each student-athlete by the athletics director or the athletics
20	director's designee prior to the student's participation in intercollegiate competition each academic year;
20 21	
21 22	(b) The statement shall be kept on file by the athletics director and shall be available for examination upon request by an
22	authorized representative of the NCAA; and
24	 (c) The athletics director shall promptly notify in writing the vice president of NCAA's education services group regarding a
25	student-athlete's disclosure of a previous positive drug test administered by any other athletics organization.
26	289. Form 08-3a states that it is "required by NCAA Constitution 3.2.4.6 and NCAA
27	
28	Bylaws 14.1.3.1 and 30.12," and that its purpose is "[t]o assist in certifying eligibility." It further
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 81 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page82 of 157

I

1	notes that "[t]his NCAA Division I statement/consent form shall be in effect from the date this
2	document is signed and shall remain in effect until a subsequent Division I Student-Athlete
3	Statement/Drug-Testing Consent form is executed." Form 08-3a has seven parts, including the
4	following: "[a]statement concerning eligibility;" "[a]n affirmation of status as an amateur
5	athlete;" and "[a] statement concerning the promotion of NCAA championships and other NCAA
6 7	events."
8	290. Under Part IV ("Promotion of NCAA Championships, Events, Activities or
9	Programs"), student athletes must sign and agree to the following:
10	
10	You authorize the NCAA [or a third party acting on behalf of the NCAA (e.g., host institution, conference, local organizing
11	committee)] to use your name or picture to generally promote NCAA championships or other NCAA events, activities or
12	programs.
13 14	291. Part IV, described in the preceding paragraph, has been utilized by the NCAA and its
15	co-conspirators to engage in the unlawful licensing of Antitrust Class members' commercial
16	rights. Its provision stating that it "shall remain in effect until a subsequent Division I Student-
17	Athlete Statement/Drug-Testing Consent form is executed" has the effect of granting a purported
18	release in perpetuity.
19	292. Notably, Form 08-3a states that it is "required by NCAA Constitution 3.2.4.6 and
20	
21	NCAA Bylaws 14.1.3.1 and 30.12" and that its purpose is to "assist in certifying eligibility." The
22	referenced sections of the Constitution and Bylaws, however, do not convey, transfer, or grant
23	any rights of the student-athlete to the NCAA, its member institutions, or its licensees. The
24	sections referenced regarding the Constitution and Bylaws have to do with matters concerning
25	eligibility, disclosure of educational and drug testing records, and affirmation of amateur status
26	requirements. Relying on these provisions, the NCAA has created an anti-competitive and
27	unconscionable perpetual release relating to image rights.
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 82 - Case No. C 09-01967 CW

293. The "authorization" described above in Form 08-3a is entirely coerced and uninformed and is even signed, in some cases, by minors.

1

2

3 294. Form 08-3a is evidence of the NCAA's repeated attempts to obfuscate issues about 4 sales of merchandise by referring to the vague and ambiguous concept of "promot[ion] of NCAA 5 championships or other NCAA events, activities or programs of college athletics." The 6 ambiguous word "support" also appears in the "Institutional, Charitable, Education or Nonprofit 7 8 Promotions Release" mandated by Article 12.5.1.1 of the Bylaws. No reasonable person, upon 9 reading Form 08-3a, and the "Institutional, Charitable, Education or Nonprofit Promotions 10 Release" described below, would interpret phrases such as "support educational activities," or 11 "generally promote NCAA championships or other NCAA events, activities or programs" to 12 specifically grant a license in perpetuity for former players' images to be used for profit, over 13 many years, in DVDs, on-demand video, video games, photographs for sale, "stock footage" sold 14 to corporate advertisers, "classic games" for re-broadcast on television, jersey and apparel sales, 15 16 and other items. 17 295. The NCAA's releases described herein are also notable for their failure to indicate 18 that legal rights are being relinquished, and for their failure to counsel student-athletes, who are 19 sometimes minors, that they may wish to seek legal advice in connection with the release of 20 future compensation rights. 21 296. At a hearing in this matter on December 17, 2009, upon questioning from the Court, 22 counsel for the NCAA confirmed the NCAA's interpretation of its release forms as follows: 23 24 "[THE COURT]: SO DO YOU VIEW THE THINGS THAT THEY SIGNED, OR SOME PEOPLE MAY HAVE SIGNED. 25 AND WHEN THEY GRADUATE FROM COLLEGE, AFTER THAT, THEY ARE NOT BOUND BY IT ANYMORE? 26 [NCAA Counsel]: IT DEPENDS ON WHICH THING WE ARE 27 TALKING ABOUT, YOUR HONOR. 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 83 -Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page84 of 157
1 2 3 4 5 6 7	[THE COURT]: ANY OF THEM. DO THEY ALL END ON GRADUATION OR IS THERE SOME THAT YOU CONTEND REALLY DO CONTINUE TO APPLY? [NCAA Counsel]: THE FORM 08-3A AND 09-3A, BY THEIR TERMS, GIVE THE NCAA A LIMITED RIGHT, AND IT'S LIMITED TO USE CERTAIN LIKENESSES THAT WERE CREATED DURING THE TIME PERIOD THAT THE PERSON WAS A STUDENT ATHLETE FOR THE LIMITED PURPOSE OF PROMOTING NCAA CHAMPIONSHIPS AND GENERAL NCAA EVENTS.
, 8 9	[THE COURT]: ONLY UP UNTIL THE TIME THEY GRADUATE?
10	[NCAA Counsel]: NO, THAT CONTINUES.
11	(12/17/10 Hearing Tr., at 44:19 – 45:9)
12	297. This is not the first occasion in which the NCAA has sought to prevent input from
13	legal counsel on matters that affect student-athletes' post-collegiate endeavors. In an Opinion
14	dated February 12, 2009, in the matter of Oliver v. National Collegiate Athletic Association
15	("Oliver"), Judge Tygh M. Tone of the Common Pleas Court of Erie County, Ohio, examined the
16 17	NCAA's Bylaw 12.3.2.1. That Bylaw states that "A lawyer may not be present during
17	discussions of a contract offer with a professional organization or have any direct contact (in
19	person, by telephone or by mail) with a professional sports organization on behalf of the
20	individual. A lawyer's presence during such discussions is considered representation by an
21	agent." A player utilizing an "agent" in such negotiations is deemed ineligible under the NCAA's
22	rules, whereas one who does not utilize an agent can retain his eligibility if he chooses to return to
23	school and not become a professional. The court ruled that "Bylaw 12.3.2.1 is arbitrary and
24 25	capricious and against the public policy of the State of Ohio as well as all states within this Union
25 26	and further limits the player's ability to effectively negotiate a contract."
20 27	298. The court in Oliver further stated that the effect of the Bylaw "is akin to a patient
28	hiring a doctor but the doctor is told by the hospital board and the insurance company that he (the CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 84 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page85 of 157

1	doctor) cannot be present when the patient meets with a surgeon because the conference may
2	improve his patient's decision making power." The court additionally stated that "[i]f the
3	Defendant [NCAA] intends to deal with this athlete or any athlete in good faith, the student-
4	athlete should have the opportunity to have the tools present (in this case an attorney) that would
5 6	allow him to make a wise decision without automatically being deemed a professional, especially
7	when such contractual negotiations can be overwhelming, even to those who are skilled in their
8	implementation."
9	299. On October 9, 2009, The New York Times reported that the NCAA agreed to settle the
10	case and pay Mr. Oliver \$750,000.
11	300. The NCAA, through its total control of intercollegiate athletics, and due to a gross
12	disparity in bargaining power, requires student-athletes to sign nonnegotiable forms, as the terms
13	are nonnegotiable. Any Class member declining to do so is barred by the NCAA and the relevant
14 15	member institution from all further intercollegiate athletic competition.
1.1	
	C The NCAA's Anti-Competitive "Institutional Charitable Educational or
16	C. <u>The NCAA's Anti-Competitive "Institutional, Charitable, Educational, or</u> <u>Nonprofit Promotions Release Statement" Mandated by NCAA Bylaws</u> Section 12.5.1.1.
16 17	
16 17 18	Nonprofit Promotions Release Statement" Mandated by NCAA Bylaws Section 12.5.1.1.
16 17 18 19	Nonprofit Promotions Release Statement" Mandated by NCAA Bylaws Section 12.5.1.1. 301. Article 12.5.1.1 ("Institutional, Charitable, Education or Nonprofit Promotions") also results in the creation of an unconscionable release that benefits members. This release also is the
 16 17 18 19 20 21 	Nonprofit Promotions Release Statement" Mandated by NCAA Bylaws Section 12.5.1.1. 301. Article 12.5.1.1 ("Institutional, Charitable, Education or Nonprofit Promotions") also results in the creation of an unconscionable release that benefits members. This release also is the product of the anticompetitive agreement described herein among the NCAA and its members.
 16 17 18 19 20 21 22 	Nonprofit Promotions Release Statement" Mandated by NCAA Bylaws Section 12.5.1.1. 301. Article 12.5.1.1 ("Institutional, Charitable, Education or Nonprofit Promotions") also results in the creation of an unconscionable release that benefits members. This release also is the product of the anticompetitive agreement described herein among the NCAA and its members. Article 12.5.1.1 states in pertinent part the following:
 16 17 18 19 20 21 22 23 	Nonprofit Promotions Release Statement" Mandated by NCAA Bylaws Section 12.5.1.1. 301. Article 12.5.1.1 ("Institutional, Charitable, Education or Nonprofit Promotions") also results in the creation of an unconscionable release that benefits members. This release also is the product of the anticompetitive agreement described herein among the NCAA and its members. Article 12.5.1.1 states in pertinent part the following: A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference
 16 17 18 19 20 21 22 23 24 	Nonprofit Promotions Release Statement" Mandated by NCAA Bylaws Section 12.5.1.1. 301. Article 12.5.1.1 ("Institutional, Charitable, Education or Nonprofit Promotions") also results in the creation of an unconscionable release that benefits members. This release also is the product of the anticompetitive agreement described herein among the NCAA and its members. Article 12.5.1.1 states in pertinent part the following: A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference or a non-institutional charitable, educational or nonprofit agency may use a student-athlete's name, picture or appearance to support
 16 17 18 19 20 21 22 23 24 25 	Nonprofit Promotions Release Statement" Mandated by NCAA Bylaws Section 12.5.1.1. 301. Article 12.5.1.1 ("Institutional, Charitable, Education or Nonprofit Promotions") also results in the creation of an unconscionable release that benefits members. This release also is the product of the anticompetitive agreement described herein among the NCAA and its members. Article 12.5.1.1 states in pertinent part the following: A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference or a non-institutional charitable, educational or nonprofit agency may use a student-athlete's name, picture or appearance to support its charitable or educational activities or to support activities considered incidental to the student-athlete's participation in
 16 17 18 19 20 21 22 23 24 25 26 	Nonprofit Promotions Release Statement" Mandated by NCAA Bylaws Section 12.5.1.1. 301. Article 12.5.1.1 ("Institutional, Charitable, Education or Nonprofit Promotions") also results in the creation of an unconscionable release that benefits members. This release also is the product of the anticompetitive agreement described herein among the NCAA and its members. Article 12.5.1.1 states in pertinent part the following: A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference or a non-institutional charitable, educational or nonprofit agency may use a student-athlete's name, picture or appearance to support its charitable or educational activities or to support activities
 16 17 18 19 20 21 22 23 24 25 	Nonprofit Promotions Release Statement" Mandated by NCAA Bylaws Section 12.5.1.1. 301. Article 12.5.1.1 ("Institutional, Charitable, Education or Nonprofit Promotions") also results in the creation of an unconscionable release that benefits members. This release also is th product of the anticompetitive agreement described herein among the NCAA and its members. Article 12.5.1.1 states in pertinent part the following: A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference or a non-institutional charitable, educational or nonprofit agency may use a student-athlete's name, picture or appearance to support its charitable or educational activities or to support activities considered incidental to the student-athlete's participation in

	Case4.09-01	967-CW Document175 Filed03/10/10 Page86 of 157
1		not be a coaching staff member), subject to the limitations on
2		participants in such activities as set forth in Bylaw 17;
3	(b)	
4		participates does not involve co-sponsorship, advertisement or promotion by a commercial agency other than through the
5		reproduction of the sponsoring company's officially registered regular trademark or logo on printed materials such as pictures,
6		posters or calendars. The company's emblem, name, address, telephone number and Web site address may be included with
7		the trademark or logo. Personal names, messages and slogans
8		(other than an officially registered trademark) are prohibited;
9	(c)	The name or picture of a student-athlete with remaining eligibility may not appear on an institution's printed
10		promotional item (e.g., poster, calendar) that includes a reproduction of a product with which a commercial entity is
11		associated if the commercial entity's officially registered
12		regular trademark or logo also appears on the item;
13	(d)	The student-athlete does not miss class;
14	(e)	All moneys derived from the activity or project go directly to the member institution, member conference or the
14 15	(e)	to the member institution, member conference or the charitable, educational or non-profit agency (emphases
	(e)	to the member institution, member conference or the
15	(e) (f)	to the member institution, member conference or the charitable, educational or non-profit agency (emphases added); The student-athlete may accept actual and necessary expenses
15 16		to the member institution, member conference or the charitable, educational or non-profit agency (emphases added); The student-athlete may accept actual and necessary expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to
15 16 17	(f)	to the member institution, member conference or the charitable, educational or non-profit agency (emphases added); The student-athlete may accept actual and necessary expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to participation in such activity;
15 16 17 18		to the member institution, member conference or the charitable, educational or non-profit agency (emphases added); The student-athlete may accept actual and necessary expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to
15 16 17 18 19	(f)	 to the member institution, member conference or the charitable, educational or non-profit agency (emphases added); The student-athlete may accept actual and necessary expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to participation in such activity; The student-athlete's name, picture or appearance is not used to promote the commercial ventures of any nonprofit agency; Any commercial items with names, likenesses or pictures of
15 16 17 18 19 20	(f) (g)	 to the member institution, member conference or the charitable, educational or non-profit agency (emphases added); The student-athlete may accept actual and necessary expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to participation in such activity; The student-athlete's name, picture or appearance is not used to promote the commercial ventures of any nonprofit agency; Any commercial items with names, likenesses or pictures of multiple student-athletes (other than highlight films or media
 15 16 17 18 19 20 21 	(f) (g)	 to the member institution, member conference or the charitable, educational or non-profit agency (emphases added); The student-athlete may accept actual and necessary expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to participation in such activity; The student-athlete's name, picture or appearance is not used to promote the commercial ventures of any nonprofit agency; Any commercial items with names, likenesses or pictures of multiple student-athletes (other than highlight films or media guides per Bylaw 12.5.1.7) may be sold only at the member institution at which the student-athletes are enrolled,
 15 16 17 18 19 20 21 22 	(f) (g)	to the member institution, member conference or the charitable, educational or non-profit agency (emphases added); The student-athlete may accept actual and necessary expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to participation in such activity; The student-athlete's name, picture or appearance is not used to promote the commercial ventures of any nonprofit agency; Any commercial items with names, likenesses or pictures of multiple student-athletes (other than highlight films or media guides per Bylaw 12.5.1.7) may be sold only at the member institution at which the student-athletes are enrolled, institutionally controlled (owned and operated) outlets or outlets controlled by the charitable or educational organization
 15 16 17 18 19 20 21 22 23 	(f) (g)	to the member institution, member conference or the charitable, educational or non-profit agency (emphases added); The student-athlete may accept actual and necessary expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to participation in such activity; The student-athlete's name, picture or appearance is not used to promote the commercial ventures of any nonprofit agency; Any commercial items with names, likenesses or pictures of multiple student-athletes (other than highlight films or media guides per Bylaw 12.5.1.7) may be sold only at the member institution at which the student-athletes are enrolled, institutionally controlled (owned and operated) outlets or outlets controlled by the charitable or educational organization (e.g., location of the charitable or educational organization, site of charitable event during the event). Items that include an
 15 16 17 18 19 20 21 22 23 24 	(f) (g)	to the member institution, member conference or the charitable, educational or non-profit agency (emphases added); The student-athlete may accept actual and necessary expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to participation in such activity; The student-athlete's name, picture or appearance is not used to promote the commercial ventures of any nonprofit agency; Any commercial items with names, likenesses or pictures of multiple student-athletes (other than highlight films or media guides per Bylaw 12.5.1.7) may be sold only at the member institution at which the student-athletes are enrolled, institutionally controlled (owned and operated) outlets or outlets controlled by the charitable or educational organization (e.g., location of the charitable or educational organization, site

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

I	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page87 of 157
1	(i) The student-athlete and an authorized representative of the
2	charitable, educational or nonprofit agency sign a release statement ensuring that the student-athlete's name, image
3	or appearance is used in a manner consistent with the requirements of this section. (emphasis added).
4	302. The preceding Bylaw, with its mandated release pursuant to subsection (i), has been
5 6	utilized by the NCAA's members to engage in the unlawful licensing of Antitrust Class members'
7	rights, as intended by the NCAA. Just as described herein with respect to the NCAA's Form 08-
8	3a, this mandated release constitutes an unconscionable contract that is both procedurally and
9	substantively unconscionable.
10	303. Bylaw 12.5.1.7 ("Promotion by Third Party of Highlight Film, Videotape or Media
11	Guide") states the following:
12	Any party other than the institution or a student-athlete (e.g., a
13 14	distribution company) may sell and distribute an institutional highlight film or videotape or an institutional or conference media
15	guide that contains the names and pictures of enrolled student- athletes only if:
16	(a) The institution specifically designates any agency that is
17	authorized to receive orders for the film, videotape or media guide;
18	(b) Sales and distribution activities have the written approval of
19	the institution's athletics director;
20	(c) The distribution company or a retail store is precluded from using the name or picture of an enrolled student-athlete in any
21	poster or other advertisement to promote the sale or distribution of the film or media guide; and
22	
23	(d) There is no indication in the makeup or wording of the advertisement that the squad members, individually or
24	collectively, or the institution endorses the product or services of the advertiser."
25	
26	304. The above-provision appears to purport to give third parties (meaning for-profit
27	"distribution companies") the right to "sell and distribute" highlight films upon approval from the
28	school, without even mandating a release from the student-athlete. However, the release that the CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 87 - Case No. C 09-01967 CW

1	NCAA mandates in its Bylaw 12.5.1.1(h), described a few paragraphs above, has been utilized by
2	the NCAA and its members to unlawfully license and use the commercial rights of former
3	student-athletes' rights in the use of their images.
4	305. The Des Moines Register recently confirmed that schools do in fact require student-
5 6	athletes to sign the NCAA's mandated consent forms, and reported the following in an article that
0 7	also described two schools' receipt of funds relating to the NCAA's video game license
8	agreement with Defendant EA (as further detailed herein):
9	The athletic departments for Iowa and Iowa State ask for student-
10	athletes' consent before using their likeness on any promotional material for the schools.
11	"Generally, the way we approach it is we've been very conservative
12	over the years," Iowa athletic director Gary Barta said. "When we do sell the likeness of a student-athlete, we have signed permission
13	and all the proceeds from those sales go back directly to benefit student-athletes in general (through the school's athletic fund)."
14	
15	The "consent" and "permission," described above, however, is entirely coerced and uninformed,
16	as intended by the NCAA and its business partners - its member schools, conferences, and for-
17	profit licensees, and as such constitutes an unconscionable contract and is the product of
18	anticompetitive conduct and agreement.
19 20	D. <u>The Collegiate Licensing Market</u> .
20 21	306. The NCAA and its members control the collegiate licensing market in the United
21	States, including licensing rights to current and former players' images and likenesses (which are
23	utilized in, for example, items such as DVDs of game films, on-demand sales of game films,
24	"stock footage" for corporate advertisers, "classic" games shown on the cable television network
25	"ESPN Classic" and other networks, photographs, video games, and in other merchandise).
26	307. IMG, the owner of the NCAA's licensing arm, Defendant CLC, recognizes the
27	college market on its website as follows: "IMG College is a leading collegiate marketing,
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 88 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page89 of 157

1 licensing and media company that can create and build comprehensive marketing platforms that 2 leverage the marketing potential of the college sports and on-campus market. " IMG continues 3 that "[c]onsumer devotion to college institutions is unrivaled, but the complexity of the space 4 makes it challenging for marketers to tap the full potential. With our expertise, broad 5 relationships and portfolio of properties, IMG College can help brands create platforms to reach 6 millions of passionate, loyal fans." IMG further states that "[o]ur licensing team, The Collegiate 7 8 Licensing Company, is the unrivaled leader in collegiate brand licensing, managing the licensing 9 rights for nearly 200 leading institutions that represent more than \$3 billion in retail sales and 10 more than 75% share of the college licensing market." IMG on its website further states: 11 "[h]aving originally contracted with IMG College in 1976, the NCAA has trusted the Company 12 for nearly 30 years to lead the industry in delivering the power of the collegiate market to 13 consumers nationwide." 14 308. The NCAA and its members have the ability to control price and exclude 15

16 competition. The NCAA and its members control the output and set the price for licensed 17 merchandise and licensing rights and have the power to exclude from this market any member 18 who is found to violate its rules. The NCAA can and does exclude both current and former 19 student-athletes from this market, as evidenced by the usage of the anticompetitive forms 20 described herein. The NCAA and its members have obtained a 100% share in the licensing 21 market. With respect to current student-athletes, those players would collectively have a share of 22 that market absent the vehicles described herein by which they are required to transfer those 23 24 rights to the NCAA, its members, and others. Former student-athletes, including the members of 25 the Antitrust Damages Class described herein, also would have a share of the market, absent the 26 anticompetitive practices described herein.

27 28

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

- 89 -

2

3

4

309. The NCAA (through its members) thus totally controls the licensing rights market, and is able to dictate the supply and the terms upon which licensed products and licenses are bought and sold.

310. Another indicator of the NCAA and its members' power include the fact that all 5 student-athletes are required to sign the forms described herein and pursuant to which the NCAA 6 has unlawfully licensed the rights of former student-athletes are forced to release all future rights 7 8 to the commercial use of their images. Student-athletes must sign these forms, even if he or she 9 does not receive a scholarship. The NCAA has the power to impose and enforce the releases, and 10 to exclude non-signing athletes from participation in all future intercollegiate competition, as well 11 as penalize schools whose athletes violate the terms of the forms and related rules, regardless of 12 whether the athlete receives any scholarship funds. 13

311. The NCAA, through its member schools, imposes a wide variety of conditions on 14 student-athletes. For example, they may not receive compensation beyond educational expenses 15 16 approved by the NCAA; they may not retain an agent for exploitation of their future professional 17 career; they must meet minimum requirements for educational progress; and they are strictly 18 limited in receiving compensation for non-athletic services that might be understood to reflect on 19 their athletic ability. If student-athletes had the opportunity to receive a college education and 20 compete at an elite level of intercollegiate competition without these restrictions, many student-21 athletes would choose to do so. The fact that they agree to these conditions demonstrates the 22 market power of the NCAA member schools, *i.e.*, the lack of any reasonable substitute for those 23 24 who wish to receive a college education and compete in elite intercollegiate athletic competition. 25 312. The demand for student-athletes is such that, absent the unlawful Form 08-3a, the 26 "Institutional, Charitable, Educational, or Nonprofit Promotions Release Statement," and any 27 other similar device that the NCAA has utilized to attempt to eliminate compensation owed to 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 90 -Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page91 of 157

1 former student-athletes, the colleges and universities participating in the relevant markets would 2 have competed against each other by offering higher amounts of post-graduation licensing 3 revenues to student athletes. For example, schools, in order to compete with each other, could 4 offer players a portion of the revenue that the schools in turn receive via the NCAA and other 5 sources for commercial exploitation of those players' images. But under current anticompetitive 6 conditions, compensation is "capped" at zero by artificial rules imposed by the NCAA that result 7 8 in lower compensation than would otherwise prevail in a more competitive market. 9 313. Thus, for the members of the proposed Antitrust Damages Class, increased 10 competition on the terms of post-career revenue distribution for former athletes would result in 11 additional revenue for all members of the proposed class. 12 314. All NCAA members have agreed to utilize and abide by the NCAA's Bylaws, 13 including the provisions detailed herein that mandate the use of Form 08-3a and the "Institutional, 14 Charitable, Educational, or Nonprofit Promotions Release Statement" discussed herein, which 15 16 have been used by the NCAA and its member institutions and conferences to fix the prices at 17 which former student-athletes are paid for their commercial licensing rights or foreclosed from 18 exercising any such rights. 19 315. The NCAA and its members are able to engage in these anticompetitive agreements 20 and arrangements, as there are no acceptable substitutes for major college football or major 21 college basketball. 22 316. The agreement among the NCAA and its members to jointly appropriate student-23 24 athletes' rights after the expiration of the students' eligibility as an amateur athlete is not 25 necessary to achieve the NCAA's stated goal of clearly demarcating between college and 26 professional sports, or to serve any pro-educational purpose, or any other legitimate, pro-27 competitive purpose in the marketing of college sports. 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 91 -Case No. C 09-01967 CW

1	317. Moreover, reasonable and less restrictive alternatives are available than the NCAA's
2	"zero compensation" policy for former student-athletes' licensing rights. For example, all of the
3	major professional sports, including basketball and football, have identified and utilized group-
4 5	licensing methods to share revenues among teams and players. Additionally, other reasonable
5 6	and less restrictive alternatives could include the establishment of funds for health insurance,
0 7	additional educational or vocational training, and/or pension plans to benefit former student
8	athletes.
9	FACTUAL ALLEGATIONS
10	A. The NCAA's 2009 "State of the Association" Speech Regarding Commercial
11	Exploitation of Student-Athletes.
12	318. As noted above in the Introduction, Wallace Renfro, the NCAA's vice president and
13	senior advisor to President Myles Brand gave its 2009 "State of the Association" speech. Mr.
14	Renfro's remarks are notable for the contrast with the NCAA's actual conduct in exploiting
15	former student-athletes, and his acknowledgment that "[g]eneration of much needed revenue does
16 17	not justify the exploitation of student-athletes." Certainly the same holds true with respect to
17 18	former student-athletes. Specifically, Mr. Renfro's remarks included the following:
19	Any adequate policy of commercial activity must ensure that student-athletes are not commercially exploited.
20	Call this the condition of non-exploitation.
21	This condition is further delineated in the paper you received as
22	you arrived today. When we say "student-athlete exploitation in commercial activity," we should have a specific definition in mind.
23	Since student-athletes are amateurs, not paid professionals, they
24	cannot accept payment for endorsing or advertising any commercial product or service.
25	It also means they should not be put in a position in which the
26	natural interpretation by a reasonable person is that they are endorsing or advertising a commercial product or service.
27	But most cases of exploitation are subtle and indirect.
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 92 - Case No. C 09-01967 CW

I	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page93 of 157
1 2	Instead of obvious product endorsement, the marketing can include game pictures, films, audio or video of student-athletes that make it appear to a reasonable person that a student-athlete is endorsing a
-3	specific commercial product.
4	The student-athlete may well have no knowledge or awareness that his or her reputation, image or name is being used for these commercial purposes.
5	But exploitation may be the result, nonetheless.
6	
7	Generation of much needed revenue does not justify the exploitation of student-athletes.
8 9	We can – and we should – debate the nature of proper commercial conduct. However, one principle is not subject to debate: commercial exploitation of student-athletes is not permissible.
10	Period.
11	B. <u>The NCAA's Web of Licensing Agreements With For-Profit Entities</u> .
12	319. In the early 1980s, the total retail market for products identified with college athletics
13	was estimated to be under \$100 million per year. The typical outlets for such sales were college
14 15	book stores or other campus locations. In the mid-1990s, the market was estimated to have
15	grown to \$2.5 billion per year, with the predominant sales locations being retail and chain stores.
17	IMG now estimates that the market is a \$4.0 billion per year. The growth of the market has been
18	explosive, and advances in technology and product delivery outlets, namely, the internet, cable
19	television delivery systems, and video game technology advances, have accelerated the growth.
20	320. A review of even the limited public information available regarding the NCAA's
21	financial operations details the explosive growth in revenue that it has received in connection
22 23	with sales of NCAA-related merchandise. In its 2002-03 Revenue Report, the NCAA listed
24	receipt of "royalties" of \$3.8 million, and \$6.2 million in "sales and services" (along with \$370
25	million in television revenue).
26	321. In its 2007-08 report, the NCAA listed \$552 million in total revenue for "television
27	and marketing rights fees" of which \$529 million was elsewhere attributed to revenues from its
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 93 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page94 of 157

1 television contract with CBS, leaving an apparent \$23 million difference attributable to royalties. 2 Additionally, the NCAA reported approximately \$14.5 million in revenue for "sales and 3 services." Thus, in just a few years, it appears that the combination of royalties and sales and 4 services went from \$10 million for the 2002-03 fiscal year (\$3.8 million plus \$6.2 million), to 5 \$37.5 million (\$23 million plus \$14.5 million) in for the 2007-08 fiscal year. That number only 6 represents the NCAA's portion obtained pursuant to currently unknown royalty rates, and does 7 8 not represent the total value of the associated sales via the NCAA's licensees, or sales made by 9 member conferences and schools of goods.

322. Within recent years, the NCAA has entered into some of the licensing partnerships
detailed herein that unlawfully utilize the images of Antitrust Class members. The related
available content featuring likeness of former student-athletes, such as DVDs, photos, and video
games, continues to grow in both availability and popularity, and growth will continue to explode
as merchandise continues to be made available in new delivery formats as developing technology
and ingenuity permits, as exemplified by the substantial library of "on demand" internet content
now available for sale for NCAA games going back several decades.

323. Through the NCAA's web of licensing agreements with for-profit companies, the
NCAA sells its rights, its members' rights, and Damages Class members' rights that unlawfully
exercises via the anticompetitive and unconscionable conduct described herein. On its website,
the NCAA directs interested parties to contact Defendant CLC for licensing information.

324. In the "Frequently Asked Questions" portion of its website, the NCAA provides
 various information with respect to licensing. Most notably, there is no information whatsoever
 regarding the rights of players – current or former – with regard to licensed merchandise. This
 total absence of information regarding the rights of players in the commercial licensing and usage

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

28

- 94 -

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page95 of 157
1	of their images also is observed on the websites of the NCAA's licensing arm, Defendant CLC.
2	The NCAA states the following regarding CLC:
3	The Collegiate Licensing Company is the licensing representative
4	for the NCAA. CLC is responsible for administering the licensing program, including processing applications, collecting royalties,
5	enforcing trademarks and pursuing new market opportunities for the NCAA.
6 7	i) <u>CLC</u> .
7 8	325. On its website, under "Terms of Use," Defendant CLC states the following:
9	The Collegiate Licensing Company ("CLC") is the trademark licensing representative for nearly 200 colleges, universities, bowl
10	games, athletic conferences, the Heisman Trophy and the NCAA ("CLC Institutions"). Based in Atlanta, CLC is a full-service
11	licensing company, which employs a staff of more than 80 licensing professionals with the capability to establish and manage every aspect of a collegiate licensing program.
12	326. CLC further states that it "is a division of global sports and entertainment company
13	IMG," that it was founded in 1981, and that it is "the oldest and largest collegiate licensing
14	invo, that it was founded in 1961, and that it is the oldest and fargest conegrate neersing
15	agency in the U.S." On its website, CLC provides some information regarding its history and
16	licensing operations. The content is notable for several reasons, as it details information about
17 18	licensing agreements for coaches, universities, and the NCAA. There is not a single word devoted
10 19	to the rights of former players. Specifically, CLC states the following:
20	Since its early days in 1981, CLC's mission has been to serve as the guiding force in collegiate trademark licensing and one of the
21	top sports licensing firms in the country. As such, our company and staff have dedicated ourselves to being a center of excellence in providing licensing corriges of the highest quality to institutions
22	in providing licensing services of the highest quality to institutions, licensees, retailers, and consumers.
23	The consolidated approach to licensing offered by CLC provides every institution with a greater voice in the market, increased
24	exposure, the broadest range of available licensing services, and reduced administration expenses, while still allowing for
25 26	independent decision-making by each and every client. This approach, combined with our committed staff and industry-leading corriging has believed to guide and share the \$4.0 billion enough
26 27	services has helped to guide and shape the \$4.0 billion annual market for collegiate licensed merchandise. CLC's long-standing relationships with retailers and licensees have also been essential
27	to the growth of the industry and the success of each client's individual licensing program.
_	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 95 - Case No. C 09-01967 CW

I	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page96 of 157
1	
1	Today, the CLC Consortium represents the consolidated retail
2	power of the many colleges, universities, athletic conferences, bowl games, and other collegiate institutions that comprise the
3	CLC Consortium. The collective efforts that have contributed to the growth of the collegiate licensing industry will remain an
4	important cornerstone of the industry in the future.
5	ii) <u>IMG</u> .
6	327. As noted above, Defendant CLC identifies itself as a division of IMG. One of IMG's
7	divisions and/or brands appears to be known as "IMG College." IMG has stated the following
8 9	with respect to IMG College:
	Named by the Sports Business Journal as America's Top Sports
10	Marketing Agency, IMG College (formerly HOST) provides extensive, yet varied sports marketing services for several
11	NCAA® Division I universities and conferences. IMG College represents Arizona, Cincinnati, Connecticut, Florida, Furman,
12	Gonzaga, Kansas, Kentucky, Michigan, Nebraska, Ohio State, Oklahoma State, Oregon, Rice, South Alabama, Tennessee, Texas,
13	Western Kentucky, Wofford and several conferences, including the
14	Southeastern Conference, the Ohio Valley Conference, the Southern Conference and the West Coast Conference.
15	
16	The rights to these schools, conferences, and properties include some, or all, of the following: radio and television programs,
17	publishing, printing, creative design, marketing, licensing, Internet,
18	national advertising and signage sales, and numerous lifestyle and event marketing platforms.
19	Additionally, IMG College holds the distinct position of having the longest consecutive relationship with the National Collegiate Athletic
20	Association [®] (NCAA), over and above any other contractor. Having originally contracted with IMG College in 1976, the
21	NCAA has trusted the Company for nearly 30 years to lead the
22	industry in delivering the power of the collegiate market to consumers nationwide.
23	Through an agreement with CBS Sports, IMG College oversees
24	select NCAA rights including licensing, printing & publishing and special event promotions, like the NCAA Hoop City [®] interactive events.
25	
26	328. IMG also has stated the following regarding IMG College:
27	Host Communications, Inc. (HOST) and the Collegiate Licensing Company (CLC) were joined to form IMG College, the premier
28	college marketing, licensing and media company. IMG College
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 96 - Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page97 of 157
1 2 3 4 5 6 7 8 9 10 11	 creates opportunities for corporations to connect with specific audiences within the collegiate market Through its unique relationships with many of the elite universities and conferences, IMG College ultimately offers platforms that provide companies immediate access to more than 110 million loyal, passionate collegiate fans and alumni and more than 15 million students enrolled in NCAA member institutions. 329. IMG also has stated that it "helps marketers leverage the passion and loyalty of America's strongest collegiate brands." It further has stated that "IMG College is a leading collegiate marketing, licensing and media company that can create and build comprehensive marketing platforms that leverage the marketing potential of the college sports and on-campus market." IMG also has stated that "[c]onsumer devotion to college institutions is unrivaled, but
11	the complexity of the space makes it challenging for marketers to tap the full potential. With our
12	expertise, broad relationships and portfolio of properties, IMG College can help brands create
14	platforms to reach millions of passionate, loyal fans."
15	330. IMG has also stated that "[o]ur licensing team, The Collegiate Licensing
16	Company, is the unrivaled leader in collegiate brand licensing, managing the licensing rights for
17	nearly 200 leading institutions that represent more than \$3 billion in retail sales and more than
18	75% share of the college licensing market."
19 20	C. Description of Revenue Streams Relating to the Commercial Exploitation of Images of Former Student-Athletes.
21	331. There are a vast number of revenue streams generated in connection with
22	collegiate sports. Many of those revenue streams are generated at least in part from the
23	continuing commercial exploitation of the images, likenesses and/or names of former student-
24	athletes. The following descriptions detail some of the current revenue streams of which
25 26	Antitrust Plaintiffs are aware.
20 27	a. Media Rights for Televising Games.
28	
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 97 - Case No. C 09-01967 CW

1	332. The NCAA, as well as individual conferences and schools, negotiates various
2	deals with television networks to televise regular season and post-season games. In 1999, the
3	NCAA and the CBS television network negotiated a deal that became effective in 2003, and that
4	provided CBS with an 11-year right to televise the NCAA men's postseason basketball
5	tournament in exchange for a staggering \$6 billion.
6 7	333. In 2008, the ESPN network and the NCAA's Southeastern Conference negotiated
8	a deal by which ESPN will pay the Southeastern Conference \$2.25 billion over 15 years to have
9	the rights to televise all conference games that are not televised by the CBS network under
10	another deal. In 2008, the Big Ten Network, operated by media giant News Corp., reached a deal
11	
12	with the Big Ten Conference to televise conference games, and was estimated to potentially
13	require a \$2.8 billion payment to the Big Ten Conference over the course of 25 years.
14	334. Many telecasts of games, in particular the NCAA tournament games, frequently
15	show video clips of former student-athletes competing in prior tournament games as means of
16	further enhancing viewers' experience of the current games.
17	335. No valid and lawful releases with informed consent from Antitrust Class members
18	have been obtained for the use of those clips, and any purported transfer of former student-
19	athletes' rights relating to this usage is the product of the anticompetitive agreement described
20	herein.
21 22	b. DVD and On-Demand Sales and Rentals.
22	336. The NCAA, in March of 2007, launched its "NCAA On Demand" website, which
24	offers for sale telecasts of games from numerous decades in the DVD and "on-demand" delivery
25	formats. This is not to be confused with a separate on-demand service by which live games are
26	
27	shown. In the "About Us" section of the website, the NCAA states the following:
28	NCAA On Demand is a partnership between the NCAA and Thought Equity Motion, centered on providing fans of college CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 98 - Case No. C 09-01967 CW

l	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page99 of 157
1	athletics access to memorable moments and games of past
2	collegiate events. NCAA On Demand will initially focus on NCAA championships, but will expand into the premier site for college
3	athletics video with content from games and events from regular season and conference championships as well as unique content that
4	has never been seen before.
5	Through a number of relationships NCAA On Demand will provide fans with video imagery in a variety of formats from DVDs to
6	digital video. Fans will be able to relive past games through video
7	streaming or purchase the game for their own collection. Additionally, NCAA On Demand will develop key elements that
8	will allow fans to truly integrate with the collegiate athletics experience.
9 10	337. TEM identifies itself as the "world's largest supplier of online motion content,
10	licensing and professional representation services to the agency, entertainment and corporate
12	production industries." TEM has entered into a partnership with the NCAA to offer for sale
13	DVDs and internet content utilizing images of Class Members. Additionally, TEM offers for sale
14	more than 12,000 video clips of portions of NCAA games for uses including corporate
15	advertisements, corporate in-house presentations, films, and television programs, as well as
16 17	additional highlight films, complete games and interviews that utilize the images of Class
17 18	Members. On its website, Thought EquityTEM states the following:
10	We're pleased to announce the launch of NCAA On Demand. For
20	the first time, college sports fans and athletes can access the entire NCAA Championship Collection, which contains nearly 5,000
21	championship games. While many fans have experienced college sports through football bowl games or March Madness, NCAA On
22	Demand now makes championships from all 23 NCAA sports available.
23	Select content is available through free Internet streaming, so you
24	can check out classic college highlights of Michael Jordan, Magic
25	Johnson, Larry Bird and many others.
26	338. In an article dated March 7, 2007, the NCAA and TEM issued a press release that
27	stated in part the following:
28	"The NCAA is excited that supporters of collegiate athletics will CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 99 - Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page100 of 157
1 2 3 4 5 6 7 8 9	have unprecedented access to the NCAA Championship Collection. We are pleased to open our archives to fans, former student- athletes, and member institutions that have added so much to American sports and society," said Greg Shaheen, NCAA's senior vice president for Basketball and Business Strategies. "NCAA On Demand has always been a big part of our vision for making the NCAA video archive more accessible and valuable," said Kevin Schaff, CEO of Thought Equity Motion. "Since we took over the management of the archive in 2005, we have had thousands of requests for classic games from fans and former student-athletes from all over the country. Through our partnership with the NCAA, we are proud to be able to make these moments accessible to the people who created them."
9 10	339. The "accessibility" to "former student-athletes" comes at a price, and there is
11	substantial irony in that such individuals must pay \$24.99 to purchase footage of a game in which
12	they played, and for which they never lawfully licensed, conveyed, or transferred their rights for
13	compensation for use of those images, and for which are not provided any compensation in
14	connection with any sales. Meanwhile, the NCAA and TEM receive a continuing revenue
15	stream.
16 17	340. At least the following numbers of games are available in various Men's sports:
17	Basketball – 2,468; Football – 464; and Baseball – 525. Purchases of individual games typically
19	cost \$24.99. Various box sets are also available, and the purchase price typically exceeds \$100
20	for those sets.
21	341. Defendant CLC, the NCAA's official licensing company, states on its website, as a
22	part of its "Terms of Use" Agreement, the following:
23	The Collegiate Exchange ("TCE") - TCE is CLC's online business-
24 25	to-business trading exchange. TCE is provided by CLC in conjunction with iCongo.com. Through this site, retailers can view
25 26	catalogs from participating licensees and place orders for collegiate merchandise. Only collegiate retail stores and licensees can
20	participate in this program. There are costs for licensees to participate in TCE. Please visit
28	http://www.thecollegiateexchange.com to view terms and conditions specific to TCE. CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 100 - Case No. C 09-01967 CW

The Collegiate Exchange's website in turn indicates that retailers can purchase hundreds of licensed products for sale, including "Highlight Tapes/DVDs."

342. The NCAA also recently entered into yet another venture with a for-profit entity to 4 5 sell DVDs. On January 20, 2009, the NCAA announced the release of its DVD titled "NCAA 6 March Madness: The Greatest Moments of the NCAA Tournament," with a suggested retail price 7 of \$19.95. The NCAA's business partners in this venture are a for-profit entity called Genius 8 Products LLC, as well as Thought Equity. In a press release, the three entities described the DVD 9 as "the first DVD officially produced and branded by the NCAA to feature the greatest moments 10 from more than 70 years of tournament action." In the partners' press release, Thought Equity is 11 described as "the world leader in providing access to high quality film, video and music content. 12 13 The company's forward-thinking approach to digital video has produced an array of products and 14 services to meet the exploding demand of new media." 15 343. NCAA DVDs also are available through myriad other outlets. For example, 16 hundreds of NCAA DVDs are available from CBS Sports' "Online DVD Store." On 17 Amazon.com, more than 1,600 NCAA sports DVDs are for sale. NCAA DVDs also are for sale 18 via myriad other outlets, such as, for example, walmart.com, the NBC network's sports website, 19 20 FantasyPlayers.com's website, Barnes & Noble's website, and the Big Ten Network's website. 21 344. Additionally, hundreds of NCAA games and highlight films are available for rental 22 from Blockbuster Video and Netflix, including via their websites. 23 345. No valid and lawful releases with informed consent from Antitrust Class members 24 have been obtained for the use of their images, likenesses and/or names in DVDs and on-demand 25 delivery formats, and any purported transfer of former student-athletes' rights relating to this 26 usage is the product of the anticompetitive agreement described herein. 27 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 101 -Case No. C 09-01967 CW

1	346. Only through the discovery process will Plaintiffs be able to ascertain the true
2	scope of sales, in terms of outlets, license agreements, and sales volume of DVD products
3	containing the images of class members.
4 5	c. <u>The NCAA's New "Vault" Website Operated in Connection with TEM.</u>
5 6	347. On March 3, 2010, <i>The New York Times</i> reported on the debut of a new NCAA
0 7	commercial venture with Thought Equity called "The Vault" in an article titled "N.C.A.A.
8	Tournament Goes Online, Clip by Clip" as follows:
9	With its tournament approaching, the N.C.A.A. has found a way to
10	exploit a portion of its men's basketball tournament archive by ceding a significant amount of clip selection to fans. Through a
11	deal with the N.C.A.A., Thought Equity Motion has digitally diced every tournament game this decade from the Round of 16 forward
12	into all of its notable plays, and assigned a Web address to each of them. It lets fans watch any of the games, or thin slices of them, and
13 14	link to social networking sites like Facebook or Twitter or to their blogs.
14	The NCAA Vault, at NCAA.com/vault, is making its formal debut
16	Wednesday after finishing its beta phase.
17	"Fans want basketball content, and we wanted to find a way to get people to connect to it," said Kevin Schaff, chief executive of Thought Equity Motion, which digitizes and stores video archives.
18	
19	
20	Schaff added, "People want to consume the moment and discuss it." He said that the site's goal was to extend
21	the tournament's mania beyond its natural period.
22	
23	The site, which is advertiser-supported, breaks games into small bits
24	and divides them into packaged sections like dunks, great shots and great blocks. But it also lets fans choose clips from each game's
25	play-by-play log.
26	One Tweeter called it "the answer to all hoops junkies problems,"
27	while another said he was "going to lose hours of time watching games."
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 102 - Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page103 of 157
1	
2	Gregg Winik, the chief executive of CineSport, an online highlights
3	provider for local media Web sites, and a former executive at NBA Entertainment, said that the mixture of video and social network
4	had created a "big and bold step" in the evolution of sports video archives.
5	"The old idea in the industry was to protect the archive and drive
6 7	fans to the broadcasts," he said. "Now, people are saying, 'Internet video is a real business.' "
8	348. In a trade publication published by the Sports Video Group ("SVG"), an
9	organization formed "to bring the entire sports industry closer together so that it can more
10	effectively share information about best practices and new technologies that impact the industry,"
11 12	SVG, in connection with an interview with Thought Equity's Dan Weiner, Vice President of
12	Marketing and Product, explained in unvarnished terms the explicit commercial nature of the
14	enterprise. Specifically, Sports Video Group reported the following on March 3, 2010:
15	TEM began its work with the NCAA across all of its sports, turning
16	shelves of videotapes into a centralized, digitized historical archive. In addition to serving as a backup, the archive can be searched and
17	accessed by schools and alumni for commercialization and revenue opportunities.
18	
19	The vault contains every full-length basketball game from the
20	Sweet Sixteen round through the championship of every NCAA
21	Tournament from 2000 to '09. (Additional games are already in the works).
22	
23	"Over time, it's not about this one site that we built," Weiner says.
24	"It's about being able to go to SI, ESPN, USA Today, and anyone
25	else who can get the specs for the API and create a licensing deal with the NCAA. The Web-development team at ESPN or SI can
26	take their own NCAA page and build their own version of this Vault, hooking up our video into their player without having to deal
27	with a video file or do editing."
28	Everyone from Web publishers to iPhone-app creators can work CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 103 - Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page104 of 157
1	through this API to build applications, providing new opportunities
2	for monetization and ad revenue for the NCAA. For this year, however, the Vault is part of the NCAA site and the existing
3	advertising-support model on that site.
4	"This is something that we see as a leading-edge development in
5	sports-rights development," Weiner says. "This unlocks the archive and brings it to life. Rather than creating a bunch of DVDs, you
6	bring the content forward, bring it to life, make it very easy to publish and access."
7	
8	
9	The next steps for this Vault will be to expand it beyond the Sweet Sixteen round, and beyond the last decade. Additional games will
10	be added to the Vault as soon as this year's tournament is complete, with more on the horizon.
11	
12	"We're talking with the NCAA about expanding this to other sports of theirs as well," Weiner says. That means that a NCAA baseball
13	or soccer vault could soon be on the way. 349. No valid and lawful releases with informed consent from Antitrust Class members
14	
15	have been obtained for the use of their images, likenesses and/or names in this new vault website,
16	and any purported transfer of former student-athletes' rights relating to this usage is the product
17	of the anticompetitive agreement described herein.
18	
19	d. <u>Video-Clip Sales to Corporate Advertisers and Others</u> .
20	350. Via another of TEM's websites, there are more than 12,000 NCAA related clips
21	spanning several decades offered for sale as "stock footage." The overwhelming majority of
22	them are from NCAA Division I men's basketball games. The clips run for varying time periods,
23	generally ranging from 10 seconds to several minutes. Many of them indicate that the full game
24	for which from which the clips were culled, as well as related highlight films, also are available
25	
26	for sale via TEM. For many items, prices are not shown, and prospective buyers are asked to
27	contact the company for pricing. One interview clip appeared to cost approximately \$150.
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 104 - Case No. C 09-01967 CW

1 351. In a brochure describing its partnership with the NCAA, TEM makes clear the 2 unmistakable pecuniary purpose of its venture with the NCAA. For example, Thought Equity 3 touts its role in "[d]elivering value through the preservation and monetization of the NCAA's 4 footage assets." Thought Equity further states that "[i]n 2005, the NCAA was searching for a 5 partner to preserve and manage the vast NCAA content library with two primary directives in 6 mind: 1. Preservation of historic footage and current content [and] 2. Accessibility to the entire 7 8 NCAA footage library to drive revenue generation." TEM goes on to state that "[a]s the NCAA's 9 exclusive licensing agent, Thought Equity drives revenue through the licensing of NCAA sports 10 content for use in films, commercials, corporate productions, documentaries and emerging media 11 applications." TEM further states that it has assisted the NCAA in being "among the first-to-12 market with innovative ways to monetize their video assets across the entire spectrum of 13 emerging media." TEM claims that it "is committed to the continued growth of this amazing 14 library, enhancing its value through the preservation and monetization of the NCAA's valuable 15 16 footage assets, [and] providing the premiere online destination" for NCAA footage. 17 352. TEM further states that "[y]ear over year, Thought Equity Motion has grown 18 licensing revenue by nearly 100%." Kevin Schaff, TEM's founder and CEO is quoted as stating 19 that its NCAA collection "is one of the most unique and valuable content collections in the 20 world." 21 353. TEM also stresses its cost-saving function as follows: "Thought Equity also staffs 22 the functions of receiving and fulfilling all footage requests, including research and technical 23 24 support – costs that previously added to the NCAA national office overhead." TEM further states 25 that it provides services including restoration, digitizing content, and making content available 26 on-line "at no charge to the NCAA." 27 28 CONSOLIDATED AMENDED CLASS

ACTION COMPLAINT Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page106 of 157

1 354. TEM further notes that "[t]o date, Thought Equity has digitized and brought online 2 nearly 7,000 hours of NCAA sports action and manages more than 20,000 hours of content in the 3 NCAA library." TEM further notes that "[n]ew NCAA content is continually added to ensure the 4 online library is a timely resource for NCAA content." 5 355. TEM additionally states that "NCAA footage is sought-after content for 6 advertisers, corporations and entertainment producers as it delivers all the action, drama and 7 8 emotion unique to athletic competition." TEM further states that "[b]ringing the NCAA content 9 online has been a key component to unlocking the value of the library." TEM also states that its 10 online platform has "help[ed] drive revenue growth by making purchasing content easy and fast." 11 356. TEM further states that "NCAA Corporate Champions and Partner companies as 12 diverse as Coca-Cola, AT&T, State Farm Insurance, and Lowe's have tapped the NCAA library 13 to create messaging to inform and inspire their audiences." TEM further states that it has 14 "licensed NCAA content for use in hundreds of television programs, films, commercials and 15 16 corporate productions." Moreover, Thought Equity states that "[1]ooking to the future, exploding 17 growth in emerging media such as online and mobile advertising and entertainment translates to 18 significant new revenue streams for footage licensing and programming opportunities." 19 357. TEM further states that its library can be utilized to allow NCAA member 20 institutions to create other revenue centers, e.g., "to create original programs and promotions such 21 as coaches' shows, Hall of Fame and museum exhibits, web sites and entertainment featured on 22 in-venue video boards." 23 24 358. TEM further states that it "brings value to the NCAA by continually creating" 25 innovative ways to leverage their video assets," and touting its "ability to drive revenue 26 employing its deep licensing expertise." 27 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 106 -Case No. C 09-01967 CW

1	359. TEM further states that "[a]ny use of NCAA content featuring individuals or
2	brands must be cleared for use," and that it "brings deep expertise to navigating the complexities
3	of clearing NCAA student athletes, individual's licenses and institutional trademarks, protecting
4	both amateur status and rights."
5	360. No valid rights from Antitrust Damages Class members have been obtained by the
6 7	NCAA, its members or its licensees for the use of those class members' images, likenesses and/or
8	names in video clips for sales to corporate advertisers and others, and any purported transfer of
o 9	former student-athletes' rights relating to this usage is the product of the anticompetitive
10	
11	agreements described herein.
12	e. <u>Premium Content on Websites</u> .
13	361. Numerous NCAA schools and conferences make available, or plan to make available,
14	streaming on-demand video content available to users for one-time and/or subscription fees. This
15	video content utilizes the images of Antitrust Damages Class members.
16	362. On July 27, 2009, Sports Business Daily reported that the Southeastern Conference
17	and XOS Technologies were teaming to form the SEC Digital Network that will "aggregate all
18	sports content and distribute it in a centralized model."
19	363. Similarly, CSTV's website indicates that CSTV.com "includes a network of
20	approximately 215 official college athletic websites." CSTV further states that it "was founded in
21 22	1999 by Brian Bedol and Stephen D. Greenberg, co-founders of Classic Sports Network, and
22	Chris Bevilacqua, a former Nike executive. CSTV officially launched in April 2003 from the
23	network's New York City based Chelsea Piers Studio, the Field House. In January 2006, CSTV
25	was purchased by CBS Corporation and became the 24-hour college sports network from CBS
26	
27	Sports."
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 107 - Case No. C 09-01967 CW
I	

1	364. No valid rights from Antitrust Damages Class members have been obtained by the
2	NCAA, its members or its licensees for the use of those class members' images, likenesses and/or
3	names in premium website content, and any purported transfer of former student-athletes' rights
4	relating to this usage is the product of the anticompetitive agreements described herein.
5	
6	f. <u>Photos</u> .
7	
8	365. Replay Photos, LLC ("Replay Photos") operates "The Official NCAA Photo
9	Store" in conjunction with the NCAA through which photographs of Class members are available
10	for purchase, as well as a separate website, through which additional photographs of Class
11	members are available for purchase. Thousands of photographs from postseason tournaments in
12 13	numerous sports are offered for sale.
15 14	366. In February of 2009, the NCAA and The Associated Press announced a three-year
15	partnership and in a press release stated the following:
16	The NCAA and The Associated Press this week announced a three-year
17	content partnership making AP the worldwide distributor of NCAA Championship photography and creating the largest collection
18	anywhere of collegiate sports photos. Under the agreement, AP Images
19	will serve as the NCAA's exclusive photo licensing agent, including retail sales of archival photos, for all NCAA Championships and
20	events.
21	
22	"In partnership with Rich Clarkson and Associates, the NCAA has
23	compiled an archive of photos representing the greatest moments in NCAA Championship history," said Greg Weitekamp, NCAA director
24	of broadcasting. "Combine the history of the NCAA photo archives with the depth of photos compiled by AP Images over the last 100
25	years, and the NCAA and the AP Images partnership will create the single greatest collection of collegiate sports photos."
26	single greatest concertain of contegrate sports photosi
27	
28	The new agreement between the NCAA and AP Images will allow the NCAA to include NCAA photos in the AP Images archives, where they CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 108 - Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page109 of 157		
1 2	will then be made available for editorial and commercial use. In addition, the partnership will provide the NCAA with access to AP		
2	Images' archive of NCAA photography.		
5 4	The partnership with the NCAA, headquartered in Indianapolis, will also include a consumer outlet at NCAA.com, where consumers will be		
5	able to purchase photos. NCAA Championship photos will be available on the APImages.com site.		
6	367. Replay Photo also has entered into contractual arrangements with at least 62		
7	universities by which it offers for sale thousands of photographs of current and former student-		
8 9	athletes. Framed versions of the photographs can cost up to several hundred dollars. The list of		
10	available sports include at least the following: men's and women's basketball; football; baseball;		
11	crew; men's and women's cross country; golf; gymnastics; men's and women's soccer; softball;		
12	men's and women's swimming and diving; men's and women's tennis; men's and women's track		
13	and field; men's and women's volleyball; water polo; and wrestling.		
14	368. No valid rights from Antitrust Damages Class members have been obtained by the		
15	NCAA, its members or its licensees for the use of those class members' images, likenesses and/or		
16	names in the aforementioned photos, and any purported transfer of former student-athletes' rights		
17 18	relating to this usage is the product of the anticompetitive agreements described herein.		
19			
20	g. Action Figures, Trading Cards, and Posters.		
21	369. On April 27, 2009, Sports Business Daily reported that certain former college		
22	football players will be paid a royalty for the sale of action figures depicting them in their college		
23	uniforms, and that their former schools also will be paid a royalty. Specifically, Sports Business		
24	Daily stated the following:		
25 25	Phoenix-based McFarlane Toys has been producing action figures		
26 27	of professional athletes for more than a decade, but never before has the company tapped the college market. That will change later this		
27 28	year with the release of six action figures that portray NFL stars in their college gear, including Tom Brady in his Michigan uniform		
20	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 109 - Case No. C 09-01967 CW		

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page110 of 157
1	
1	and Peyton Manning in his Tennessee garb.
2	"There's not much out there on the college market that's player- centric," said founder Todd McFarlane, whose businesses include
3	everything from comics to toys and film animation. "If a guy had a
4 5	decent career, let's see if the fans are still fond of him." Tennessee's Peyton Manning is one of three SEC alumni in the six- figure set.
6	
7	
8	Now he's going to put some of those professional stars in their college football gear to tap into the passion of the college fan. In
9	addition to Brady and Manning, the company will produce action figures representing Adrian Peterson (Oklahoma), JaMarcus Russell
10	(LSU), Ray Lewis (Miami) and Hines Ward (Georgia).
11	
12	To obtain the license, McFarlane went through IMG's Collegiate
13	Licensing Co., the licensing agent for those schools. He'll also pay the players a royalty. Current college players are not allowed to be
14	featured in commercial endeavors such as this, according to NCAA guidelines, which is why McFarlane went with the professionals.
15	
16	"There's two pieces to the deal," McFarlane said. "You pay for the uniform, which goes to the school, and you pay the player. That
17	beefs up the money going out, so you have to make sure you have a model that works."
18	
19	
20	These 6-inch-tall action figures will sell for about \$10 each and hit
21	stores such as Wal-Mart, Target and Toys "R" Us, as well as the local specialty stores that sell collectibles, by August, just in time
22	for the start of a new football season.
23	
24	Fathead also is thought to be considering a line of posters that
25	would feature NFL stars in their college uniforms.
26	
27	
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 110 - Case No. C 09-01967 CW

1	370. The above information is significant. The NCAA's licensing arm, Defendant		
2	CLC, has participated in a deal which expressly recognizes that former college players should be		
3	paid a royalty when their image is utilized for profit.		
4	371. No valid rights from Antitrust Damages Class members have been obtained by the		
5	NCAA, its members or its licensees for the use of those class members' images, likenesses and/or		
6 7	names in the aforementioned items, and any purported transfer of former student-athletes' rights		
7 8	relating to this usage is the product of the anticompetitive agreements described herein.		
° 9	relating to this usage is the product of the anticompetitive agreements described herein.		
9 10			
10	h. <u>Video Games</u> .		
11	372. The images and likenesses of college student-athletes and former student-athletes		
12 13	also appear in video games devoted to NCAA college basketball and football. The NCAA has		
13	executed a license for video games with Defendant EA, a global interactive software company.		
15	EA identifies itself as "the world's leading interactive entertainment software company" and states		
16	that it "develops, publishes, and distributes interactive software worldwide for video game		
17	systems, personal computers, cellular handsets and the Internet."		
18	373. EA markets a wide variety of sports-based video games under the label EA		
19 20	Sports. EA Sports describes their video games as including "simulated sports titles with realistic		
20 21	graphics based on real-life sports leagues, players, events and venues." Their advertising taglines		
22	- "If it's in the game, it's in the game," subsequently shortened to "It's in the game" - expressly		
23	and openly makes a major selling point out of the fact that all aspects of the real-life games		
24	appear in their video games. EA Sports releases new iterations of most of their games annually,		
25	three of which are titled "NCAA Football," "NCAA Basketball" and "NCAA Basketball: March		
26	Madness Edition."		
27			
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 111 - Case No. C 09-01967 CW		

1	374. EA's NCAA football games consistently have enjoyed sales of more than one
2	million units per year, and currently sales are estimated at more than two million units per year.
3	On EA's website, NCAA Football 10 for the Playstation 3 game platform is offered for sale at
4	\$59.95 per unit. In 2008, with respect to its basketball games, EA stated that "[t]he market leader
5	
6	in basketball videogame sales, EA SPORTS basketball franchises (NBA LIVE, NBA STREET
7	and NCAA March Madness) have combined generated more than \$1 billion in retail sales over
8	the past 10 years." On EA's website, NCAA Basketball 09 is currently listed with a
9	manufacturers' suggested retail price of \$59.95 per unit.
10	375. EA has acknowledged that its NCAA games are among its major revenue drivers.
11	For example, in an SEC Form 10-K, EA stated that "[f]or fiscal year 2008, net revenue in North
12 13	America was \$1,942 million, driven by Rock Band, Madden NFL 08, and NCAA Football 08."
13 14	376. Legal Affairs magazine reported the following in 2006 regarding EA's NCAA
15	Football 06, which is instructive for its description of the game's use of player images, as well as
16	the interaction among the NCAA and Defendants CLC and EA:
17	THE BEST PLAYER IN COLLEGE FOOTBALL THIS SEASON
18	is arguably the quarterback at the University of Southern California. He is a senior, listed at 6-foot-5 inches and 225 pounds. He wears
19	number 11. His name is Matt Leinart. The best player in the wildly
20	popular video game called "NCAA Football 06" also happens to be a quarterback at USC. He, too, is a senior, listed at 6-foot-5 inches
21	and 225 pounds. And, not coincidentally, he wears number 11. His name, however, is QB #11.
22	
23	You don't have to know a PlayStation from a train station to get what's going on. QB #11 is the digitized analogue of Leinart; he
24	resembles the living version right down to the mop of dark hair on his head. So why doesn't the game from Electronic Arts use
25	Leinart's name? National Collegiate Athletic Association
	regulations prohibit companies from profiting off a student-athlete's likeness, so EA does this two-step - with the NCAA's blessing. In
26 27	exchange for a cut of revenues from the video game, the association
27	has granted the software company the right to reproduce the stadiums, uniforms, and mascots of schools that are members of the
28	NCAA, and the game-makers do so with almost photographic CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 112 - Case No. C 09-01967 CW

accuracy. Under the current regulations, the only thing off-limits is the use of players' names and recognizable facial features. The NCAA doesn't want member-schools marketing their studentathletes for commercial purposes, and, in order to prohibit them from doing that, it has to restrain itself as well.

Even though QB #11 is not identified by name, however, EA and the NCAA might struggle to keep straight faces when they claim that he is not supposed to represent Leinart for the purpose of making a profit. EA is the North Star of a burgeoning sports video game industry, which made revenues of \$1.9 billion in 2004, and the company's hallmark is precise, nay obsessive, attention to detail. EA's slogan boasts, "If it's in the game, it's in the game." That means nailing the little stuff, capturing nuances like a player's wristband placement and facemask style. In its annual iterations of "NCAA Football," the software company makes the game as lifelike as possible, within the constraints marked by the NCAA. A quick survey of the rest of the players for USC's 2005-2006 Trojans reveals that everyone has a digitized doppelganger that's dead on. Tight end Dominique Byrd -- pardon, TE#86 -- sports braids like his real-life model's. The height and weight of backup defensive end Rashaad Goodrum, aka DE #44, are as true as Leinart's, though Goodrum played just a few downs during the 2004-2005 season.

"NCAA Football 06" has pinpoint-accurate rosters for all 117 Division 1-A football programs (which engage in the highest level of collegiate competition), not to mention graphics so advanced that you can see the stadium reflected in a quarterback's helmet, the face paint on a cheerleader's cheeks, the Nike swoosh on a tailback's cleats, and the haze around the lights during a night game at the University of Florida's stadium, the Swamp. For all these reasons, the omission of players' names seems little more than a formality, done with a wink and a nudge in order to keep the NCAA satisfied.

Especially since an owner of the video game can change QB #11 to 21 Matt Leinart by fiddling with a few buttons. Once the owner inputs a player's name, it appears on the back of the player's jersey and can 22 be shouted by the virtual announcers who do the play-by-play for the games within the game. Game owners can also adjust a virtual 23 player's facial hair, adding, say, a goatee to match the real player's 24 face, since players are known to change their looks from time to time. Although not approved by the NCAA, memory cards for 25 automatically uploading each school's roster are available from independent manufacturers. Oddly, the main difference between 26 the players and their video facsimiles are their hometowns, which in the game are intentionally off by a few suburbs (QB #11's 27 "hometown" of La Habra, Calif., is 15 miles from Leinart's native 28 Santa Ana). But the point is, in EA's hyper-detailed world, video CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 113 -

Case No. C 09-01967 CW

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page114 of 157
1	game characters now have hometowns. The NCAA's amateurism
2	regulations, originally designed to guard against things like posters and trading cards featuring individual athletes, likely never
3	contemplated a day when an amateur's digital likeness could fetch a profit.
4	pront.
5	
6	A key player in managing that distinction is the Collegiate Licensing Company or CLC, which handles product licensing for
7	collegiate sports organizations like bowl games committees, athletic conferences, and the NCAA. CLC performs two tasks for the
8	association: protecting the amateur standing of its members' athletes and obtaining for members the most lucrative licensing deals. Last
9	summer, an NCAA subcommittee on amateurism invited Pat Battle, the president of CLC, and athletic directors and athletes from
10	Division I-A schools to a meeting—the one at which Brand spoke—about licensing and promotion issues.
11	
12 13	At that meeting, Battle suggested something Brand probably didn't want to hear: that revenues for the NCAA would increase if the
13	association's limits on video games were eased. He indicated that game manufacturers were growing frustrated with the restrictions,
15	and that the NCAA needed to address that frustration or risk diminishing a valuable source of revenue. "It's a concern, and I
16	stand by that," Battle said recently. "A failure to keep up with technology and take full advantage from a consumer standpoint
17	may make the NCAA [video game] titles less valuable."
18	
19	"I think EA will continue to push for more leeway," said CLC's Battle. EA seems to think it will, too. "This has been an ongoing
20	discussion: 'O.K., how far can we go?' " EA spokeswoman Jennifer Gonzalez told <i>The Indianapolis Star</i> earlier this year.
21	Since it started making "NCAA Football," EA has gained
22	substantial concessions from the NCAA. The early versions of the game weren't nearly as accurate as the latest ones in terms of the
23	height, weight, or skin color of the athletes. But the NCAA may
24	balk at going further: It's unlikely that EA will ever be allowed to include player names.
25	THIS IS NOT THE FIRST TIME that the NCAA's rules about
26 27	amateurism have struggled to address new licensing opportunities. About 15 years ago, college-apparel sales exploded into a
27 28	substantial source of revenue for major athletic programs, and one of the touchiest issues involved replica jerseys. They featured a star
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 114 - Case No. C 09-01967 CW

1 player's number and school colors, but not his name, even though every fan knew whose jersey he was buying. Replica jerseys are 2 still big business: Every Saturday, Matt Leinart looks up to see USC's stands swelling with a sea of maroon No. 11 jerseys, which 3 sell for about \$50 each online and at the campus bookstore. 4 The jerseys were green-lighted under the NCAA's rules for the 5 same reason that "NCAA Football" was approved: The association considers a jersey number a step removed from a player's identity. 6 "I see nothing wrong with selling jerseys with just numbers on them," Brand said at last summer's meeting. "But I would draw the 7 line at selling the names." 8 The argument can be made that the video game industry deserves 9 more leeway than apparel makers, because games ostensibly promote entire teams—even if those teams feature a few superstars. 10 "The jerseys are centered around one or two players, whereas the video game features every player on the team," CLC's Battle 11 explained. "If the video games wanted to use the name and likeness of one or two players, that would be impossible. But if we're 12 looking at a situation where the entire team is being promoted, it 13 may change the discussion." EA would argue that the video games are similar to television broadcasts, which are obviously filled with 14 plenty of highlights and interviews with individual players, yet are licensed by the NCAA for big bucks and regarded as innocuous 15 staples of Americana. 16 377. Legal Affairs further reported the following in its January / February 2006 issue: 17 Last summer, an NCAA subcommittee on amateurism invited Pat 18 Battle, the president of CLC, and athletic directors and athletes from Division I-A schools to a meeting-the one at which Brand 19 spoke—about licensing and promotion issues. 20 At that meeting, Battle suggested something Brand probably didn't 21 want to hear: that revenues for the NCAA would increase if the association's limits on video games were eased. He indicated that 22 game manufacturers were growing frustrated with the restrictions, and that the NCAA needed to address that frustration or risk 23 diminishing a valuable source of revenue. "It's a concern, and I 24 stand by that," Battle said recently. "A failure to keep up with technology and take full advantage from a consumer standpoint 25 may make the NCAA [video game] titles less valuable." 26 . . . 27 "I think EA will continue to push for more leeway," said CLC's 28 Battle. EA seems to think it will, too. "This has been an ongoing CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 115 -Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page116 of 157
1	discussion: 'O.K., how far can we go?' " EA spokeswoman Jennifer Gonzalez told The Indianapolis Star earlier this year.
2	
3 4	Since it started making "NCAA Football," EA has gained substantial concessions from the NCAA. The early versions of the game weren't nearly as accurate as the latest ones in terms of the
4 5	height, weight, or skin color of the athletes.
6	378. The above information regarding the ongoing discussions between Defendants
7	NCAA, CLC, and EA is significant. Each agreed to allow more and more realistic depictions of
8 9	player likeness including former players, to act as if they had the rights to do so, and to not tender
10	any compensation to former players for doing so.
11	379. The NCAA News, on June 21, 2004, provided more detail on the discussions
12	involving the NCAA, CLC, and EA, and also served as a conduit to further communicate the
13	message to the NCAA's members the importance of video game licensing revenues. Specifically,
14	The NCAA News reported that the NCAA's Agents and Amateurism Subcommittee of its
15 16	Academics / Eligibility / Compliance Cabinet met on June 9 th and 10 th , and stated that Pat Battle
10 17	of the Defendant CLC made a presentation to the group, which as well as the following panelists:
18	Ohio State University Athletics Director Andy Geiger, University of Connecticut Athletics
19	Director Jeff Hathaway, Miami (Ohio) University Athletics Director Brad Bates and University of
20	Notre Dame Associate Athletics Director Bill Scholl. The NCAA News specifically stated the
21	following:
22	The CLC's Battle, however, indicated interest in seeing the NCAA
23	allow more latitude in the marketing areas, specifically in video games. His concerns centered on the risk of losing business rather
24	than gaining it, though he did project that licensing revenues would increase dramatically under more flexible rules. Battle said video game manufacturers appear to be more and more frustrated with
25 26	NCAA restrictions, especially since the technology exists to produce a much more realistic version and thus a much more
20 27	attractive and marketable version – of college football and basketball games.
28	CLC's and EA's message to the NCAA and its members was heeded and agreed to.
_0	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 116 - Case No. C 09-01967 CW

1 380. EA has expressly incorporated the likenesses of Antitrust Damages Class members 2 into its games. As one example, NCAA Basketball 09 has a "Classic Teams" feature in which 3 game players can choose to play with "classic teams." These "classic teams" expressly use the 4 likenesses of Class members, in a fashion identical to that described above. A post on EA's game 5 forum website dated March 12, 2009 identifies the roster of each of these classic teams, and 6 provides the players' name; position; uniform number; type of t-shirt worn underneath a jersey; 7 8 sock length; and use of ankle braces, knee braces, wrist taping. The post further specifically 9 identifies the following "classic teams" as being incorporated into the game: 2008 Kansas 10 Jayhawks; 2007 Florida Gators; 2006 George Mason Patriots; 2005 North Carolina Tarheels; 11 2005 Illinois Fighting Illini; 2004 Connecticut Huskies; 2003 Syracuse Orangemen; 2002 12 Maryland Terrapins; 2001 Duke Blue Devils; 1999 Connecticut Huskies; 1997 Arizona Wildcats; 13 1996 University of Massachusetts Minutemen; 1996 Kentucky Wildcats; 1995 Wake Forest 14 Demon Deacons; 1995 UCLA Bruins; 1994 Arkansas Razorbacks; 1993 North Carolina Tarheels; 15 16 1993 Michigan Wolverines; 1992 Duke Blue Devils; 1991 UNLV Runnin' Rebels; 1991 17 Georgetown Hoyas; 1991 Arkansas Razorbacks; 1990 LSU Tigers; 1990 Loyola Marymount 18 Lions; 1990 Georgia Tech Yellow Jackets; 1989 Syracuse Orangemen; 1989 Michigan 19 Wolverines; 1988 Kansas Jayhawks; 1987 Indiana Hoosiers; 1986 Navy Midshipmen; 1986 20 Louisville Cardinals; 1986 Duke Blue Devils; 1985 Villanova Wildcats; 1985 St. John's Redmen; 21 1984 Georgetown Hoyas; 1983 North Carolina State Wolfpack; 1983 Houston Cougars; 1982 22 North Carolina Tarheels; 1981 Virginia Cavaliers; 1981 Indiana Hoosiers; 1980 Louisville 23 24 Cardinals; 1979 Michigan State Spartans; and 1979 Indiana State Sycamores. 25 381. All of EA's NCAA-related video games use photographic-like realism in the 26 depiction of all aspects of the visual presentation, including the player uniforms, school logos, 27 stadiums and mascots. While not identifying them by name, EA also uses likenesses of numerous 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT

Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page118 of 157

1

2

3

4

5

specific former student-athletes in their games. The players on the virtual college teams in the games correspond exactly to their real-life counterparts in many characteristics, such as position, jersey number, race, size, height, weight and home state. Even uniquely identifiable idiosyncratic characteristics of real-life players appear in their video game virtual counterparts.

382. Each year, the NCAA games sold by EA feature the likenesses of players, including
ones that no longer are NCAA athletes. For example, NCAA Football 09 and NCAA Basketball
09 are currently for sale, and feature substantial numbers of former NCAA players. Additionally,
versions based on prior years are also for sale. For example, "March Madness 06," "March
Madness 07," and "March Madness 08" are all listed for sale via Electronic Arts' website, which
also notes that the games are available via retailers. These games also feature the likenesses of
substantial numbers of former players.

383. On April 23, 2009, EA announced that former college players Michael Crabtree, 14 Brian Johnson, Brian Orakpo and Mark Sanchez "will be featured on platform exclusive covers of 15 EA SPORTS NCAA[®] Football 10, available in stores July 14th" and that "[e]ach cover athlete led 16 17 his team on a memorable run toward the BCS National Championship, helping to shape the 18 competitive landscape of college football in 2008." Electronic Arts further stated that 19 "[d]eveloped in Orlando, Florida by EA Tiburon, and licensed by The Collegiate Licensing 20 Company, NCAA Football 10 will be available on the Xbox 360[®] video game and entertainment 21 system, the PlayStation[®]2 and PLAYSTATION[®]3 computer entertainment systems, and the PSP[®] 22 (PlayStation®Portable)." On EA's website, the players' mentioned above appear in mock-ups of 23 24 packaging covers for the game, as well as sample screen shots from the game, in their college 25 team uniforms. The cover of NCAA Basketball 09 features the likeness of former UCLA 26 basketball player Kevin Love in his collegiate uniform. It appears that licensing deals have been 27 struck with the players depicted on the covers. 28 CONSOLIDATED AMENDED CLASS

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page119 of 157

1 384. An EA press release dated September 11, 2008, in which EA announced the release 2 of NCAA Basketball 09, also stated that "NCAA Basketball 09 will feature Division I coaches in-3 game for the first time. Each coach will provide real time instruction and feedback, helping 4 gamers control the tempo by executing their team's offense and defense to perfection." It appears 5 that licensing deals have been struck with these coaches for use of their likenesses. 6 385. EA and the NCAA also purposefully and knowingly allow third parties to create and 7 market modifications to the NCAA games which allow players to upload complete roster 8 9 information for various teams, including player names. The NCAA and CLC have allowed this 10 because it benefits them financially by increasing the popularity of EA's NCAA games, thereby 11 increasing the royalty payments to the NCAA. 12 386. The NCAA, as well as individual schools and conferences, benefits financially from 13 the NCAA's license agreement with EA. For example, the *Des Moines Register* recently reported 14 that one school alone, Iowa State University, has received royalties from football and basketball 15 16 video games averaging \$17,600 a year in the last two years. It was further reported that for the 17 University of Iowa, "such [video game royalty] allocations come from the Big Ten Conference as 18 part of a package that includes television and other licensing revenue." 19 387. The NCAA also had a license with 2K Sports, a subsidiary of Take-Two Interactive 20 Software, Inc., for video games rights for college basketball. 2K Sports has produced several 21 iterations of their college basketball video game between 2005 and 2008 (College Hoops 2K6, 22 College Hoops 2K7, and College Hoops 2K8.) which they still market and sell. 2K Sports 23 24 discontinued the series and the NCAA subsequently granted EA the exclusive license for college 25 basketball. 26 388. In an interview dated September 21, 2005, Mike Mahar, the producer of EA's NCAA 27 March Madness 06 game, stated the following about the 39 All-Time Teams in that year's game: 28 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 119 -Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page120 of 157	
1	There are 14 new All-time teams to the game this year. Highlights include All-Georgia (Dominique Wilkins), All-Gonzaga (we have	
2	such depth now we can start compiling all time teams for the best 'mid-majors'), All-NC State (David Thompson), and All-Time	
3	teams for the ACC, Big East, Big Ten, Big 12, SEC, PAC 10, and CUSAbasically the best players ever from each of the 'major	
4	conferences.	
5	We select a wide range of players from each school/conference	
6	using websites and the respective Hall of Fame. From there we send the list out to as many basketball experts as possiblefor	
7	example I asked Kenny Smith who he thought should be on the All-Time Carolina team when he was recording here last year.	
8	Occasionally, player's names are passed by Dick Vitale, we use existing lists such as the ACC Top 50 players of all timeetc.	
9	After we have the short list we look at the ratings, historical stats, and achievements as well as players who will be popular with our	
10	consumers and we come up with the bench and the starting 5.	
11	389. In a November 12, 2008 interview, Novell Thomas, EA's Associate Producer for	
12	NCAA Basketball 09 stated the following:	
13	However, rather than talking about the 2008-2009 teams, I'm going to take you back to the past and talk about classic teams.	
14		
15	The Tournament of Legends is a customizable, 64 team, single elimination tournament. Top teams from the 50's, 60's, 70's, 80's,	
16	90's and 2000's are selectable. Coming up with and nailing down the legendary teams was not an easy process. A lot of time was	
17	spent researching the best teams and players from the various eras. Some of the factors we looked at were: championships won,	
18	win/loss records, team personnel and memorable team and player performances. To ensure that we had the correct teams selected, we	
19	leveraged our partners and contacts at ESPN and Blue Ribbon. We also got Basketball Hall of Fame contributor, Dick Vitale's	
20	thoughts and recommendations - after all, he's been around college basketball for years and has seen all of these teams and players	
21	first hand.	
22	Here's a breakdown of the various players and teams throughout the various eras. I apologize in advance for not being able to	
23	include names:	
24	50'sOne of the best players of all time played during this era. The University of San Francisco's center, #6, is arguably one of	
25	the best players to play that position. He won two championships and many many more at the professional level. Any player who	
26	averages 20 points and 20 rebounds per game during his college career, is definitely worth playing with. However, you can't forget	
27	about 1957 Kansas' center #13 (who averaged 30pts and 18rpg in college) or 1954 LaSalle's ball handling big man.	
28		
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 120 - Case No. C 09-01967 CW	

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page121 of 157
1	60'sThe center #11, from Ohio State was one of the greats from
2	this era. He was an unbelievable rebounder, scorer and passer (24ppg/17rpg). But we all know that this era belongs to UCLA's
3	center, #33. It's tough to argue that he's not the #1 player of all time. He won 3 National Championships and awarded 3-
4	Tournament MOP honors. The only thing that stopped him from getting four of each was perhaps the rule which deemed freshmen
5	ineligible.
6	70'sthere were some great players from this era but I've got to start off with the guy nicknamed "Pistol" who averaged 44 points
7	per game. He wore #23 and played point guard for LSU and averaged 44 points per game. Did I say that he averaged 44 ppg. That we hali would be the 70's started off with a hang and ended off
8	That's unbelievable. The 70's started off with a bang and ended off with an even bigger bang. Two of college basketball's greatest players, in Indiana States forward #33 and Michigan State's
9	Magician #33. They went head to head for the national championship in 1979 and this game is said to have changed
10	basketball forever and very few disagree.
11	80'sThe talent level and number of elite players continued to pour in during this era. Indiana's point guard #11 dazzled the
12	competition with his smooth controlling style; Houston's center #34 and small forward #22, members of Phi Slama Jama were
13	great to watch with their up-tempo style; North Carolina's shooting guard #23 (aka. "the great one") needs no introduction and #52
14	their power forward was also known for having a few 'Big Games' of his own; there was also the center from Navy, "the Admiral"
15	who brought some excitement to that program; and you can't forget about the center from Georgetown #33. These were college
16	basketballs' best during this time and now members of the NBA's greatest 50 players of all time. With all of these great players there
17	were definitely some great games and upsets. NC State over Houston and Villanova over Georgetown were two upsets during
18	this era which people still talk about to this day.
19	90'sThe talent continued to pour into college basketball during this era. The style of play changed drastically and the up-tempo
20	style really took over (make sure you check out the Producer Diaries for Game Tempo). You had teams pushing the ball in
21	transition, pressing and trapping in the full court and really increasing the entertainment value in college basketball. My
22	favorite team during the early 90's was definitely UNLV. They had guys who could GO and the athleticism amongst their
23	forwards/centers was second to none. The ameba defense they use to play still gives me chills and those lob passes and screams were
24 25	the icing on the cake. You can't forget about Duke. The Blue Devils had some great players who made big plays at big times.
25 26	However, 1996 Kentucky raised the bar to an entirely new level. The talent level was off the charts and 4-5 players could play multiple positions on the court. They had his curve (6'8 and taller)
26 27	multiple positions on the court. They had big guys (6'8 and taller) constantly shooting threes, guards throwing down sick dunksthat
27 28	roster had so many future NBA stars (I believe 7 of them ended up playing in the association), which further emphasizes how talented they were. But the most talented player probably came from the
20	they were. But the most talented player probably came from the CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 121 - Case No. C 09-01967 CW

	Case4:09-cv-01967-C	W Document175 Filed03/10/10 Page122 of 157
1		he France With Die Frankensentellinge eine bie mehren erste
1 2	had a great	ke Forest, "the Big Fundamental" - a true big man who t feel for the game. He knew when to kick it out and to to work in the post.
3		005 Illinois and 2005 North Carolina had some future
4	Florida Ga	at as well but nothing during this era was bigger than the tors back to back championships. 4 out of their 5 starters
5	champions	the NBA but for them to win back to back hips during this day and age, when parity is at an all
6		is really impressive. There weren't too many people who could be done but they proved us all wrong.
7		e a ton of teams and players who I did not mention but as
8	the best le	Il, we've now granted users the ability to determine who gendary teams of all time are. I encourage all of you to
9	team to the	e Tournament of Legends mode and take your favorite e winners circle. Or better yet, try to win the ship with a team from each era and see the difference in
10		s teams styles of play.
11		oy these legendary teams and everything that comes them: the classic team logos, the classic jerseys, old
12	school sne	akers (ie. Chuck Taylors) and overall look, will get you in that "old school" realm.
13		st of all the teams in the ESPN Classic Tournament of
14	Legends:	
15	Arizona	1997
16	Arkansas	1991, 1994
17	Cal	1959
18	Cincinnati	1962
19	Connecticut	2004, 1999
20	Duke	2001, 1986, 1992
21	Florida Gators	2007
22	George Mason	2006
23	Georgetown	1991, 1984
24	Georgia Tech	1990
25	Houston	1983
26	Houston	1968
27	Illinois	2005
28	CONSOLIDATED AMENDE ACTION COMPLAINT Case No. C 09-01967 CW	- 122 -

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page123 of 157

I

1	Indiana	1981, 1976, 1987
2	Indiana State	1979
3	Kansas	1952, 1957, 1988, 2008
4	Kentucky	1996, 1978, 1954
5	LaSalle	1954
6	Louisville	1980, 1986
7	Loyola Maramount	1990
8	LSU	1970, 1990
9	Marquette	1977
10	Maryland	2002
11	Michigan	1993, 1989
12	Michigan State	1979
13	Navy	1986
14	North Carolina	1957, 1982, 1993, 2005
15	North Carolina State	1974, 1983
16	Ohio State	1960
17	San Francisco	1956
18	St. John's	1985
19	Syracuse	1989, 2003
20	Texas Western	1966
21 22	UCLA	1968, 1967, 1972, 1975, 1995
22 23	Umass	1996
23 24	UNLV	1991
24 25	Villanova	1985
23 26	Virginia	1981
20 27	Wake Forest	1995
27	West Virginia	1959
20	CONSOLIDATED AMENDE ACTION COMPLAINT Case No. C 09-01967 CW	- 123 -

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page124 of 157

1	390. Numerous athletes featured on the covers of EA's various games have made telling
2	admissions about the use of their likenesses in the games. For example, in a November 21, 2005
3	interview with Raymond Felton, former point guard for the University of North Carolina men's
4	basketball team, Mr. Felton stated:
5	I usually play the sports games like March Madness, NBA Live,
6	Madden, and MVP Baseball. We used to play in the dorms all the time last year, but I never played as North Carolina. I'm not the
7	type of person who really likes to play as himself. I always check
8 9	out what I look like, but I don't want to spend time working on my jumper in the game when I can work on it in real life.
10	391. In an interview dated June 23, 2006, Adam Morrison, former Gonzaga University
11	men's basketball player and a player featured on the cover of EA's March Madness 07, stated:
12	"Everyone always thinks they should be faster. You look at what your overall rating is, and on
13	the EA college basketball game last year, if you had that three-point icon under your feet, you
14	were happy."
15	392. In an interview dated June 16, 2009, former Oklahoma University men's basketball
16 17	player Blake Griffin, who appears on the cover of EA's NCAA Basketball 10, stated: "It's crazy
18	how much it looks like the guys on our team."
19	393. EA's representative regularly attend practices for NCAA teams with the permission
20	of NCAA member schools to study in detail the physical attributes and playing characteristics of
21	players.
22	394. There is rampant commercialization within the context of EA's games. A multitude
23	of non-player individuals and corporations are featured in the game, all presumably pursuant to
24 25	lucrative contractual arrangements with EA. Each year, more and more third parties participate in
25 26	revenue derived from and relating to EA's games, and each year, class members are entirely
20 27	excluded from such participation. With respect to various items of athletic-related gear and
	r · · · · · · · · · · · · · · · · · · ·
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 124 - Case No. C 09-01967 CW

apparel, as described below, class members are being used as walking-billboards for corporate interests without compensation.

395. For example, in EA's NCAA Basketball 09, video game players can make various shoe selections to have players choose among at least the Nike, Adidas and Reebok brands, all of which are identified by name as well as by their logos on the shoes. Those logos additionally appear on team uniforms.

8 396. The box cover for NCAA Basketball 09 prominently notes that the game is
9 "Featuring ESPN." Dick Vitale, a prominent announcer on the ESPN television network, serves
10 as a game announcer in EA's game, and his image appears on posters in crowds.

397. Moreover, there are numerous references to arenas with corporate sponsorships. As
 just a few examples, Ohio State's Value City Arena, the University of Colorado's Coors Event
 Center, and DePaul University's Allstate Arena are all featured.

398. In 2008, EA announced a deal with the National Association of Basketball Coaches, a
 group representing NCAA Division I and other basketball coaches. Pursuant to the deal, coaches'
 names and likenesses began appearing in EA's NCAA Basketball 10, released in December of
 2009. In NCAA Basketball 09, Kansas Coach Bill Self is featured to provide an introduction to
 the game.

399. With respect to EA's NCAA Football 09, the commercialization is even more
prevalent. There are a myriad of branding options per player, including an option to select
Riddell Revolution, Adams or Schutt helmets and facemasks. For visors, there are options for
video game players to select options for at least the Nike, Under Armour, and Oakley brands. For
shoes, there are options to select at least the Nike and Adidas brands. Those corporate logos also
appear on player jerseys. There is an additional option to select Nike gloves.

27 28

20

1

2

3

4

5

6

7

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page126 of 157

1	400. During the process of loading the game, there is a prominent full-screen devoted to	
2	the Coca-Cola Company's "Coke-Zero Season Showdown" promotion. A pre-game weather	
3	report is sponsored by The Weather Channel / Weather.com, and game players can also select a	
4	"live-feed" from the Weather Channel.	
5	401. There also is substantial ESPN branding. ESPN college football announcers Kirk	
6 7	Herbstreet and Lee Corso are utilized, and ESPN personality Erin Andrews provides side-line	
8	reports. There also is a Lee Corso "Ask Corso" default setting for assistance in choosing which	
9	play to run that appears along with an image of him.	
10		
	402. No valid rights from Antitrust Class members have been obtained by the NCAA,	
11 12	its members, or its licenses for the use of their images, likenesses, and/or names in video games,	
12 13	and any purported transfer or usage of student-athletes' rights relating to this usage is the product	
14	of the anticompetitive agreements described herein.	
15	i. <u>Rebroadcasts of Classic Games</u> .	
16	403. In 1997, the ESPN cable television network acquired the Classic Sports Network	
17	for an amount reported to be between \$175 and \$200 million, and renamed it "ESPN Classic."	
18	ESPN Classic replays games from a variety of sports and seasons that are considered to be	
19	"classics" in some way. ESPN describes ESPN Classic as follows:	
20	ESPN Classic is a 24-hour, all-sports network devoted to	
21	telecasting the greatest games, stories, heroes and memories in the	
22	history of sports. ESPN Classic presents programming from the NFL, NBA, MLB, NHL, NASCAR, boxing (including the ESPN	
23	Big Fights Library), tennis, golf, college football and basketball, Olympics and others. ESPN Classic is a wholly owned subsidiary	
24	of ESPN, The Worldwide Leader in Sports.	
25	404. As indicated above ESPN Classic has acquired the rights to rebroadcast various	
26	"classic" college basketball and football games, and does so. These rebroadcasts feature and	
27	utilize the images of Damages Class Members.	
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 126 - Case No. C 09-01967 CW	

1	405.	Various conferences and universities also run their own networks that replay
2	classic games.	For example, the Big Ten Network states the following on its website:
3		Big Ten's Greatest Games
4		They are epic sports battles that are etched in hearts and minds of
5		Big Ten fans across the nation. They are unforgettable moments that stir passion and pride. They are echoes of both triumphant
6		victories and devastating defeats.
7		Throughout the winter, college football fans will have the
8		opportunity to relive the best of those match-ups on the Big Ten Network series, "The Big Ten's Greatest Games." The Big Ten
9		Network will also televise classic games throughout the basketball season. Use the list to the right to find full season listings.
10		
11		Our "Greatest Games" schedule features five Big Ten national championships, including Indiana's title games in 1981 and 1987,
12		Michigan's championship game in 1989 and Michigan State's titles in 1979 and 2000. Additional games from the NCAA Elite Eight
13		and Sweet 16 will air throughout the winter, as will memorable
14		regular season classics.
15		Northwestern's 2005 overtime victory against Iowa premiered on Dec. 1 and the Illinois' 2004 ACC-Big Ten Challenge win against
16		Wake Forest debuted on Dec. 8. Both games will re-air several times during the course of the season.
17		
18 19		If there's a game that you want to see on "Greatest Games," use the form below to drop us a line. Our "Greatest Games" crew wants to hear from you!
20	406.	The Big Ten Network's "Season 1" of classic men's basketball games, which was
21	broadcast in la	te 2007 and early 2008, featured 36 games ranging from 1983 to 2007 featuring the
22		
23	C	ns: Connecticut, Duke, Georgetown, Georgia Tech, Illinois, Indiana, Iowa,
24	Kentucky, LS	U, Michigan, Michigan State, Minnesota, North Carolina, Northwestern, Ohio
25	State, Penn Sta	ate, Purdue, Texas, and Wisconsin.
26	407.	It appears that by the next season, The Big Ten Network had reached an agreement
27	to show NCA	A tournament games. Whereas the first season's offerings did not appear to be
28	NCAA tourna CONSOLIDATE ACTION COMP Case No. C 09	

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page128 of 157

1	tournament. The Big Ten Network's "Season 2" of classic men's basketball games, which was
2	broadcast in late 2008 through March of 2009, featured 16 games ranging from 1979 to 2008
3	featuring the following teams: Arizona, Florida, Illinois, Indiana, Indiana State, Iowa, Kansas,
4 5	Kentucky, Maryland, Michigan State, Minnesota, North Carolina, Northwestern, Ohio State,
5 6	Oklahoma, Purdue, Seton Hall, St. John's University, Syracuse, Wake Forest, and Wisconsin.
0 7	The games included NCAA tournament championship games, and games from the NCAA
8	tournament's "Sweet Sixteen," "Elite Eight" and "Final Four" rounds.
9	408. The Big Ten Network had similar numbers of offerings for men's football games.
10	In Season 1, it rebroadcast approximately 30 different games ranging from the 1990 to 2006
11	seasons, and in Season 2 it rebroadcast a similar number of games ranging from the 1981 to 2006
12	seasons.
13	409. As another example, the Brigham Young University cable television network,
14	
15	available via cable systems around the country such as the Comcast network in the San Francisco
16	Bay Area, runs the "BYU Television" cable television network, on which it rebroadcasts various
17	games. For example, on May 30, 2009, the network was scheduled to run a "BYU Classic
18	Sports" presentation of a 2002 men's basketball game between BYU and Utah, followed by a
19 20	1988 game between BYU and Hawaii. Later that day, the network was scheduled to rebroadcast
20 21	a 1986 football game between BYU and the University of New Mexico.
21	410. No valid rights from Antitrust Damages Class members have been obtained by the
23	NCAA, its members, or its licensees for the use of their images, likenesses and/or names in
24	rebroadcasts of "classic" games , and any purported transfer of former student-athletes' rights
25	relating to this usage is the product of the anticompetitive agreements described herein.
26	j. Jerseys, T-Shirts and Other Apparel.
27	
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 128 - Case No. C 09-01967 CW

1	411. Defendants and their co-conspirators, through the release process described herein,
2	also have allowed former players' indicia of identity, namely, their uniform numbers and names,
3	to be utilized in connection with sales of replica and actual jerseys and other apparel offered for
4	sale. In addition to featuring sometimes current players, replica jerseys also are sold featuring the
5 6	numbers and names of former players.
0 7	412. For example, the University of Connecticut, through its online athletics store, sells
8	a replica basketball jersey bearing the number 4. This number clearly corresponds to former star
9	player Ben Gordon, who played for three years at UConn before turning professional in 2004.
10	Indeed, many other websites sell similar jerseys and specifically reference Mr. Gordon and his
11	number 4.
12	413. The NCAA's President, Myles Brand, was referenced in a 2004 article in <i>The New</i>
13	York Times in connection with jersey sales featuring current players as follows: "Even Myles
14 15	Brand, the President of the N.C.A.A. said he had ethical concerns about the marketing of star
15 16	players' numbers, although he ruled out permitting athletes to make money from the sale of
17	replicas of their uniforms." The article further stated that "[p]layers' number are a meaningful
18	substitute for their names"
19	
20	414. The NCAA, in fact, has examined, and blessed, its members' use of players'
21	uniform numbers for replica jersey sales. As a 2008 article on CNBC.com stated, "For years, the
22	NCAA has turned a blind eye to the fact that its member institutions give the [apparel companies]
23	of the world specific numbers that match up to their best players. The schools know the reality of
24	the situation, which is that numbers that correspond to the stars will sell better than a generic No.
25	1. And just because the NCAA forbids the selling of the jerseys with the names on the back
26 27	doesn't mean you can cut the player out of the equation. Everyone knows what's going on."
27 28	
20	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 129 - Case No. C 09-01967 CW

1

2

3

4

5

6

415. *The New York Times* further reported that "[j]erseys like these are also sold around the country in Wal-Mart, Sears and other stores under agreements with manufacturers and the [Defendant] Collegiate Licensing Company, which oversees licensing, marketing and distribution of royalties for the N.C.A.A. and nearly 200 universities, said Derek Eiler, the company's chief operating officer."

7 416. *The New York Times* further reported in 2004 that "[w]hile sales figures are hard to
 8 acquire, N.C.A.A. officials estimated that Division I universities that sell the most T-shirts and
 9 other team apparel each generate about \$6 million to \$7 million a year in sales. About 6 percent
 10 of those revenues, or perhaps \$360,000, involves the sale of replica jerseys."

11 417. In addition to replica jersey sales, dozens of the NCAA's members sell the actual 12 jerseys worn by former players to the operators of websites such as www.collegejersey.com, 13 which then offers the jersevs for sale, typically for prices ranging from several hundred dollars up 14 to \$1000 or more. These jerseys often bear the players' names on the back. For example, on June 15 16 16, 2009, there were more than 30 former UCLA football players' jerseys offered for sale that 17 bear players' names on the back. Additional information is supplied regarding the year the jersey 18 was worn, and often additional details on the particular player, such as the position that he played. 19 In the UCLA example, the players played between 1995 and 2004. 20

418. Additionally, certain schools sell "game worn" uniforms directly. For example, as
of June 16, 2009, Ohio State University was offering for sale via its online memorabilia store
approximately 30 "game worn" jerseys from the 2005 season bearing various uniform numbers.
Each one is offered at \$200. The complete player roster from that season, which lists player
names and uniform numbers, is readily available on-line from websites such as scout.com.

 419. No valid rights from Antitrust Damages Class members have been obtained by the
 NCAA, its members, or its licensees for the use of their images, likenesses and/or names in
 CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW apparel sales, and any purported transfer of former student-athletes' rights relating to this usage is
the product of the anticompetitive agreements described herein.

3

D. <u>The Reality for Players After College.</u>

4 420. There is a vast amount of information available that documents the realities of 5 student-athlete life in the Division I revenue producing sports, i.e., men's basketball and football. 6 Those athletes typically do not enjoy an academic experience anything like that of "regular" 7 students. Such athletes frequently are required by the university to devote more than 40 hours a 8 9 week to their sports, can have enormous travel demands placed upon them, are often spoon-fed a 10 curriculum of athlete-friendly classes that are nothing like those experienced by the general 11 student population, and their graduation rates frequently are abysmal.

421. Two Michigan State University law professors, Robert A. McCormick and Amy
Christian McCormick, recently conducted a study regarding Division I athletes in the revenue
generating sports, and concluded that those athletes "daily burdens and obligations not only meet
the legal standard of employee, but far exceed the burdens and obligations of most university
employees."

422. After they spend their college years juggling athletic and academic requirements,
many student-athletes wind up substantially in debt because their scholarships did not fully cover
the basic necessities of life. A recent study illustrated that so-called "full scholarships" can leave
student-athletes with as much as \$30,000 in normal student expenses uncovered over the course
of their collegiate athletic careers.

423. Moreover, many former student-athletes have continuing medical bills and
 treatments resulting from their participation in intercollegiate athletics. These medical treatments
 and attendant financial responsibility can continue long after the conclusion of a student-athlete's

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

1	collegiate sports career. On July 16, 2009, The New York Times, in an article titled "College
2	Athletes Stuck With the Bill After Injuries," reported the following:
3	
4	After years of concerns about inadequate health coverage for college athletes, the National Collegiate Athletic Association
5	started requiring universities to make sure their athletes had insurance before competing.
6	But the association never established clear standards for that
7	coverage when it introduced the rule four years ago, leaving
8	colleges to decide for themselves. While some colleges accept considerable responsibility for medical claims, many others
9	assume almost none, according to a review of public documents from a cross section of universities and interviews with current and
10	former athletes, trainers, administrators and N.C.A.A. officials.
10	•••
12	Other athletes discover their financial problems long after their
12	bodies have healed. An Ohio University football player, temporarily paralyzed during a workout, learned that he still owed
13	\$1,800 in unpaid medical bills when he went to buy a car six years after his injury.
15	
16	Many students, whether athletes or not, have medical insurance through their parents. But these plans often exclude varsity sports
17	injuries, limit out-of-state treatment or do not cover much of the bill. Some colleges buy secondary policies to fill the gaps,
18	although even these plans have holes. And only players hurt badly
10	enough to require extensive care can turn to the N.C.A.A. for coverage. Its catastrophic insurance carries a \$75,000 deductible, which will increase to \$90,000 next year.
20	which will increase to \$90,000 next year.
21	····
22	Even scholarship athletes in major sports can end up in similar situations.
23	Jason Whitehead, a former football player at Ohio University, was
24	so badly injured during a workout in 2001 that he had to be airlifted to a hospital. He was temporarily paralyzed.
25	"The next day, when I woke up, the doctor came in and informed
26	me that surgery went well, but this was a career-ending injury," he said. "You're a 19-year-old kid. It took awhile to sink in."
27	Suid. Tou to a 17 your old kid. It took uwille to slik lii.
28	
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 132 - Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page133 of 157
1	He said he took the bills not covered by his father's insurance to
2	the Ohio University trainers. His father's insurance and Ohio University refused to pay the claims.
3	Whitehead lost his scholarship one academic year after being
4	medically disqualified by a team physician, per university policy. University officials declined to comment on his situation, citing
5	their commitment to student privacy. They also said they would
6	not pay bills for procedures that occurred more than a year earlier.
7 8	But Whitehead, now a 28-year-old district manager for Frito Lay in the Cleveland area, said he discovered he owed roughly \$1,800 in unpaid medical bills while reviewing paperwork to buy his first
9	car about six years after his injury.
10	"The coach says: 'You're on full scholarship. If you ever get hurt, we'll make sure to take care of you,' " he said. "There's a lot of us
11	out there that get used."
12	424. The overwhelming majority of players do not turn professional, and those that do
13	turn professional typically do not remain professionals for very long. Those that do become
14	professionals often emerge from universities totally unprepared to manage their finances, and thus
15	frequently fall prey to financial predators, as a recent expose in Sports Illustrated magazine
16	documented.
17 18	425. The rare player who reaches the top professional ranks in basketball and is drafted
18 19	at least likely will have a guaranteed contract for a few years; in the National Football League, the
20	rare player who reaches the professional ranks does not have a guaranteed contract and can be cut
21	from the team at any time due to injury or non-performance.
22	426. Whatever the realities of student-athlete life may be, the NCAA is not entitled to
23	abridge those student-athletes' economic rights in perpetuity.
24	ANTITRUST ALLEGATIONS
25	427. Defendants' contract, combination, and conspiracy described herein consisted of a
26	continuing agreement, understanding, and concert of action among the Defendants and their co-
27	
28	conspirators, the substantial terms of which were to artificially fix, depress, maintain, and/or CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW - 133 -

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page134 of 157

1	stabilize prices received by Antitrust Plaintiffs and Antitrust Class members for use and sale of
2	their images, likenesses and/or names at zero dollars in the United States, its territories and
3	possessions.
4	428. Defendants' and their co-conspirators' actions also can be understood as a group
5	boycott/ refusal to deal.
6 7	429. Defendants CLC, EA and various co-conspirators facilitated the contract,
8	combination and conspiracy described herein, and benefited financially from its operation.
9	430. In formulating and effectuating the contract, combination, or conspiracy,
10	
11	Defendants and their co-conspirators did those things that they unlawfully combined and
12	conspired to do, including, among other things:
13	a. agreeing to artificially fix, depress, maintain, and/or stabilize prices paid to Antitrust Plaintiffs and Antitrust Class members for use and sale of their
14	images, likenesses and/or names;
15	 agreeing to limit output of the use or sale of the images, likenesses and/or names of Antitrust Plaintiffs and Antitrust Class Members;
16 17	 agreeing to boycott and refuse to deal with Antitrust Plaintiffs and Antitrust Class members regarding compensation for the use and sale of their images, likenesses and/or names; and
18	
19	 d. implementing and monitoring the conspiracy among cartel members. 421 The activities described above have been arreaded in by Defendents and their activities.
20	431. The activities described above have been engaged in by Defendants and their co-
21	conspirators for the purpose of effectuating the unlawful agreement to fix, depress, maintain
22	and/or stabilize prices paid to Antitrust Plaintiffs and Antitrust Class members for the sale and use
23	of their images, likenesses and/or names.
24 25	432. Defendants' actions constitute an unreasonable restraint of trade.
25 26	
20 27	
28	
_	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 134 - Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page135 of 157
1	RIGHT OF PUBLICITY CAUSES OF ACTION
2	FIRST CAUSE OF ACTION
3 4	(Deprivation of Rights of Publicity, Violation of Indiana Code § 32-36-1-1) (As Against Electronic Arts)
5	1. Right of Publicity Plaintiffs incorporate by reference the allegations in the above
6	paragraphs as if fully set forth herein.
7	2. Right of Publicity Plaintiffs and class members' names, voices, signatures,
8	photographs, images, likenesses, distinctive appearances, gestures, and mannerisms have
9	commercial value. For commercial purposes, EA has used and continues to use Right of
10	Publicity Plaintiffs' and class members' names, images, likenesses and distinctive appearances
11	without their consent in connection with and for the purposes of advertising, selling and soliciting
12	purchases of its videogames, including its NCAA Football, NCAA Basketball and NCAA March
13	Madness franchises.
14	3. Specifically, EA has used Right of Publicity Plaintiffs' names, images, likenesses
15	and distinctive appearances by incorporating such items into its virtual players in its NCAA
16	Football videogames that are sold in Indiana. It has used these items in creating and crafting its
17	games by gathering information in Indiana that is used to model the content of its NCAA-related
18	games. The use of Right of Publicity Plaintiffs' rights of publicity increases the realism of the
19	games by including, among other things, literal depictions of Plaintiffs and class members in the
20	game. This allows EA to increase sales and profits.
21	4. EA never received Right of Publicity Plaintiffs' or class members' consent, written
22	or otherwise, to use their likenesses, images, names, or other distinctive appearances.
23	5. EA's actions are pursuant to, and in furtherance of, its unlawful conspiracy with
24	the NCAA and CLC to misappropriate Right of Publicity Plaintiffs' and class members' names,
25	images, likenesses and distinctive appearances for commercial purposes.
26	6. Defendants have willfully and intentionally used and continued to use Right of
27	Publicity Plaintiffs' and class members' rights of publicity.
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 135 - Case No. C 09-01967 CW

1	7. Defendants undertook actions in furtherance of their conspiracy within the State of
2	Indiana. Specifically, Defendant NCAA is located in Indiana and all conduct of the NCAA
3	alleged herein took place or was ratified in Indiana. In addition, NCAA has hosted meetings in
4	Indiana, contracted in Indiana, and NCAA's decisions and approvals for the use of player names
5	and likenesses arose in and emanated from Indiana.
6	8. Likewise, EA has solicited, advertised, and sold its games in Indiana directly to
7	Indiana consumers, and developed information in Indiana to be used in its games' development.
8	Upon information and belief, EAs has sold thousands of games to Indiana consumers during the
9	class periods via its website, and has sold tens of thousands of games through retailers.
10	9. As a result of Electronic Arts' conduct, Plaintiffs have been injured.
11	SECOND CAUSE OF ACTION (Deprivation of Rights of Publicity Violation of California Civil Code § 3344)
12	(As Against EA)
13	10. Right of Publicity Plaintiffs incorporate by reference the allegations in the above
14	paragraphs as if fully set forth herein.
15	11. EA has knowingly and intentionally utilized and continue to utilize the names and
16	likenesses of Right of Publicity Plaintiffs and class members in videogames produced by EA
17	without the consent of Right of Publicity Plaintiffs and class members. This conduct has
18	occurred in and emanated from California, specifically EA's headquarters.
19	12. EA has used and continues to use Right of Publicity Plaintiffs' and class members'
20	names and likenesses for the purposes of advertising, selling and soliciting purchases of
21	Electronic Arts' videogames, including its NCAA Football, NCAA Basketball and NCAA March
22	Madness franchises. Most decisions and policy relating to this conduct has occurred in and
23	emanated from California, specifically Electronic Arts' headquarters.
24	13. As a result of EA's misappropriation of their publicity rights, Right of Publicity
25	Plaintiffs and class members have been injured.
26	
27	THIRD CAUSE OF ACTION (Violation of Rights of Publicity California Common Law)
28	(As Against EA)
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 136 - Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page137 of 157
1	14. Right of Publicity Plaintiffs incorporate by reference the allegations in the above
2	paragraphs as if fully set forth herein.
3	15. Pursuant to its unlawful conspiracy, EA has utilized and continues to utilize the
4	names, likenesses and identities of Plaintiffs and class members in Electronic Arts' videogames
5	without their consent and for their own commercial advantage.
6	16. As a result of EA's misappropriation of their publicity rights Right of Publicity
7	Plaintiffs and class members have been injured.
8 9	FOURTH CAUSE OF ACTION (Civil Conspiracy) (As Against All Defendants)
10	17. Right of Publicity Plaintiffs incorporate by reference the allegations in the above
11	paragraphs as if fully set forth herein.
12	18. On information and belief, Defendants, and each of them, have conspired and
13	combined with each other, and possibly with third parties, to use class members' likenesses
14	without permission, and have achieved a meeting of the minds, through either express or tacit
15	agreement, on an object or course of action of the conspiracy, including depriving class members
16	of their right to protect their names, likenesses and rights to publicity and their contractual,
17	property rights.
18	19. Defendants have formed and operated a civil conspiracy with each other,
19	performing as a part of the conspiracy numerous overt acts in furtherance of the common design,
20	including one or more unlawful acts which were performed to accomplish a lawful or unlawful
21	goal, or one or more lawful acts which were performed to accomplish an unlawful goal.
22	20. As a result of the conduct of Defendants and the conspiracy, Right of Publicity
23	Plaintiffs and class members have been damaged as described above.
24 25	
25 26	
26 27	
27 28	
20	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 137 - Case No. C 09-01967 CW

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page138 of 157
1	FIFTH CALLEE OF A CTION
1 2	FIFTH CAUSE OF ACTION
2	(Violation of the Unfair Competition Act,
3 4	California Business & Professions Code § 17200, et seq.) (As Against EA)
5	21. Right of Publicity Plaintiffs incorporate by reference the allegations in the above
6	paragraphs as if fully set forth herein.
7	22. EA's conduct and unlawful conspiracy, as alleged above, constituted and
8	constitutes unfair, unlawful and fraudulent business practices in violation of Section 17200, et
9	seq. of the California Business and Professions Code. The conduct is unfair, unlawful, and
10	fraudulent because among other things it violates California Civil Code § 3344.
11	23. EA's conduct has further caused and is causing damage and irreparable injury to
12	Plaintiffs and class members. Plaintiffs and class members are accordingly entitled to
13	disgorgement of EA's profits and injunctive relief, plus interest and attorneys' fees, pursuant to
14	California Code of Civil Procedure § 1021.5 and request the following injunctive relief: (a) that
15	EA be ordered to cease and desist from continuing to unlawfully utilize Right of Publicity
16	Plaintiffs and class members names and likenesses and (b) that EA disgorge all its profits
17	obtained from the utilization of Right of Publicity Plaintiffs and class members names and
18	likenesses.
19	SIXTH CAUSE OF ACTION
20	(Breach of Contract)
21	(As Against NCAA)
22	24. Defendant NCAA entered into uniform or substantially similar contracts (which
23	are identical in material terms) with class members. See Exhibit A.
24	25. Right of Publicity Plaintiffs and class members are required to enter into the
25	contract attached as Exhibit A. The contract prohibits the student-athlete from using his name,
26	picture or likeness for commercial purposes, but authorizes and licenses the NCAA, and certain
27	authorized representatives, to use the student-athletes' name or picture to promote NCAA
28	championships or other NCAA events, activities or programs.
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 138 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page139 of 157

1	26. Likewise, the contract prohibits the NCAA from using Right of Publicity
2	Plaintiffs' and class members' names, pictures and likeness for commercial purposes, but grants a
3	limited license to the NCAA, and certain authorized representatives, to use the student-athletes'
4	name or picture to promote NCAA championships or other NCAA events, activities or programs.
5	27. In consideration for the above disclosures, waivers, affirmations and limited
6	license, the NCAA agrees to grant players eligibility to participate in Division I athletics.
7	28. EA is not an authorized NCAA representative under the contract, and in fact EA is
8	contractually prohibited from using player names and likenesses.
9	29. The NCAA videogames produced by EA do not promote NCAA events, activities,
10	programs or championships, as contemplated by the contract.
11	30. The NCAA videogames produced by EA are for commercial purposes only.
12	31. The NCAA sanctions, facilitates and profits from EA's commercial use of
13	student-athletes' names, pictures and likenesses despite contractual obligations prohibiting such
14	conduct.
15	32. Additionally, the contracts impose specified duties on Defendant NCAA and
16	require it to fulfill certain obligations to class members, including a duty to deal fairly and in
17	good faith with Plaintiffs and class members.
18	33. In furtherance of the unlawful conspiracy alleged above and with the knowledge
19	and consent of CLC and EA, the NCAA breached its contracts with class members by, among
20	other things, (1) seeking to accomplish indirectly through its relationship and agreements with
21	Defendant Electronic Arts that which it could not do directly (profit from class members'
22	likenesses); (2) failing to insure and protect class members' rights of when it established
23	contractual relationships with the other Defendants; (3) permitting the other Defendants to use
24	Right of Publicity Plaintiffs and class members' names and likenesses – such as when it expressly
25	permitted EA to utilize players' names and likenesses; (4) purposely ignoring that the other
26	Defendants were using class members' likenesses, despite the fact that class members only gave
27	Defendant NCAA limited publicity rights and for NCAA events; and (5) not abiding by the terms
28	of its own contracts. CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 139 - Case No. C 09-01967 CW

1	34. As a proximate result of Defendants' conduct, Right of Publicity Plaintiff and class
2	members have been injured.
3	SEVENTH CAUSE OF ACTION
4	(Unjust Enrichment)
5	(As Against EA and CLC)
6	35. Right of Publicity Plaintiffs incorporate by reference the allegations in the above
7	paragraphs as if fully set forth herein.
8	36. To the detriment of Right of Publicity Plaintiffs and class members, Defendants
9	EA and CLC have been and continue to be unjustly enriched as a result of the unlawful and/or
10	wrongful conduct alleged herein. EA and CLC have been unjustly benefited through the sale of
11	videogames that utilize the names and likenesses of Plaintiffs and Class Members.
12	37. Between Defendants EA/CLC and Right of Publicity Plaintiffs/class members, it
13	would be unjust for Electronic Arts and CLC to retain the benefits attained by their wrongful
14	actions. Accordingly, Right of Publicity Plaintiffs and class members seek full restitution of
15	EA's and CLC's enrichment, benefits and ill-gotten gains acquired as a result of the unlawful
16	and/or wrongful conduct alleged herein.
17	
18	
19	ANTITRUST CASE CAUSES OF ACTION
20	FIRST CLAIM FOR RELIEF
21	
22	Violation of Section 1 of the Sherman Act – 15 U.S.C. § 1
23	Unreasonable Restraint of Trade
24	(Against All Defendants)
25	433. Plaintiffs incorporate and re-allege each allegation set forth in the preceding
26	paragraphs dealing with the claims of the Antitrust Class.
27	paragraphis douling with the orallis of the rinter dist Cluss.
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 140 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page141 of 157

1 434. Defendants and their co-conspirators, by and through Defendants' and co-2 conspirators' officers, directors, employees, agents, or other representatives, have entered into a 3 continuing contract, combination, and conspiracy in restraint of trade to artificially depress, fix, 4 maintain, and/or stabilize the prices paid (specifically, depressing, fixing, maintaining and 5 stabilizing them at zero dollars) to Antitrust Class members for the use of, and to limit supply for, 6 licensing and sale of their images, likenesses and/or names in the United States and its territories 7 8 and possessions, in violation of Section 1 of the Sherman Act (15 U.S.C. § 1). If Plaintiffs and 9 Antitrust Class members were free to license and sell the rights to their images, likenesses and/or 10 names, many more licenses would be sold. This output restriction also has the effect of raising 11 the prices charged by the NCAA and CLC for licensing rights. 12 435. Defendants' unlawful conduct resulted in Antitrust Plaintiffs and Class members 13 losing their freedom to compete. This unreasonable restraint on competition has artificially 14 limited supply and depressed prices paid by Defendants and their co-conspirators to Antitrust 15 16 Plaintiffs and the members of the Antitrust Class for use of their images images, likenesses and/or 17 names after cessation of participation in intercollegiate sports. 18 436. Antitrust Plaintiffs and the members of the Antitrust Class received less than they 19 otherwise would have received for the use of their images, likenesses and/or names in a 20 competitive marketplace, were thus damaged, and seek to recover for those damages. 21 437. On information and belief, the NCAA always conditioned eligibility to play 22 NCAA Division I college or university men's basketball or NCAA Football Bowl Subdivision 23 24 (formerly known as Division I-A until 2006) men's football on the perpetual relinquishment to 25 the NCAA and its members by the student-athlete of all rights to his image, likeness and/or name 26 associated with the playing of those sports. 27

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page142 of 157

1	438. Defendants and their co-conspirators' total abridgment of compensation rights for
2	former student-athletes are not connected to any legitimate non-commercial goal. Defendants'
3	actions are solely to enhance revenue for themselves and their for-profit business partners, by
4 5	cutting costs, <i>i.e.</i> , eliminating the need to pay any compensation to former student-athletes for the
5 6	continuing commercial exploitation of their images, likenesses and/or names. Defendants'
0 7	actions have no relationship to any alleged goal of "amateurism," or pro-educational purposes, as
8	former student-athletes by definition are no longer members of athletic teams under the NCAA's
9	control. Thus, the NCAA's actions directly regulate a commercial market and therefore are
10	illegal.
11	439. Defendant CLC has facilitated this illegal scheme, and has financially benefited
12	from it.
13 14	440. Defendant EA has participated in this illegal scheme, and has financially benefited
15	from it.
16	441. As a direct and proximate result of Defendants' scheme, Antitrust Plaintiffs and
17	the members of the Antitrust Class have been injured and financially damaged in amounts which
18	are presently undetermined. Antitrust Plaintiffs' and Antitrust Class members' injuries consist of
19 20	receiving lower prices for use of their images than they would have received absent Defendants'
20 21	conduct. Antitrust Plaintiffs' and Antitrust Class members' injuries are of the type the antitrust
21	laws were designed to prevent and flow from that which makes Defendants' conduct unlawful.
23	442. Defendants' and their co-conspirators' have collectively conspired to illegally limit
24	and depress the compensation of former student-athletes for continued use of their images to zero.
25	This anticompetitive and illegal scheme has unreasonably restrained trade.
26	443. The anticompetitive effects of Defendants' scheme substantially outweigh any
27	alleged procompetitive effects that may be offered by Defendants, including that their collusive
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 142 - Case No. C 09-01967 CW

1	conduct is shielded by its concept of "amateurism." Reasonable and less restrictive alternatives
2	are available to Defendants' current anticompetitive practices.
3	444. Antitrust Plaintiffs and Antitrust Class members are entitled to a declaratory
4 5	judgment declaring as void and unenforceable all forms that purport to grant, transfer, or convey
5 6	the rights of former student-athletes in the use of their images.
0 7	445. Antitrust Plaintiffs and the Antitrust Class are entitled to a permanent injunction
8	that terminates the ongoing violations alleged in this Complaint.
9	SECOND CLAIM FOR RELIEF
10	Violation of Section 1 of the Sherman Act – 15 U.S.C. § 1
11	Unreasonable Restraint of Trade – Group Boycott / Refusal to Deal
12	(Against All Defendants)
13	
14	446. Antitrust Plaintiffs incorporate and re-allege each allegation set forth in the
15	preceding paragraphs dealing with the claims of the Antitrust Class.
16	447. Defendants and their co-conspirators, by and through Defendants' and co-
17	conspirators' officers, directors, employees, agents, or other representatives, entered into a
18 19	continuing contract, combination, and conspiracy in restraint of trade to effectuate a horizontal
19 20	group boycott of Antitrust Class Members. Defendants' group boycott / refusal to deal
20	encompasses Defendants' concerted refusal to compensate Antitrust Class Members for use of
22	their images, likenesses and/or names and to otherwise concertedly act to prevent Class Members
23	from being compensated for use of their images, likenesses and/or names, in the United States
24	and its territories and possessions, in violation of Section 1 of the Sherman Act (15 U.S.C. § 1).
25	448. Defendants' group boycott / refusal to deal includes Defendants' concerted action
26	
27	to require all current student-athletes to sign forms each year that purport to require each of them
28	to relinquish all rights in perpetuity for use of their images, likenesses and/or names. This
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 143 - Case No. C 09-01967 CW

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page144 of 157

1 concerted action is in effect a refusal to deal with Antitrust Class members on future post-2 competition compensation rights issues, and forecloses them from access to the market. 3 Defendants use the eligibility rules as a threat of a boycott to force all student-athletes to sign the 4 forms. 5 449. Defendants' group boycott / refusal to deal also includes Defendants' ongoing 6 concerted action to deny Antitrust Class Members compensation in the form of royalties for the 7 8 continued use of their images, likenesses and/or names for profit, including, but not limited to, 9 through restrictions in the Bylaws. 10 450. Plaintiffs and the members of the Antitrust Class received less than they otherwise 11 would have received for the use of their images in a competitive marketplace, were thus damaged, 12 and seek to recover for those damages.

451. On information and belief, the NCAA always conditioned eligibility to play
NCAA Division I college or university men's basketball or NCAA Football Bowl Subdivision
(formerly known as Division I-A until 2006) men's football on the perpetual relinquishment to
the NCAA and its members by the student-athlete of all rights to his image, likeness and/or name
associated with the playing of those sports.

19 452. Defendants and their co-conspirators' total abridgment of compensation rights for 20 former student-athletes are not connected to any legitimate non-commercial goal. Defendants' 21 actions are solely to enhance revenue for themselves and their for-profit business partners, by 22 cutting costs, *i.e.*, eliminating the need to pay any compensation to former student-athletes for the 23 24 continuing commercial exploitation of their images, likenesses and/or names. Defendants' 25 actions have no relationship to any alleged goal of "amateurism," or pro-educational purposes, as 26 former student-athletes by definition are no longer members of athletic teams under the NCAA's 27

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

13

1 control. Thus, the NCAA's actions directly regulate a commercial market and therefore are 2 illegal.

3 453. CLC has facilitated this illegal group boycott/refusal to deal, and has financially benefited from it.

454. Defendant EA has participated in this illegal scheme, and has financially benefited 6 from it. 7

455. As a direct and proximate result of Defendants' group boycott, Antitrust Plaintiffs 8 9 and the members of the Antitrust Class have been injured and financially damaged in amounts 10 which are presently undetermined. Antitrust Plaintiffs' and Antitrust Class members' injuries 11 consist of denial of compensation for use of their images, likenesses and/or names. Antitrust 12 Plaintiffs' and Antitrust Class members' injuries are of the type the antitrust laws were designed 13 to prevent and flow from that which makes Defendants' conduct unlawful. 14

456. Defendants' and their co-conspirators' have collectively conspired to illegally 15 16 deny compensation to former student-athletes for continued use of their images, likenesses and/or 17 names in unreasonable restraint of trade.

18 457. The anticompetitive effects of Defendants' group boycott substantially outweigh 19 any alleged pro-competitive effects that may be offered by Defendants, including that their 20 collusive conduct is shielded by its concept of "amateurism" or pro-educational purpose. 21 Reasonable and less restrictive alternatives are available to Defendants' current anticompetitive 22 practices. 23 24 458. Antitrust Plaintiffs and the Antitrust Class are entitled to a permanent injunction 25 that terminates the ongoing violations alleged in this Complaint.

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

4

5

26

27

28

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page146 of 157				
1	THIRD CLAIM FOR RELIEF				
2	Unjust Enrichment				
3	(Against All Defendants)				
4	459. Antitrust Plaintiffs incorporate and re-allege each allegation set forth in the				
5	preceding paragraphs dealing with the claims of the Antitrust Class.				
6	460. Defendants have been unjustly enriched as a result of the unlawful conduct				
7	detailed herein at the expense of Antitrust Plaintiffs and Antitrust Class members. Under				
8	common law principles of unjust enrichment. Defendants should not be permitted to retain the				
9	benefits conferred upon them via their wrongful conduct, and it would be unjust for them to be				
10					
11	allowed to do so.				
12	461. Antitrust Plaintiffs seek disgorgement of all Defendants' profits resulting from the				
13	wrongful conduct described herein and establishment of a constructive trust from which Antitrust				
14 15	Plaintiffs and the Class members may seek restitution.				
15 16	FOURTH CLAIM FOR RELIEF				
10	Accounting				
18	(Against All Defendants)				
19	462. Antitrust Plaintiffs incorporate and re-allege each allegation set forth in the				
20	preceding paragraphs dealing with the claims of the Antitrust Class.				
21	463. As a result of the illegal conduct alleged herein, Defendants have received				
22	licensing revenues in various forms and amounts, including both licensing fees and royalty				
23					
24	payments. As an alternative to their damage claims, Antitrust Plaintiffs and the members of the				
25	Antitrust Class seek to recover a share of these revenues generated from the exploitation of their				
26	likenesses and images, likenesses and/or names.				
27					
28	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 146 - Case No. C 09-01967 CW				

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page147 of 157

464. Upon a determination of liability, an accounting of the licensing revenues that
 Defendants have wrongfully diverted to themselves and other entities will be required in order to
 determine damages in the form of each Antitrust Plaintiff's and Antitrust Class members' share
 of these licensing revenues.

465. These licensing revenues are collected by Defendants as a result of numerous 6 licensing agreements among many different entities, including the Defendants and their co-7 8 conspirators, and likely thousands of companies that license, manufacture, market and sell various 9 products and services bearing the likenesses and images of Antitrust Plaintiffs and the members 10 of the Antitrust Class. The structure of the many relationships between these entities and terms of 11 the various agreements governing the licensing transactions are not known to Antitrust Plaintiffs 12 and the members of the Antitrust Class. 13

466. Antitrust Plaintiffs and the members of the Antitrust Class cannot identify at this 14 time, among other things; (a) all of the entities that have entered into licensing and/or royalty 15 16 agreements with the Defendants and their co-conspirators, (b) how the licensing revenue due to 17 the Defendants and their co-conspirators from each of those agreements is calculated, (c) the 18 amount of that revenue, and (d) which members of the Antitrust Class' images, likenesses and/or 19 names are associated with which agreements. Antitrust Plaintiffs seek to recover for themselves 20 and the members of the Antitrust Class a percentage of the revenue from Defendants and their co-21 conspirators for every unlawful licensing and/or royalty agreement involving their image, 22 likenesses, and/or names; this percentage and amount is ascertainable and will be decided by this 23 24 Court upon a determination of liability.

467. The amount of licensing revenue generated from the exploitation of these images,
likenesses and/or names, including the tracing the revenue resulting from each transaction,
requires a full and complete accounting. This is so because determining the amounts due will
CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 147 - involve a fuller understanding and accounting of the various transactions, agreements, parties and revenues involved.

468. Calculation of the amounts due to Antitrust Plaintiffs and Antitrust Class members may well be complex. Industry accounting standards may need to be determined, understood and applied, revenues may need to be traced through the various Defendants and their co-conspirators and parties involved in the transactions, and tax consequences may also be considered.

RIGHT OF PUBLICITY PRAYER FOR RELIEF

WHEREFORE, Plaintiffs prays for judgment against Defendants as follows:

A. Certification of the action as a Class Action pursuant to the Federal Rules of Civil Procedure, and appointment of Right of Publicity Plaintiffs as the Class Representatives and their counsel of record as Class Counsel;

B. A declaration by this Court that Defendants' conduct constituted a conspiracy, and
that they are each jointly and severally liable for the conduct of or damage inflicted by any other
defendant;

C. Actual damages, statutory damages, punitive damages, and such other relief as
 provided by the statutes cited herein;

D. Disgorgement of all profits earned by Defendants from the sale of videogames
 containing the likenesses of Plaintiffs and class members;

E. Prejudgment and post-judgment interest on such monetary relief;

F. Equitable relief in enjoining future use of the names or likenesses of Right of Publicity Plaintiffs and class members in videogames, and declaring null, void and/or

unenforceable any contractual provisions or NCAA rules purporting to limit the rights of

Plaintiffs and class members to receive compensation for their injuries;

G. Seizure and destruction of all copies of any videogames in the possession, custody
 or control of Defendants or third parties (to the extent permitted by law) that infringe upon Right
 of Publicity Plaintiffs' and class members' rights of publicity;

CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW

1

2

3

4

5

6

7

8

9

10

11

12

21

22

23

24

28

- 148 -

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page149 of 157				
1	H. The costs of bringing this suit, including reasonable attorneys' fees; and				
2	I. All other relief to which Plaintiffs and class members may be entitled at law or in				
3	equity.				
4	A NTET DI ST DD A VED EOD DEI IEE				
5	ANTITRUST PRAYER FOR RELIEF				
6	WHEREFORE, Plaintiffs prays as follows:				
7	A. That the Court determine that this action may be maintained as a class action	under			
8 9	Rule 23 of the Federal Rules of Civil Procedure;				
9 10	B. That the contract, combination, or conspiracy, and the acts done in furtherance	e			
11	thereof by Defendants and their co-conspirators, be adjudged to have been in violation of				
12	Section 1 of the Sherman Act (15 U.S.C. § 1);				
13	C. That judgment be entered for Antitrust Plaintiffs and members of the Antitrus	t			
14	Class against Defendants for three times the amount of damages sustained by Plaintiffs and the				
15	Class as allowed by law, together with the costs and expenses of this action, including reasonable				
16	attorneys' fees;				
17 18	D. That Defendants be ordered to disgorge all profits earned via the wrongful us	e and			
10	sale of Antitrust Class members' images, likenesses and/or names as described herein;				
20	E. That Antitrust Plaintiffs and Antitrust Class members be awarded any availab	le			
21	prejudgment and post-judgment interest;				
22	F. That Antitrust Plaintiffs and Antitrust Class members are entitled to Declarate	ory			
23	relief declaring as void and unenforceable any releases that purport to have caused Antitrust				
24 25	Plaintiffs and Class member to relinquish rights to compensation for use of their images after they				
23 26	no longer are student-athletes, and further declaring as void and unenforceable all NCAA and				
27	member license agreements that purport to represent that Antitrust Class members have released				
28	future compensation rights for the use of their images after they no longer are student-athletes; CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW - 149 -				

1	G. That Defendants, their affiliates, successors, transferees, assignees, and the			
2	officers, directors, partners, agents, and employees thereof, and all other persons acting or			
3	claiming to act on their behalf, be permanently enjoined and restrained from, in any manner,			
4	continuing, maintaining, or renewing the contract, combination, or conspiracy alleged herein, or			
5 6	from engaging in any other contract, combination, or conspiracy having a similar purpose or			
0 7	effect, and from adopting or following any practice, plan, program, or device having a similar			
8	purpose or effect;			
9	H. That Antitrust Plaintiffs and Antitrust Class members are further entitled to			
10	equitable relief permanently enjoining the future use of the release forms described herein, and			
11	enjoining Defendants and their co-conspirators from selling, licensing or using former student-			
12	athletes' rights that Defendants do not own; and			
13 14	I. That Antitrust Plaintiffs and Antitrust Class members have such other, further, and			
14	different relief as the case may require and the Court may deem just and proper under the			
16	circumstances.			
17	RIGHT OF PUBLICITY			
18	JURY DEMAND			
19				
20	Right of Publicity Plaintiffs demand a jury trial, pursuant to Federal Rule of Civil			
21	Procedure 38(b), of all triable issues.			
22	ANTITRUST JURY DEMAND			
23	Antitrust Plaintiffs demand a jury trial, pursuant to Federal Rule of Civil Procedure 38(b),			
24 25	of all triable issues.			
25 26				
26 27				
27				
*	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 150 - Case No. C 09-01967 CW			

	Case4:09-cv-01967-CW Document175 Filed03/10/10 Page151 of 157			
1	D. I. M. I. 10, 2010			
1	Dated: March 10, 2010			
2	HAGENS BERMAN SOBOL SHAPIRO LLP	HAUSFELD LLP		
3	/s/ Robert B. Carey	/s/ Jon T. King		
4	Robert B. Carey (pro hac vice)	Michael P. Lehmann (Cal. Bar No. 77152)		
5	Leonard W. Aragon (<i>pro hac vice</i>)	Jon T. King (Cal. Bar No. 205073)		
6	11 West Jefferson	Christopher L. Lebsock (Cal. Bar No.		
	Suite 1000 Phoenix, AZ, 85003 Telephone: (602) 840-5900	184546) Arthur N. Bailey, Jr. (Cal. Bar No. 248460)		
7	Facsimile: (602) 840-3012	44 Montgomery Street, 34th Floor		
8	rcarey@hbsslaw.com	San Francisco, CA 94104		
9	leonard@hbsslaw.com	Tel: (415) 633-1908		
)	Steve W. Berman WSBA #12536 (Pro Hac Vice)	Fax: (415) 358-4980 Email: mlehmann@hausfeldllp.com		
10	HAGENS BERMAN SOBOL SHAPIRO LLP	jking@hausfeldllp.com clebsock@hausfeldllp.com		
11	1918 Eighth Avenue, Suite 3300 Seattle, Washington 98101	abailey@hausfeldllp.com		
12	Telephone: (206) 623-7292			
13	Facsimile: (206) 623-0594	Michael D. Hausfeld (<i>pro hac vice</i>)		
15	E-Mail: steve@hbsslaw.com	HAUSFELD LLP 1700 K Street, NW, Suite 650		
14	Plaintiffs' Interim Co-Lead Class Counsel	Washington, DC 20006		
15		Tel: (202) 540-7200		
		Fax: (202) 540-7201		
16 17		Email: mhausfeld@hausfeldllp.com mjones@hausfeldllp.com		
17 18		Plaintiffs' Interim Co-Lead Class Counsel		
10				
20	Additional Counsel for Right of Publicity and Related Claims:			
21				
22	Shana E. Scarlett (Cal. Bar No. 217895)	Stuart M. Paynter (Cal. Bar. No. 226147)		
23	HAGENS BERMAN SOBOL SHAPIRO LLP 715 Hearst Avenue, Suite 202	THE PAYNTER LAW FIRM PLLC 1200 G Street N.W., Suite 800		
24	Berkeley, CA 94710 Telephone: (510) 725-3000	Washington DC 20005 Telephone: (202) 626-4486		
25	Facsimile: (510) 725-3001 shanas@hbsslaw.com	Facsimile: (866) 734-0622 stuart@smplegal.com		
26	shanas@10551aw.COIII	siuuri@smpregui.com		
27				
28				
_0	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 151 Case No. C 09-01967 CW	-		

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page152 of 157

1	Howard J. Sedran Austin B. Cohen	Steven A. Asher David H. Weinstein
2	Levin Fishbein Sedran & Berman Suite 500	Mindee J. Reuben Jeremy S. Spiegel
3	510 Walnut Street	WEINSTEIN KITCHENOFF & ASHER LLC
4	Philadelphia, PA 19106 Phone: 215-592-1500	1845 Walnut Street, Suite 1100 Philadelphia, Pennsylvania 19103
5	Fax: 215-592-4663 Email: hsedran@lfsblaw.com	Telephone: (215) 545-7200 Email: asher@wka-law.com
6	acohen@lfsblaw.com	
7	Michael Ram	Joseph C. Kohn Robert J. LaRocca
8	Karl Olson RAM & OLSON	KOHN SWIFT & GRAF, P.C. One South Broad Street, Suite 2100
9	555 Montgomery Street, Suite 820 San Francisco, California 94111	Philadelphia, Pennsylvania 19107 Phone: (215) 238-1700
10	Phone: (415) 433-4949 Email: mram@ramolson.com	Email: jkohn@kohnswift.com
11		
12	Roberta D. Liebenberg Donald L. Perelman	Gerald J. Rodos Jeffrey B. Gittleman
13	FINE, KAPLAN AND BLACK, R.P.C. 1835 Market Street, Suite 2800	BARRACK RODOS & BACINE 3300 Two Commerce Square
14	Philadelphia, Pennsylvania 19103 Phone: (215) 567-6565	2001 Market Street Philadelphia, Pennsylvania 19130
15	Email: rliebenberg@finekaplan.com	Phone: (215) 963-0600 Email: grodos@barrack.com
16	Howard J. Sedran Austin B. Cohen	
17	LEVIN, FISHBEIN, SEDRAN & BERMA 510 Walnut Street, Suite 500	N
18	Philadelphia, Pennsylvania 19106 Phone: (215) 592-1500	
19	Email: hsedran@lfsblaw.com	
20	Additional Counsel for Antitrust and Relat	ed
21	Claims:	
22	William A. Isaacson (<i>pro hac vice</i>) Tanya Chutkan (<i>pro hac vice</i>)	John F. Cove, Jr. (Cal. Bar No. 212213) BOIES, SCHILLER & FLEXNER LLP
23	Jack Simms (<i>pro hac vice</i>) BOIES, SCHILLER & FLEXNER LLP	1999 Harrison Street, Suite 900 Oakland, CA 94612
24	5301 Wisconsin Avenue, 8th Floor	Tel: (510) 874-1000 Fax: (510) 874-1480
25	Washington, DC 20015 Tel: (202) 237-2727	Email: jcove@bsfllp.com
26	Fax: (202) 237-6131 Email: wisaacson@bsfllp.com	
27	tchutkan@bsfllp.com	
28	jsimms@bsfllp.com CONSOLIDATED AMENDED CLASS	
	ACTION COMPLAINT Case No. C 09-01967 CW	- 152 -

1		
	Thomas V. Girardi	Jay L. Himes
2	Stephen Gerard Larson	Morissa Falk
3	Girardi & Keese	LABATON SUCHAROW LLP
C	1126 Wilshire Boulevlard	140 Broadway
4	Los Angeles, CA 90017	New York, NY 10005
5	213-977-0211 Fax: 213-481-1554	Tel: (212) 907-0700
5	Email: slarson@girardikeese.com	Fax: (212) 818-0477 Email: jhimes@labaton.com
6		mfalk@labaton.com
7	Bonny E. Sweeney (176174)	Daniel S. Mason (Cal. Bar No. 54065)
8	Carmen A. Medici (248417)	Jiangxiao Hou (Cal. Bar # 215256)
0	COUGHLIN STOIA GELLER	ZELLE HOFMANN VOELBEL & MASON
9	RUDMAN & ROBBINS LLP	LLP
10	655 West Broadway, Suite 1900	44 Montgomery Street, Suite 3400 San Francisco, CA 94104
	San Diego, CA 92101 Telephone: 619/231-1058	Tel: (415) 693-0700
11	619/231-7423 (fax)	Fax: (415) 693-0770
12	Email: bonnyS@csgrr.com	Email: dmason@zelle.com
13	cmedici@csgrr.com	ahou@zelle.com
14	Jonathan W. Cuneo	Bryan L. Clobes
15	CUNEO GILBERT & LADUCA LLP	Ellen Meriwether
10	507 C. Street, NE	Michael Tarringer Tim Fraser
16	Washington, DC 20002	Cafferty Faucher LLP
17	Telephone: (202) 789-3960	1717 Arch Street, Suite 3610
	Facsimile: (202) 789-1813 Email: Jonc@cuneolaw.com	Philadelphia, PA 19103 Tel: 215-864-2800
18	Eman. Jone@cuneolaw.com	Fax: 215-864-2810
19	Daniel Caban	bclobes@caffertyfaucher.com
17	Daniel Cohen CUNEO GILBERT & LADUCA LLP	
20	106-A S. Columbus Street	LIEFF, CABRASER, HEIMANN &
21	Alexandria, VA 22314	BERNSTEIN, LLP Joseph R. Saveri (State Bar No. 130064)
<i>L</i> 1	Telephone: (202) 789-3960 Facsimile: (202) 789-1813	Kelly M. Dermody (State Bar No. 171716)
22	Facsimile: (202) 789-1813 Danielc@cuneolaw.com	Eric B. Fastiff (State Bar No. 182260)
23	Vincent J. Esades	275 Battery Street, 29th Floor
25	David Woodward	San Francisco, CA 94111
24	HEINS MILLS & OLSON, P.L.C.	Telephone: (415) 956-1000
25	310 Clifton Avenue	Fax: (415) 956-1008
25	Minneapolis, MN 55403	Email: jsaveri@lchb.com
26	Tel: (612) 338-4605	
27	Fax: (612) 338-4692	
27	Email: vesades@heinsmills.com	
28		
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT Case No. C 09-01967 CW	- 153 -

	Case4:09-cv-01967-CW Document175	Filed03/10/10 Page154 of 157
1	Bruce L. Simon (Cal. Bar. No. 96241) Jessica L. Grant (Cal. Bar. No. 178138)	Steven J. Greenfogel MEREDITH COHEN GREENFOGEL &
2	PEARSON, SIMON, WARSHAW & PENNY	, SKIRNICK, P.C.
3	LLP 44 Montgomery Street, Suite 1430	1521 Locust Street, 8th Floor Philadelphia, Pennsylvania 19102
4	San Francisco, CA 94104 Tel: (415) 433-9000	Tel: (215) 564-5182 Fax: (215) 569-0958
5	Fax: (415) 433-9008 Email: bsimon@pswplaw.com	Email: sgreenfogel@mcgslaw.com
6	jgrant@pswplaw.com	
7		
8	Mitchell J. Rapp (Michigan Bar No. P43081)	Brian M. Sund
9	Shawn D. Stuckey (MN Bar No. 0388976)	Joshua G. Hauble
10	ZELLE HOFMANN VOELBEL & MASON LLP	Jackson D. Bigham MORRISON FENSKE & SUND, P.A.
11	500 Washington Avenue South, Suite 400 Minneapolis, MN 55415	5125 County Road 101, Suite 202 Minnetonka, MN 55345
12	Telephone: (612) 339-2020	Tel: (952) 975-0050
13	Facsimile: (612) 336-9100 Email: mrapp@zelle.com	Fax: (952) 975-0058 Email: bsund@morrisonfenske.com
14	sstuckey@zelle.com	jhauble@morrisonfenske.com
15		
16	Eugene A. Spector	Jason A. Zweig
17	Jeffrey J. Corrigan Jay S. Cohen	Kaplan Fox & Kilsheimer 850 Third Avenue, 14th Floor
18	Jeffrey L. Spector SPECTOR ROSEMAN KODROFF & WILLIS	New York, NY 10022
19	P.C. 1818 Market Street, Suite 2500	Tel.: 212-687-1980 Fax: 212-687-7714
20	Philadelphia, Pennsylvania 19103 Tel: (215) 496-0300	jzweig@kaplanfox.com
20	Fax: (215) 496-6611 Email: espector@srkw-law.com	Mary Jane Fait Adam J. Levitt
22	jcorrigan@srkw-law.com jcohen@srkw-law.com	Wolf Haldenstein Adler Freeman & Herz LLP
23	jspector@srkw-law.com	55 West Monroe Street Suite 1111
24		Chicago, IL 60603 Tel: 312-984-0000
25		Fax: 312-984-0001 fait@whafh.com
26		levitt@whafh.com
27		
28		
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 1 Case No. C 09-01967 CW	154 -

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page155 of 157

1	Rosemary M. Rivas
2	Tracy H. Tien Finkelstein Thompson LLP
3	100 Bush Street, Suite 1450 San Francisco, CA 94104
4	Telephone: 415-398-8700
4	Facsimile: 415-398-8704 rrivas@finkelsteinthompson.com
5	
6	Richard M. Volin Finkelstein Thompson LLP
7	1050 30th Street, N.W. Washington, DC 20007
	Tel: 202-337-8000
8	Fax: 202-337-8090 rvolin@finkelsteinthompson.com
9	rvonn@mikerstemthompson.com
10	Michael J. Flannery Carey & Danis, LLC
10	8235 Forsyth Boulevard, Suite 1100
11	St. Louis, MO 63105
12	Tel: 314-725-7700 Fax: 314-721-0905
	mflannery@careydanis.com
13	Stephen A. Weiss
14	Seeger Weiss LLP
15	One William Street New York, NY 10004
15	Tel: 212-584-0700
16	Fax: 212-584-0799
17	sweiss@seegerweiss.com
18	Harris L. Pogust
10	Pogust Braslow & Millrood, LLC 161 Washington Street, Suite 1520
19	Conshohocken, PA 19428
20	Tel: 610-941-4204 Fax: 610-941-4245
	hpogust@cpm-law.com
21	Michael E. Criden
22	Kevin B. Love
23	Criden & Love, P.A. 7301 S.W. 57th Court, Suite 515
24	South Miami, FL 33143 Tel: 305-357-9000
	Fax: 305-357-9050
25	mcriden@cridenlove.com klove@cridenlove.com
26	
27	
28	
	CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 15
	ACTION COMPLAINT - 15: Case No. C 09-01967 CW

Lee Albert Murray, Frank & Sailer LLP 275 Madison Avenue Suite 801 New York, NY 10016 Tel: 212-682-1818 Fax: 212-682-1892 lalbert@murrayfrank.com Eric L. Cramer Berger & Montague, P.C. 1622 Locust Street Philadelphia, PA 19103 215-875-3000 Fax: 215-875-4604 Email: ecramer@bm.net Joshua P. Davis Law Offices of Joshua P. Davis 437A Valley Street San Francisco, CA 94131 415-422-6223 Email: davisj@usfca.edu Kendall S. Zylstra Stephen E. Connolly Faruqi & Faruqi LLP 2600 Philmont Avenue Suite 324 Huntingdon Valley, PA 19006 215-914-2460 Fax: 215-914-2462 Email: kzylstra@faruqilaw.com Faruqi & Faruqi, LLP 2600 Philmont Avenue Suite 324 Huntingdon Valley, PA 19006 215-914-2460 Fax: 215-914-2462 Email: sconnolly@faruqilaw.com

Case4:09-cv-01967-CW Document175 Filed03/10/10 Page156 of 157 1 Gordon Ball Stanley D. Bernstein Ronald J. Aranoff BALL & SCOTT, A PROFESSIONAL 2 ASSOCIATION Dana Statsky Smith 550 Main Avenue, Suite 750 BERSTEIN LIEBHARD LLP 3 Knoxville, TN 37902 10 East 40th Street, 22nd Floor Tel: (865) 525-7028 New York, New York 10016 4 Fax: (865) 525-4679 Tel: (212) 779-1414 5 Fax: (212) 779-3218 Email: GBall@ballandscott.com Email: bernstein@bernlieb.com 6 aranoff@bernlieb.com 7 Allan Steyer (Cal. Bar. No. 100318) Carl A. Taylor Lopez STEYER LOWENTHAL BOODROOKAS LOPEZ & FANTEL 8 1510 114th Avenue ALVAREZ & SMITH LLP 9 Seattle, WA 98122-4024 One California Street, Third Floor San Francisco, CA 94111 Tel: (206) 322-5200 10 Tel: (415) 421-3400 Email: clopez@lopezfantel.com Fax: (415) 421-2234 11 Email: asteyer@steyerlaw.com **GUSTAFSON GLUEK PLLC** Daniel E. Gustafson 12 Derek G. Howard (SBN 118082) Jason S. Kilene MURRAY & HOWARD, LLP 13 David A. Goodwin 900 Larkspur Landing Circle, Suite 103 650 Northstar East 14 Larkspur, California 94939 608 Second Avenue South Telephone: (415) 461-3200 Minneapolis, MN 55402 Facsimile: (415) 461-3208 15 Telephone: (612) 333-8844 E-mail: dhoward@murrayhowardlaw.com 16 Fax: (612) 339-6622 Email: dgustafson@gustafsongluek.com Garrett D. Blanchfield, Jr. 17 **REINHARDT WENDORF & BLANCHFIELD** jkilene@gustafsongluek.com E-1250 First National Bank Building 18 332 Minnesota Street SALTZ MONGELUZZI BARRETT & St. Paul, MN 55101 BENDESKY, PC 19 Tel: (651) 287-2100 Simon Paris Fax: (651) 287-2103 20 Email: g.blanchfield@rwblawfirm.com Patrick Howard **One Liberty Place** 21 K.A.D. Camara 52nd Floor 1650 Market Street Joe Sibley Philadelphia, PA 19103 22 CAMARA & SIBLEY LLP Telephone: (215) 575-3986 2339 University Boulevard Fax: (215) 496-0999 23 Houston, Texas 77005 Email: sparis@smbb.com Tel: (713) 893 7973 24 Fax: (713) 583-1131 Email: camara@camarasibley.com RODA NAST, P.C. 25 sibley@camarasibley.com Dianne M. Nast 801 Estelle Drive 26 Lancaster, PA 17601 Telephone: (717) 892-3000 27 Fax: (717) 892-1200 28 Email: dnast@rodanast.com CONSOLIDATED AMENDED CLASS ACTION COMPLAINT - 156 -Case No. C 09-01967 CW

	Case4:09-cv-01967-CW [Document175	Filed03/10/10	Page157 of 157
1	Kimberly A. Kralowec THE KRALOWEC LAW GRO			
2	THE KRALOWEC LAW GROUP 188 The Embarcadero, Suite 800 Sen Emergiana, CA 04105			
3	188 The Embarcadero, Suite 8 San Francisco, CA 94105 Tel: (415) 546-6800			
4	Fax: (415) 546-6801 Email: kkralowec@kralowecl	aw.com		
5				
6				
7				
8				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
21				
22				
23				
24 25				
23 26				
20 27				
27				
_0	CONSOLIDATED AMENDED CLA ACTION COMPLAINT Case No. C 09-01967 CW		57 -	